



Workplace Solutions Agreement



Advisor ID
254385



Complete each section in its entirety and sections as appropriate (missing information may result in processing delays).
Once form is received, please allow 24-48 hours for processing of agreement.

Advisor Information

Advisor Name

Steve Hanley

Phone Number

(251) 345-0601

Ext

Are you enrolled in the Workplace Solutions Financial Wellness program?

☒ Yes

☐ No

Is there an additional advisor or AFA who will provide service on this agreement?

☒ Yes

☐ No

Additional Advisor Name

Samuel Vaughan

Advisor ID

359848

Advisor Email

Samuel.vaughan@ampf.com

Franchise Owner Name

Franchise Number

NOTE: The agreement on file cannot be held in AFA name (franchisee restrictions). Completed agreements will be sent to the advisor listed in this section. Associate Financial Advisors will be able to view, add new, cancel or renew agreements on behalf of the Franchise Owner.

Organization Information

Is this organization a government agency or contractor?

☒ Yes

☐ No

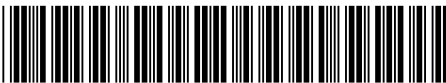
Gifting guidelines may apply.

Organization Name

Waller County

Phone Number

(979) 826-7707



Street Address

425 FM 1488

City

Hempstead

State

TX

Zip Code

77445

Industry Classification (e.g., Health care, Retail, etc.)

Local Government

Contact First Name

Carbett

MI

J

Last Name

Duhon III

Contact Title

County Judge

Contact Phone Number

(979) 826-7700

Estimated number of eligible employees/participants

390

Contact Email

t.duhon@wallercounty.us

Your Workplace Program

The Workplace services we provide include

- Ameriprise educational seminar(s) and promotional material
- Financial wellness website
- Complimentary initial consultation

Optional Workplace services

☒ Financial planning discount

Please enter discount amount: \$ 300

Terms and Conditions

Program Communications

You will assign an individual who will approve the content of communications ("Program Communications") to be sent to your participants as well as how those Communications will be sent. You agree that all Program Communications that refer in any way to Ameriprise require our approval prior to use. While the Program Communications are the property of Ameriprise, you retain the rights to any of your names, logos, protected marks, and your proprietary information. We grant you a non-exclusive, non-transferable revocable right to use our name, service marks and trademarks and to use and distribute our copyrighted materials only for the purpose of distributing Program Communications. You agree that we may include the name of your business on our list of clients.

Hyperlinking

You may create hyperlinks on your website that link directly to the Ameriprise website (with a current URL of www.ameriprise.com) or such pages within the website of Ameriprise or its affiliates as we agree. The license granted to you in the above paragraph applies to these hyperlinks.

Scope of Services

Financial and retirement planning services provided under this Agreement are intended as general financial education and guidance for your participants. They are not intended as fiduciary investment advice under the Employee Retirement Income Security Act. Not all services will be available in every Program. Availability will vary based on structure of the specific Program.

Restrictions

Because you are not a broker-dealer, you may not legally engage in any of the following activities: (i) provide descriptions of Ameriprise's products or services that exceed the fact that such products or services are available through Ameriprise; (ii) recommend or endorse any specific investment product or service offered by Ameriprise; or (iii) take part in the financial services offered by Ameriprise, such as answering questions involving Ameriprise accounts or handling customer funds related to transactions with Ameriprise. Related questions from your participants must be referred to the Advisor.

**Confidentiality**

Neither party will disclose the other's confidential information to any third party unless agreed to in writing or we are required to do so by law or regulation. Confidential Information includes, but is not limited to, program materials, customer lists, personally identifiable information concerning any individual, and proprietary information such as strategies and business methods. Confidential Information does not include information that is rightfully known to the receiving party prior to entering this Agreement, independently developed by the receiving party without relying on the other party's Confidential Information, or public knowledge.

We will not use personally identifiable information except as necessary under this Agreement unless authorized or required by law. We will not disclose to you any participant's information we receive in providing financial services to that individual.

Indemnification and Hold Harmless

If a negligent or intentional act performed by one party under this Agreement causes the other party to suffer damages, the party committing the act will indemnify and hold the other party harmless only as it relates to those damages. Neither of the parties will be liable to the other for any incidental, indirect, consequential, or punitive damages of any kind that may arise out of this Agreement.

Term of Agreement

This Agreement is effective as of the date it is signed and will continue for a period of two years. The Parties may extend the term of this Agreement via electronic mail and affirmatively forego any requirement for mutual signatures only for the purposes of extension. Any electronic mail used to renew or extend the term of this Agreement is incorporated into this Agreement. Either party may terminate this Agreement for any reason by providing at least thirty (30) days' written notice to the other party.

Additional Terms

This Agreement is governed by the laws of the state of Minnesota. Except as provided in the section entitled "Term of Agreement," neither party may modify or amend this agreement without the written consent of the other party. This Agreement may not be assigned without consent of both parties.

Contact

Alliances and Institutional Programs
Ameriprise Financial Services, LLC
977 Ameriprise Financial Center
Minneapolis, MN 55474

Electronic Signatures

You agree that this Agreement may be electronically signed. Electronic signatures are the same as handwritten signatures for purposes of validity, enforceability, and admissibility. Electronic signatures should be affixed in the form "/s/ [name of signer]."

Required Signatures

Contact First Name

Carbett

MI

J

Last Name

Duhon III

Contact Signature

X

Date (MMDDYYYY)