

University of Utah Cost Reimbursement Subaward Agreement (“Subaward”)			
Pass-Through Entity (“PTE”): University of Utah		Subrecipient (“Subrecipient”): San Juan Public Health	
Principal Investigator (“PTE PI”): Gerald Cochran		Principal Investigator (“Subrecipient PI”): Grant Sunada	
PTE Prime Award No.: A02769	Prime Award Date: 07/01/2022	Prime Awarding Agency: Utah Department of Human Services	
Project Title: SUBSTANCE USE AND PREGNANCY- RECOVERY, ADDICTION AND DEPENDENCE CLINIC			
Subaward Period of Performance: Start: 09/30/2021 End: 06/30/2022		Amount Funded This Action: 15026	PTE Subaward No.: 10051910-05
Estimated Project Period (if incrementally funded): Start: 09/30/2021 End: 09/29/2022		Incrementally Estimated Total:	PTE Project No.:
Check all that apply: Cost Sharing (Attachment 5) <input type="checkbox"/> Carryover (Attachment 2): <input type="checkbox"/> Automatic <input checked="" type="checkbox"/> Restricted			
Terms and Conditions			
<p>1. PTE hereby awards a cost reimbursable subaward, as described above, to Subrecipient. The Statement of Work and Budget for this Subaward are as shown in Attachment 5. In its performance of Subaward work, Subrecipient shall be an independent entity and not an employee or agent of PTE.</p> <p>2. Subrecipient shall submit invoice not more often than monthly and not less frequently than quarterly for allowable costs incurred. Upon receipt of proper invoices, PTE agrees to process payments in accordance with this Subaward and 2 CFR 200.305. All invoices shall be submitted using Subrecipient’s standard invoice, but at a minimum, shall include current and cumulative costs (including cost sharing), Subaward number, and certification, as required in 2 CFR 200.415(a). Invoices that do not reference PTE Subaward number shall be returned to Subrecipient. Invoices and questions concerning invoice receipt and payments shall be directed to the PTE’s Financial Contact, shown in Attachment 3A.</p> <p>3. A final statement of cumulative costs incurred, including cost sharing, marked “FINAL” must be submitted to PTE’s Financial Contact, as shown in Attachment 3A, no later than 3 days after Subaward end date. The final statement of costs shall constitute Subrecipient’s final financial report.</p> <p>4. All payments shall be considered provisional and subject to adjustment within the total estimated costs in the event such adjustment is necessary as a result of an adverse audit finding against Subrecipient. PTE reserves the right to reject an invoice, in accordance with 2 CFR 200.305.</p> <p>5. Matters concerning the technical performance of this Subaward should be directed to the appropriate party’s Principal Investigator, as shown in Attachments 3A and 3B. Technical reports are required as described in Attachment 4, “Reporting Requirements.”</p> <p>6. Matters concerning the request or negotiation of any changes in the terms, conditions, or amounts cited in this Subaward, and any changes requiring prior approval, should be directed to the appropriate party’s Administrative Contact, as shown in Attachments 3A and 3B. All substantive and non-substantive changes made to this Subaward require the written approval of each party’s Authorized Official, as shown in Attachments 3A and 3B.</p> <p>7. Each party shall be responsible for its negligent acts and omissions and the negligent acts and omissions of its employees, officers, and directors in the performance of their responsibilities under this Subaward.</p> <p>8. Either party may terminate this Subaward with 30 days written notice to the appropriate party’s Authorized Official, as shown in Attachments 3A and 3B. PTE shall pay Subrecipient for termination costs as allowable under Uniform Guidance, 2 CFR 200, or 45 CFR Part 75 Appendix IX, as applicable.</p> <p>9. By signing this Subaward, including the attachments hereto which are hereby incorporated by reference, Subrecipient certifies that it will perform the Statement of Work in accordance with the terms of this Subaward, the applicable terms of the PTE Prime Award, including the appropriate Research Terms and Conditions (“RTCs”) of the Prime Awarding Agency, as referenced in Attachment 2. The parties further agree that they intend this Subaward to comply with all applicable federal, state and local law, rules and regulations, including Subrecipient’s policies.</p>			
By an Authorized Official of PTE:		By an Authorized Official of Subrecipient:	
_____		_____	
Name: Brent Brown	Date	Name:	Date
Title: Director, Office of Sponsored Projects		Title:	

Attachment 1
Certifications and Assurances

Subaward Number:
10051910-05

Debarment, Suspension, and Other Responsibility Matters (2 CFR 200.213 and 2 CFR 180)

By signing this Subaward, the Subrecipient Authorized Official certifies, to the best of his/her knowledge and belief that neither the Subrecipient nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from participation in this transaction by any federal department or agency, in accordance with 2 CFR 200.213 and 2 CFR 180.

Audit and Access to Records

Subrecipient will maintain books, records, documents, and other evidence relating to the work under this Sub-recipient Agreement in accordance with generally accepted accounting principles as described in **Uniform Guidance, 2 CFR 200**, to clearly and easily identify expenses under this Subaward separately from other costs of Subrecipient Accounting records that are supported by documentation must, as a minimum, be adequate to show all costs incurred under this Subaward receipt and use of goods and services acquired under this Subaward, the costs of the work supplied from other sources, and the overall progress of the project.

Notwithstanding any other conditions of this Subaward, the books and records of Subrecipient hereunder will be made available upon request, at the Subrecipient's regular place of business, for audit by personnel authorized by the University of Utah, the State of Utah and/or the Prime Awarding Agency. Additionally, the books and records must be retained for a period of three years following final payment.

The period of access and examination described above, for the records which relate to (a) litigation or settlement of claims arising out of the performance of this Subaward or (b) costs and expenses of this Subaward as to which exception has been taken by any of the organizations named shall continue until such litigation, claims, or exceptions have been disposed of.

The Subrecipient shall require that the language of the certifications above in this Attachment 1 be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Use of Name

Neither party shall use the other party's name, trademarks, or other logos in any publicity, advertising, or news release without the prior written approval of an authorized representative of that party. The parties agree that each party may use factual information regarding the existence and purpose of the relationship that is the subject of this Subaward for legitimate business purposes, to satisfy any reporting and funding obligations, or as required by applicable law or regulation without written permission from the other party. In any such statement, the relationship of the parties shall be accurately and appropriately described.

PTE is a governmental entity under the Governmental Immunity Act of Utah, Utah Code §§ 63G -7-101 to -904, as amended (the "Act"). Nothing in the Subaward shall be construed as a waiver by PTE of any protections, rights, or defenses applicable to PTE under the Act, including without limitation, the provisions of section 63G-7-604 regarding limitation of judgments. It is not the intent of PTE to incur by contract any liability for the operations, acts, or omissions of the other Party or any third party and nothing in the Subaward shall be so interpreted or construed.

PTE is a governmental entity subject to the Government Records Access and Management Act, Utah Code §§ 63G-2-101 to -901, as amended (“GRAMA”) and Utah Code §§ 53B-16-301 to -305 as amended. Under GRAMA certain records within PTE’s possession or control, including without limitation, the Subaward, may be subject to public disclosure; and PTE’s confidentiality obligations shall be subject in all respects to compliance with GRAMA. Pursuant to section 63G-2-309 of GRAMA, any confidential information provided to PTE that Subrecipient believes should be protected from disclosure must be accompanied by a written claim of confidentiality and a concise statement of reasons supporting such claim. Notwithstanding any provision to the contrary in the Subaward, PTE may disclose any information or record to the extent required by GRAMA or as otherwise required by law.

Export Control

In the event that a party under this Subaward intends to provide information, equipment or materials restricted under applicable export control law or regulations (including but not limited to Export Administration Regulations and International Traffic in Arms Regulations, to the other party during the course of any activity under this Subaward, the disclosing party must first notify the receiving party of its intention to provide this data at least 30 days in advance of actually providing this information, equipment or materials, and indicate to whom at receiving party the information, equipment or materials is being provided, along with specific reference to the applicable regulatory sections. Receiving party will then determine whether it will accept such information, equipment or materials or decline. In addition, each party’s performance of any activity under this Subaward is subject to compliance with all U.S. export control and Office of Foreign Assets Controls (OFAC) regulations.

Subrecipient agrees to insert the provisions of this section in all subawards entered into by Subrecipient in connection with this Subaward

Attachment 2
Prime Award Terms and Conditions
Non-Federal

Subaward Number:
10051910-05

General Terms and Conditions

By signing this Subaward, Subrecipient agrees to the following:

1. The Prime Awarding Agency's grants policy guidance, including addenda in effect as of the beginning date of the period of performance or as amended found at:
<https://hs.utah.gov/dhsgrants>
2. Research Terms and Conditions, including any Prime Awarding Agency's Specific Requirements found at:
<https://hs.utah.gov/dhsgrants>
3. Except for the following:
 - a. No-cost extensions require the written approval of the PTE. Any requests for a no-cost extension shall be directed to the Administrative Contact shown in Attachment 3A, not less than 30 days prior to the desired effective date of the requested change.
 - b. Any payment mechanisms and financial reporting requirements described in the applicable Prime Awarding Agency Terms and Conditions and Agency-Specific Requirements are replaced with Terms and Conditions (1) through (4) of this Subaward; and
 - c. Any prior approvals are to be sought from the PTE and not the Prime Awarding Agency.
 - d. Title to equipment purchased by Subrecipient with funds provided in whole or in part under this Subaward shall be determined in accordance with the terms and conditions of the Prime Award and all applicable policies of the Prime Awarding Agency. PTE shall retain title to all equipment furnished by PTE or Prime Awarding Agency hereunder.
 - e. Prior approval must be sought for a change in Subrecipient PI or change in Key Personnel (defined as listed in the NOA).
4. Treatment of program income: Additive
5. Carryover: Carryover is restricted by PTE

Submit carryover requests to the Administrative Contact identified in Attachment 3A

Special Terms and Conditions:

Copyrights:

Subrecipient grants to PTE an irrevocable, royalty-free, non-transferable, non-exclusive right and license to use, reproduce, make derivative works, display, and perform publicly any copyrights or copyrighted material (including any computer software and its documentation and/or databases) first developed and delivered under this Subaward solely for the purpose of and only to the extent required to meet PTE's obligations to the Prime Awarding Agency under its Prime Award.

Subrecipient grants to PTE the right to use any written progress reports and deliverables created under this Subaward solely for the purpose of and only to the extent required to meet PTE's obligations to the Prime Awarding Agency under its Prime Award.

Data Rights:

Subrecipient grants to PTE the right to use data created in the performance of this Subaward solely for the purpose of and only to the extent required to meet PTE's obligations to the Prime Awarding Agency under its Prime Award.

Data Sharing and Access (Check if applicable):

Subrecipient agrees to comply with the Prime Awarding Agency's data sharing and access requirements as reflected in the Prime Award (or in the special terms below) and the Data Management/Sharing Plan submitted to the Prime Awarding Agency and provided upon request.

Promoting Objectivity in Research (COI):

Subrecipient must designate herein which entity's Financial Conflicts of Interest policy (COI) will apply: PTE

If applying its own COI policy, by execution of this Subaward, Subrecipient certifies that its policy complies with the requirements of the relevant Prime Awarding Agency. Subrecipient also certifies that, to the best of its knowledge (1) all financial disclosures have been made related to the activities that may be funded by or through a resulting agreement, and required by its conflict of interest policy; and (2) all identified conflicts of interest have or will have been satisfactorily managed, reduced, or eliminated in accordance with Subrecipient's conflict of interest policy prior to the expenditure of any funds under any resulting agreement.

If applying PTE's COI policy, by execution of this Subaward, Subrecipient certifies it will comply with the requirements of the PTE's COI policy as found at: <https://coi.utah.edu>, and notify the Administrative Contact identified in Attachment 3A.

Work Involving Human or Vertebrate Animals (Select Applicable Options)

Human Subjects Vertebrate Animals No Human or Vertebrate Animals

Subrecipient agrees that any non-exempt human and/or vertebrate animal research protocol conducted under this Subaward shall be reviewed and approved by its Institutional Review Board (IRB) and/or its Institutional Animal Care and Use Committee (IACUC), as applicable and that it will maintain current and duly approved research protocols for all periods of the Subaward involving human and/or vertebrate animal research. Subrecipient certifies that its IRB and/or IACUC are in full compliance with applicable state and federal laws and regulations. The Subrecipient certifies that any submitted IRB/IACUC approvals represents a valid, approved protocol that is entirely consistent with the Project associated with this Subaward. In no event shall Subrecipient invoice or be reimbursed for any human or vertebrate animals related expenses incurred in a period where any applicable IRB/IACUC approval is not properly in place.

IRB: Not Applicable

IACUC: Not Applicable

Human Subjects Data (Select One) Not Applicable

Human Subjects Data will be exchanged under this Subaward (check all that apply):

From Subrecipient to PTE
 From PTE to Subrecipient

The PTE will set forth the terms of the exchange of Human Subjects Data in a:

Additional Terms

Attachment 3A
Pass-Through Entity (PTE) Contacts

Subaward Number:
10051910-05

PTE Information

Entity Name: **University of Utah**
Legal Address: Office of General Counsel
201 S. Presidents Circle, Rm 309
Salt Lake City, UT 84112

Website: osp.utah.edu

PTE Contacts

Central Email: ospawards@lists.utah.edu

Principal Investigator Name: **Gerald Cochran**

Email: jerry.cochran@hsc.utah.edu

Telephone Number: 801-213-0654

Administrative Contact Name: Katelyn Dalley

Email: katelyn.dalley@osp.utah.edu

Telephone Number: 801-585-9846

Financial Contact Name: Sally Petersen, Manager

Email: CAFinancialOfficer@admin.utah.edu

Telephone Number: 801/581-6959

Email Invoices? Yes No Invoice email (if different): ap@admin.utah.edu

Authorized Official Name: Brent Brown

Email: ospawards@osp.utah.edu

Telephone Number:

PI Address:

Cochran, Jerry
295 CHIPETA WAY RM 1N400
SALT LAKE CITY, UT 84108

Administrative Address:

Office of Sponsored Projects
Ref 10062233-01 Rower
155 S 1452 E
INSCC Bldg., Rm 350
Salt Lake City, Utah 84112-8906

Invoice Address:

Grants & Contracts Accounting
Ref 10062233-01 Rower
201 S. Presidents Circle, Rm. 406 Park Building
Salt Lake City, UT 84112-9023

Attachment 3B
Subrecipient Contacts

Subaward Number:
10051910-05

Subrecipient Information for FFATA reporting

Entity's DUNS Name: County of San Juan

EIN No.: WCVABP2FEVA2

Institution Type: County Government

DUNS: 070018296

Parent DUNS:

Place of Performance Address:

735 S 200 W Ste 2, Blanding, UT 84511

Subrecipient Contacts:

Central Email:

Website:

Principal Investigator Name: **Grant Sunada**

Email: gsunada@sanjuancounty.org

Telephone Number: 435-587-3838

Administrative Contact Name: Brittney Carlson

Email: bcarlson@sanjuancounty.org

Telephone Number: 435-587-3838

Financial Contact Name: Tyler Ketron

Email: tketron@sanjuancounty.org

Telephone Number: 435-587-3838

Invoice/Payment Email: tketron@sanjuancounty.org

Authorized Official Name:

Email:

Telephone Number:

Legal Address:

117 South Main, Monticello, UT 84535

Administrative Address:

117 South Main, Monticello, UT 84535

Payment Address:

PO Box 9, Monticello, UT 84535

Attachment 4
Reporting and Prior Approval Terms

Subaward Number:
10051910-05

Subrecipient agrees to submit the following reports to PTE contacts are identified in Attachment 3A:

Technical Reports:

- Monthly technical/progress reports will be submitted to the PTE's Principal Investigator within _____ days of the end of the month.
 - Quarterly technical/progress reports will be submitted within 30 days after the end of each project quarter to the PTE's Principal Investigator.
 - Annual technical/progress reports will be submitted within _____ days prior to the end of each budget period to the PTE's Principal Investigator. Such report shall also include a detailed budget for the next Budget Period, updated other support for key personnel, certification of appropriate education in the conduct of human subject research of any new key personnel, and annual IRB or IACUC approval, if applicable.
 - A Final technical/progress report will be submitted to the PTE's Principal Investigator within _____ days of the end of the Project Period or after termination of this award, whichever comes first.
 - Technical/progress reports on the project as may be required by PTE's Principal Investigator in order for the PTE to satisfy its reporting obligations to the Federal Awarding Agency.
-

Other Reports:

- In accordance with Prime Award, Subrecipient agrees to notify PTE's Principal Investigator within - _____ days after Subrecipient's inventor discloses invention(s) in writing to Subrecipient's personnel responsible for patent matters. The Subrecipient will submit a final invention report using Prime Awarding Agency specific forms to the PTE's Principal Investigator within _____ days of the end of the Project Period to be included as part of the PTE's final invention report to the Prime Awarding Agency.
 - A negative report is:
-

Other Special Reporting Requirements:

Attachment 5
Statement of Work, Cost Sharing, Indirects & Budget
Non-Federal CR

Subaward Number:
10051910-05

Statement of Work

Below Attached, 2 pages

Budget Information

Indirect Information	Indirect Cost Rate (IDC) Applied 10 %	Cost Sharing <input type="radio"/> Yes <input checked="" type="radio"/> No
	Rate Type: Modified Total Direct Costs	If Yes, include Amount: \$

Budget Details

Below Attached, 1 pages

Budget Totals

Direct Costs	\$ 18214
Indirect Costs	\$ 1821
Total Costs	\$ 20035

All amounts are in United States Dollars

SCOPE OF WORK

I. Overview

The University of Utah's Program of Addiction Research, Clinical Care, Knowledge, and Advocacy (PARCKA) within the Division of Epidemiology will contract with San Juan Public Health (SJPH) (specifically the Women, Infants, and Children [WIC] program team) to screen for and refer opioid, methamphetamine, and other substance use (OMSU) among pregnant women and women of childbearing age in San Juan County. Screening is expected to happen on site, and referral for care will take place across the local area. Principal Investigator Gerald Cochran, MSW, PhD and Co-Principal Investigator Marcela Smid, MD, MA, MS are leading this project and will act as the main points of contact.

II. Deliverables

The following deliverables will be expected by staff at the University of Utah PARCKA to be conducted by SJPH:

- The PARCKA staff will train the SJPH WIC team on evidence based OMSU screening and referral practices.
- Following training, the SJPH WIC team will screen pregnant women and women of childbearing age patients for possible substance use using the methods and tools explained within the training provided.
- If a patient screens positive for substance use, the SJPH WIC team will refer that patient to a local related referral source using the methods and tools explained within the training provided.
- On a monthly basis, the SJPH WIC team will complete an electronic survey (sent from the PARCKA team) and will be asked to report:
 1. Total number of pregnant women screened
 2. Total number of pregnant women referred
 3. Total number of childbearing aged women screened
 4. Total number of childbearing aged women referred

III. Timeline

The duration of this partnership will be nine months in length, beginning October 1, 2021, and concluding June 30, 2022:

- Months 1-2 will involve staff members from PARCKA training the SJPH WIC team on best OMSU screening and referral practices. Other topic education trainings will be offered, such as SUD in Pregnancy 101, Trauma Informed Care, etc.

- Months 3-9 will involve the SJPH WIC team to screen and refer pregnant women and women of childbearing age patients for possible substance use using the training methods and tools.

IV. Reporting

SJPH will be asked to report data to the PARCKA staff in a timely manner. Staff at PARCKA will send monthly surveys, as mentioned above, during the first week of every month, asking for data collected during the recently concluded month. These surveys are expected to be completed and returned within 3 days of receiving it by the SJPH WIC team.

The SJPH WIC team will also be asked to participate in evaluations at two different time points, February 2021, and June 2022. These evaluations will include a combination of brief qualitative interviews and surveys to be conducted by the PARCKA staff.

All data provided will be collected, analyzed, reported, and maintained in accordance with all applicable federal regulations and state confidentiality regulations. Research records are to be maintained in a confidential matter and within a secure location for a minimum of 3 years after the study is completed and closed. These records are also to be maintained with state and federal requirements, whichever is longest. The parties within this scope of work will maintain appropriate study documentation in compliance with ICH E6 and regulatory requirements.

(9/30/21 - 6/30/22) (7/1/22 - 9/29/22)

	Effort	Hourly Rate	Salary	Fringe	Annual Salary & Fringe	Period 3	Period 4	Total	Comment
Nurse #1	5%	\$ 30.41	\$ 63,496	61%	\$ 102,229	\$ 3,834	\$ 1,278	\$ 5,111	5% effort translates to 2 hours per week
Nurse #2	5%	\$ 26.90	\$ 56,167	61%	\$ 90,429	\$ 3,391	\$ 1,130	\$ 4,521	5% effort translates to 2 hours per week
Nurse #3	4%	\$ 24.47	\$ 51,093	61%	\$ 82,260	\$ 2,468	\$ 823	\$ 3,290	
Nurse #4	4%	\$ 24.47	\$ 51,093	61%	\$ 82,260	\$ 2,468	\$ 823	\$ 3,290	
			\$ -		\$ -	\$ -	\$ -	\$ -	
Program Expenses									
Travel						\$ 750	\$ 250	\$ 1,000	
Supplies						\$ 750	\$ 250	\$ 1,000	
Other								\$ -	
Communication								\$ -	
IT/microsoft support								\$ -	
								\$ -	
Direct Costs						\$ 13,660	\$ 4,553	\$ 18,214	
Indirect Cost				10%		\$ 1,366	\$ 455	\$ 1,821	
Total requested funds						\$15,026	\$5,009	\$20,035	

Attachment 6
Notice of Award (NOA) and any additional documents

Subaward Number:
10051910-05

- The following pages include the NOA and if applicable any additional documentation referenced throughout this Subaward.
- Not incorporating the Prime Award or any additional documentation to this Subaward.

Subrecipient agrees the provisions of the Prime Award set forth in Attachment 6 are hereby incorporated by reference into this Subaward. With respect to the clauses below, unless the context of the clause requires otherwise, the term “_____” shall mean PTE and Prime Awarding Agency, the term “_____” shall mean this Subaward and its attachments and the term “_____” shall mean Subrecipient.

It is intended that the terms and conditions set forth shall apply to Subrecipient in such a manner as is necessary to reflect: (1) the position of the Subrecipient as a subawardee to PTE; (2) to flow-down PTE’s applicable obligations, under its Prime Award with Prime Awarding Agency, to Subrecipient; and (3) to enable PTE to meet its obligations under the Prime Award.

**STATE OF UTAH DEPARTMENT OF HUMAN SERVICES
CONTRACT AMENDMENT**

Log # 40434 Kissflow# 22CR26
Procurement: Utah Governmental Entity
Subject: SUPeRAD OB Clinic

Amendment #4

Contract # A02769
Vendor#: 14363F
Commodity Code: 95206

TO BE ATTACHED TO AND MADE A PART OF the above numbered contract by and between the State of Utah Department of Human Services, which includes the Division of Substance Abuse and Mental Health, and University of Utah.

PURPOSE OF AMENDMENT: To 1) revise the description of the parties; 2) reallocate unspent funds and adjust prior allocations to actual expenditures; and 3) add Attachment C-4.

THE PARTIES AGREE TO AMEND THE CONTRACT AS FOLLOWS:

1. Coverage. Add the following to the description of the parties: "Pursuant to Utah Code Ann. 26B-1-201, as of July 1, 2022, the parties agree that the contracting parties, with all contractual obligations, duties, and rights, will be the Department of Health and Human Services and Contractor."
2. Coverage, Section 5., "CONTRACT COSTS": Replace the amount with **\$1,271,411**.
3. Add Attachment C-4: Funding Allocation, Rate Table, and Budget.

EFFECTIVE DATE OF AMENDMENT: September 1, 2021

All other conditions and terms in the original contract and previous amendments remain the same.

The parties sign and cause this amendment to be executed. This amendment is not fully executed until the State of Utah Approving Authorities have signed this amendment.

University of Utah

By: BRENT BROWN 08/20/2021
Brent Brown, Director Date
Office of Sponsored Projects

Department of Human Services

By: Tracy S. Gruber 08/20/2021
Tracy S. Gruber (Aug 20, 2021 14:07 MDT) Date
Tracy Gruber, Executive Director

STATE OF UTAH APPROVING AUTHORITIES

STATE DIVISION OF FINANCE
RECEIVED AND PROCESSED

By: BY DIVISION OF FINANCE 08/23/2021
State Finance Date

BCM Log#: 40434
 Kissflow#: 22CR26

Attachment C-4: Funding Allocation, Rate Table, and Budget Contract # A02769
 Amendment # 4
 Effective Date 09/01/2021

**Department of Human Services
 Division of Substance Abuse and Mental Health**

Dear University of Utah

Pursuant to the terms of the above-referenced contract, the contracted party is advised that the total contracted amount is now:

\$1,271,411

This allocation is the maximum amount DHS/DSAMH will reimburse the contractor during the funding periods outlined below in the rate table for the contract services identified. **Any portion of this amended allocation not expended by the contractor as of the Funding Period Deadline will lapse and the contractor shall have no further claim to the same.**

Rate Table

The payment rate and funding source for this contract is specified in the following rate table:

Service Title / Tracking Code	Subrecipient?	Funding Source / Catalog of Federal Domestic Assistance (CFDA) #	State Fiscal Year	Funding Period*	Funding Amount**	State Fiscal Year Total	
SA State Opioid Response/ SOR	No	State Opioid Response Grant (BSOR)/ 93.788	SFY 19	02/01/2019-06/30/2019	\$68,119 [1]	\$68,119	
			SFY 20	07/01/2019-09/29/2019	\$20,567 [2]	\$248,276	
				09/30/2019-06/30/2020	\$227,709 [3]		
			SFY 21	07/01/2020-09/29/2020	\$144,556 [4]	\$144,556	
		Subtotal					\$460,951
		State Opioid Response Supplemental Grant (BSOR)/ 93.788	SFY 20	07/01/2019-09/29/2019	\$79,716 [5]	\$180,855	
				09/30/2019-06/30/2020	\$101,139 [6]		
			SFY 21	07/01/2020-09/29/2020	\$29,606 [7]	\$29,606	
		Subtotal					\$210,461
		State Opioid Response Grant (SOR) ~ BSORES20 / 93.788	SFY 21	09/30/2020-06/30/2021	\$149,025 [8]	\$149,025	
			SFY 22	07/01/2021-09/29/2021	\$150,974 [9]	\$375,974	
				09/30/2021-06/30/2022	\$225,000		
			SFY 23	07/01/2022-09/29/2022	\$75,000	\$75,000	
Subtotal					\$599,999		
Total					\$1,271,411		

* Funding amounts for expired funding periods will be reduced to actual expenditures in subsequent amendments.

[1] We show the following payments were made = \$68,119.13:

1925001906SOR2 A02769 APR 19 19CPR3421BSOR18UU-00155052\$2,877.34
1925002178SOR2 A02769 JUN 19 19CPR3996BSOR18UU-00158733\$37,766.13
SOR2 A02769 MAY 19 19CPR3946BSOR18UU-00158590\$27,475.66

[2] We show the following payments were made = \$20,566.58:

2025000260SOR2 A02769 JUL 19 20CPR95BSOR18UU-00163491\$13,595.48
2025000372SOR2 A02769 AUG 19 20CPR565BSOR18UU-00165845\$6,971.10

[3] We show the following payments were made \$227,709.01:

2025000802SOR1 A02769OCT 19 20CPR1248BSOR19UU-00170221\$12,821.54
2025000965SOR1 A02769 NOV 19 20CPR1666BSOR19UU-00172707\$21,217.37
2025001200SOR1 A02769 DEC 19 20CPR2081BSOR19UU-00176292\$23,575.52
2025001314SOR1 A02769 JAN 20BSOR19UU-00178745\$11,371.32
2025001799SOR1 A02769 MAR 20 20CPR3081BSOR19UU-00183137\$13,020.33
2025001837SOR1 A02769 APR 20 20CPR3223BSOR19UU-00185424\$33,286.64
2025002103SOR1 A02769 MAY 20 20CPR3685BSOR19UU-00188999\$29,948.41
2025002261SOR1 A02769 JUN 20 20CPR3984BSOR19UU-53200273\$82,467.88

[4] This amount has been reduced to actual payments of \$144,556 from \$203,889.

Program Amount Vendor_Customer_NameLine_DescriptionTran_IDInvoice_Number
BSOR19 \$88,803.54 UOFU GRANTS & CONTRACTS ACCOUNTINGSOR2 A02769 SEP 20 21CPR802
PRC2002125000399UU-53200281-SEPTEMBER 2020
BSOR19 \$34,682.24 UOFU GRANTS & CONTRACTS ACCOUNTING SOR2 A02769 AUG 20 21CPR667
PRC2002125000333UU-53200281-AUGUST 2020
BSOR19 \$21,070.69 UOFU GRANTS & CONTRACTS ACCOUNTING SOR2 A02769 JUL 20 21CPR666
PRC2002125000333UU-53200281-JULY 2020

[5] Payment:

2025000715SOR2 A02769 SEP 19 20CPR784BSORX8UU-00167074\$79,716.21

[6] We show the following payments were made - \$101,139:

2025000955SOR1 A02769 NOV 19 20CPR1667BSORX8UU-00172708\$7,341.84
2025001173SOR1 A02769 DEC 19 20CPR2082BSORX8UU-00176293\$6,296.43
2025001311SOR1 A02769 JAN 20BSORX8UU-00178749\$8,824.80
2025001501SOR1 A02769 FEB 20 20CPR2691BSORX8UU-00181297\$1,249.59
2025001503SOR1 A02769 FEB 20 20CPR2692 SAN JUANBSORX8UU-00181299\$6,573.42
2025001818SOR1 A02769 MAR 20 SAN JUAN 20CPR3082BSORX8UU-00183138\$12,383.01
2025001834SOR1 A02769 APR 20 20CPR3224 SAN JUANBSORX8UU-00185425\$14,061.31
2025002103SOR1 A02769 MAY 20 20CPR3692BSORX8UU-00189001\$29,201.64
2025002262SOR1 A02769 JUN 20 20CPR3983BSORX8UU-53200274\$15,206.36

[7] This amount has been lowered from \$30,000 to actual expenditures of \$29,606

Program Amount Vendor_Customer_NameLine_DescriptionTran_IDInvoice_Number
BSORX8 \$21,032.83 UOFU GRANTS & CONTRACTS ACCOUNTINGSOR2 A02769 SEP 20 21CPR799
PRC2002125000398UU-53200282--SEPTEMBER 2020
BSORX8 \$3,661.00 UOFU GRANTS & CONTRACTS ACCOUNTING SOR2 A02769 AUG 20 21CPR669
PRC2002125000333UU-53200282-AUGUST 2020
BSORX8 \$4,912.60 UOFU GRANTS & CONTRACTS ACCOUNTING SOR2 A02769 JUL 20 21CPR668
PRC2002125000333UU-53200282-JULY 2020

[8] This amount has been lowered to actual payments, from \$225,000 to \$149,025:

Program Amount Vendor_Customer_NameLine_DescriptionTran_IDInvoice_Number

BSORES20 \$9,147.50 UOFU GRANTS & CONTRACTS ACCOUNTING SOR1 A02769 SEP-OCT 20
21CPR1391 PRC2002125000712UU-00202422
BSORES20 \$6,436.77 UOFU GRANTS & CONTRACTS ACCOUNTING SOR1 A02769 FEB 21
21CPR2519 PRC2002125001377UU-00211775
BSORES20 \$6,066.03 UOFU GRANTS & CONTRACTS ACCOUNTING SOR1 A02769 DEC 20
PRC2002125000989UU-00206194
BSORES20 \$4,614.53 UOFU GRANTS & CONTRACTS ACCOUNTING SOR1 A02769 NOV 20
21CPR1657 PRC2002125000819 UU-00204856
BSORES20 \$6,436.77 UOFU GRANTS & CONTRACTS ACCOUNTING SOR1 A02769 FEB 21
21CPR2519 MD1002100000936 UU-00211775
BSORES20 \$(6,436.77) UOFU GRANTS & CONTRACTS ACCOUNTING SOR1 A02769 FEB 21
21CPR2519 MD1002100000936 UU-00211775
BSORES20 \$49,541.58 UOFU GRANTS & CONTRACTS ACCOUNTING SOR1 A02769 MAR 21
21CPR2985 PRC2002125001623 UU-00215394
BSORES20 \$16,710.54 UOFU GRANTS & CONTRACTS ACCOUNTING SOR1 A02769 JAN 21
21CPR2311 PRC2002125001226 UU-00210217
BSORES20 \$9,859.30 UOFU GRANTS & CONTRACTS ACCOUNTING SOR1 A02769 MAY 21
21CPR3601 PRC2002125002099 UU-00219564
BSORES20 \$27,940.33 UOFU GRANTS & CONTRACTS ACCOUNTING SOR1 A02769 - JUNE 2021 -
21CPR4064 PRC2002125002310 UU-00221977
BSORES20 \$18,708.61 UOFU GRANTS & CONTRACTS ACCOUNTING SOR1 A02769 APR 21
PRC2002125001810 UU-00218193

[9] This amount was \$75,000 but is being increased to \$150,974

Complete Boxes in PINK

TOTAL REVENUE	\$450,974	State Fiscal Year	Expenses Entered	Difference
Yearly Revenue	\$375,974	2022	\$375,974	\$0
	\$75,000	2023	\$75,000	\$0
		2024	\$0	\$0
		2025	\$0	\$0
		2026	\$0	\$0
		2027	\$0	\$0

NOTE
 "Difference" column must
 be zero in order for budget
 to be complete.

State fiscal year = July 1st thru June 30th

EXPENSES	2022	State Fiscal Year - July 1st 2021 thru June 30th 2022
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Salary Expense	Name	Title	Annual Salary + Benefits	% Work [1]	Cost to Contract	Admin or Program [2]
Example	John Doe	Program Manager	\$45,326	45%	\$20,397	Program
1)	Jerry Cochran, MSW, PhD,	Project Principal Inve	\$265,069	5%	\$13,253	Program
2)	Marcela Smid, MD, MA, MS,	Project co-Principal I	\$267,062	6.25%	\$16,691	Program
3)	TBN, GRA	Graduate Research A	\$50,213	50%	\$25,107	Program
4)	Sam Costanzo, Finance Mgr	Finance Manager	\$112,367	10%	\$11,237	Program
5)	Kristi Carlston, PSClinRhSr	Sr. Clinical Research	\$109,242	1%	\$1,092	Program
6)	Ashley White, SocServWrk	Social Services Work	\$83,945	2.50%	\$2,099	Program
7)	TBN	Reseach Coordinator	\$100,500	25%	\$25,125	Program
8)					\$0	
9)					\$0	
10)					\$0	
Total =					\$94,604	

Revenue Remaining **\$281,370**

Admin. Expenses

	NOTES
Travel	
Space Cost [3]	
Communications [4]	
Equipment/Furniture [5]	
Supplies	
Miscellaneous [6]	\$24,392 Indirect Rate 10% (MTDC= \$351,583)
Conferences/Workshops	
Insurance	
Professional Fees	
Total =	\$24,392

*Include a note here describing it

Revenue Remaining **\$256,978**

Program Expenses

	NOTES
Travel	\$4,000
Space Cost [7]	
Communications [8]	\$13,750 Videography expenses for training modules
Equipment/Furniture [9]	
Supplies	\$4,562 Misc. supplies (office supplies, computing, software, etc.)
Miscellaneous	\$234,666 SJCC subcontract (\$107,667), Agency partners (\$77,000), UU Education Curriculum Development Partners (\$50,000)
Conferences/Workshops	
Insurance	
Professional Fees	
Total =	\$256,978

*Include a note here describing it

Revenue Remaining **\$0** This number should be ZERO

EXPENSES **2023**

State Fiscal Year - July 1st 2022 thru June 30th 2023

Salary Expense		Name	Title	Annual Salary + Benefits	% Work [10]	Cost to Contract	Admin or Program [11]
Example		John Doe	Program Manager	\$45,326	45%	\$20,397	Program
1)		Jerry Cochran, MSW, PhD,	Project Principal Inve	\$265,069	1.25%	\$3,313	
2)		Marcela Smid, MD, MA, MS,	Project co-Principal I	\$267,062	1.25%	\$3,338	
3)		TBN, GRA	Graduate Research A	\$50,213	12.50%	\$6,277	
4)		Sam Costanzo, Finance Mgr	Finance Manager	\$112,367	2.50%	\$2,809	
5)		Kristi Carlston, PSClinRhSr	Sr. Clinical Research C	\$109,242	0.25%	\$273	
6)		Ashley White, SocServWrk	Social Services Work	\$83,945	0.63%	\$525	
7)						\$0	
8)						\$0	
9)						\$0	
10)						\$0	
Total =						\$16,535	

Revenue Remaining **\$58,465**

Admin. Expenses

NOTES

Travel			
Space Cost [12]			
Communications [13]			
Equipment/Furniture [14]			
Supplies			
Miscellaneous	\$4,371	Indirect Rate 10% (MTDC= \$43,712)	*Include a note here describing it
Conferences/Workshops			
Insurance			
Professional Fees			
Total =	\$4,371		

Revenue Remaining **\$54,094**

Program Expenses

NOTES

Travel	\$1,000		
Space Cost [15]			
Communications [16]			
Equipment/Furniture [17]			
Supplies	\$1,177	Misc. supplies (office supplies, computing, software, etc.)	
Miscellaneous	\$51,917	SICC subcontract (\$26,917), Agency partners (\$26,000)	*Include a note here describing it
Conferences/Workshops			
Insurance			
Professional Fees			
Total =	\$54,094		

Revenue Remaining **\$0** **This number should be ZERO**

EXPENSES **2024**

State Fiscal Year - July 1st 2023 thru June 30th 2024

Salary Expense		Name	Title	Annual Salary + Benefits	% Work [18]	Cost to Contract	Admin or Program [19]
Example		John Doe	Program Manager	\$45,326	45%	\$20,397	Program
1)						\$0	
2)						\$0	
3)						\$0	
4)						\$0	
5)						\$0	
6)						\$0	
7)						\$0	

8)				\$0	
9)				\$0	
10)				\$0	
Total =				\$0	

Revenue Remaining

Admin. Expenses

	NOTES
Travel	
Space Cost [20]	
Communications [21]	
Equipment/Furniture [22]	
Supplies	
Miscellaneous	*Include a note here describing it
Conferences/Workshops	
Insurance	
Professional Fees	
Total =	\$0

Revenue Remaining

Program Expenses

	NOTES
Travel	
Space Cost [23]	
Communications [24]	
Equipment/Furniture [25]	
Supplies	
Miscellaneous	*Include a note here describing it
Conferences/Workshops	
Insurance	
Professional Fees	
Total =	\$0

Revenue Remaining This number should be ZERO

EXPENSES	2025	State Fiscal Year - July 1st 2024 thru June 30th 2025				
Salary Expense	Name	Title	Annual Salary + Benefits	% Work [26]	Cost to Contract	Admin or Program [27]
Example	John Doe	Program Manager	\$45,326	45%	\$20,397	Program
1)					\$0	
2)					\$0	
3)					\$0	
4)					\$0	
5)					\$0	
6)					\$0	
7)					\$0	
8)					\$0	
9)					\$0	
10)					\$0	
Total =					\$0	

Revenue Remaining

Admin. Expenses

	NOTES
Travel	
Space Cost [28]	
Communications [29]	
Equipment/Furniture [30]	
Supplies	
Miscellaneous	*Include a note here describing it

Conferences/Workshops	
Insurance	
Professional Fees	
Total =	\$0
Revenue Remaining	\$0

Program Expenses

NOTES

Travel	
Space Cost [31]	
Communications [32]	
Equipment/Furniture [33]	
Supplies	
Miscellaneous	
Conferences/Workshops	
Insurance	
Professional Fees	
Total =	\$0

*Include a note here describing it

Revenue Remaining This number should be ZERO



EXPENSES **2026** State Fiscal Year - July 1st 2025 thru June 30th 2026

Salary Expense	Name	Title	Annual Salary + Benefits	% Work [34]	Cost to Contract	Admin or Program [35]
Example	John Doe	Program Manager	\$45,326	45%	\$20,397	Program
1)					\$0	
2)					\$0	
3)					\$0	
4)					\$0	
5)					\$0	
6)					\$0	
7)					\$0	
8)					\$0	
9)					\$0	
10)					\$0	
Total =					\$0	

Revenue Remaining

Admin. Expenses

NOTES

Travel	
Space Cost [36]	
Communications [37]	
Equipment/Furniture [38]	
Supplies	
Miscellaneous	
Conferences/Workshops	
Insurance	
Professional Fees	
Total =	\$0

*Include a note here describing it

Revenue Remaining

Program Expenses

NOTES

Travel	
Space Cost [39]	
Communications [40]	
Equipment/Furniture [41]	
Supplies	
Miscellaneous	

*Include a note here describing it

Conferences/Workshops	
Insurance	
Professional Fees	
Total =	\$0

Revenue Remaining This number should be ZERO

EXPENSES 2027 State Fiscal Year - July 1st 2026 thru June 30th 2027

Salary Expense	Name	Title	Annual Salary + Benefits	% Work [42]	Cost to Contract	Admin or Program [43]
Example	John Doe	Program Manager	\$45,326	45%	\$20,397	Program
1)					\$0	
2)					\$0	
3)					\$0	
4)					\$0	
5)					\$0	
6)					\$0	
7)					\$0	
8)					\$0	
9)					\$0	
10)					\$0	
Total =					\$0	

Revenue Remaining

Admin. Expenses

	NOTES
Travel	
Space Cost [44]	
Communications [45]	
Equipment/Furniture [46]	
Supplies	
Miscellaneous	*Include a note here describing it
Conferences/Workshops	
Insurance	
Professional Fees	
Total =	\$0

Revenue Remaining

Program Expenses

	NOTES
Travel	
Space Cost [47]	
Communications [48]	
Equipment/Furniture [49]	
Supplies	
Miscellaneous	*Include a note here describing it
Conferences/Workshops	
Insurance	
Professional Fees	
Total =	\$0

Revenue Remaining This number should be ZERO

[1] This is the percentage of the person's total work time devoted to the contract over the state fiscal year listed. If the total year will not be covered for this budget period by the contract, please adjust your percent accordingly.

[2] If a person is performing both an admin and program roll in the contract, list them twice with the appropriate data included for each roll.

[3] rent, mortgage, lease

[4] telephones, cell phones, postage, etc.

[5] computer, desk, table, chair, cabinet, etc. \$5,000 or less

[6] Include Federally Approved Administrative Cost Percentage here. A copy of your Federally Approved plan must be submitted with your budget.

[7] rent, mortgage, lease

[8] telephones, cell phones, postage, etc.

[9] computer, desk, table, chair, cabinet, etc. \$5,000 or less

[10] This is the percentage of the person's total work time devoted to the contract over the state fiscal year listed. If the total year will not be covered for this budget period by the contract, please adjust your percent accordingly.

[11] If a person is performing both an admin and program roll in the contract, list them twice with the appropriate data included for each roll.

[12] rent, mortgage, lease

[13] telephones, cell phones, postage, etc.

[14] computer, desk, table, chair, cabinet, etc. \$5,000 or less

[15] rent, mortgage, lease

[16] telephones, cell phones, postage, etc.

[17] computer, desk, table, chair, cabinet, etc. \$5,000 or less

[18] This is the percentage of the person's total work time devoted to the contract over the state fiscal year listed. If the total year will not be covered for this budget period by the contract, please adjust your percent accordingly.

[19] If a person is performing both an admin and program roll in the contract, list them twice with the appropriate data included for each roll.

[20] rent, mortgage, lease

[21] telephones, cell phones, postage, etc.

[22] computer, desk, table, chair, cabinet, etc. \$5,000 or less

[23] rent, mortgage, lease

[24] telephones, cell phones, postage, etc.

[25] computer, desk, table, chair, cabinet, etc. \$5,000 or less

[26] This is the percentage of the person's total work time devoted to the contract over the state fiscal year listed. If the total year will not be covered for this budget period by the contract, please adjust your percent accordingly.

[27] If a person is performing both an admin and program roll in the contract, list them twice with the appropriate data included for each roll.

[28] rent, mortgage, lease

[29] telephones, cell phones, postage, etc.

[30] computer, desk, table, chair, cabinet, etc. \$5,000 or less

[31] rent, mortgage, lease

[32] telephones, cell phones, postage, etc.

[33] computer, desk, table, chair, cabinet, etc. \$5,000 or less

[34] This is the percentage of the person's total work time devoted to the contract over the state fiscal year listed. If the total year will not be covered for this budget period by the contract, please adjust your percent accordingly.

[35] If a person is performing both an admin and program roll in the contract, list them twice with the appropriate data included for each roll.

[36] rent, mortgage, lease

[37] telephones, cell phones, postage, etc.

[38] computer, desk, table, chair, cabinet, etc. \$5,000 or less

[39] rent, mortgage, lease

[40] telephones, cell phones, postage, etc.

[41] computer, desk, table, chair, cabinet, etc. \$5,000 or less

[42] This is the percentage of the person's total work time devoted to the contract over the state fiscal year listed. If the total year will not be covered for this budget period by the contract, please adjust your percent accordingly.

[43] If a person is performing both an admin and program roll in the contract, list them twice with the appropriate data included for each roll.

[44] rent, mortgage, lease

[45] telephones, cell phones, postage, etc.

[46] computer, desk, table, chair, cabinet, etc. \$5,000 or less

[47] rent, mortgage, lease

[48] telephones, cell phones, postage, etc.

[49] computer, desk, table, chair, cabinet, etc. \$5,000 or less












40434 22CR26 University of Utah A02769-A4

Final Audit Report

2021-08-23

Created:	2021-08-17
By:	Elizabeth Bailey-Durst (elizbailey@utah.gov)
Status:	Signed
Transaction ID:	CBJCHBCAABAAgSL-ETZwQtaJZ-Dk5_DopJRXX4HsBgR9

"40434 22CR26 University of Utah A02769-A4" History

-  Document created by Elizabeth Bailey-Durst (elizbailey@utah.gov)
2021-08-17 - 7:34:06 PM GMT- IP address: 204.113.19.50
-  Document emailed to Brent Brown (brent.brown@osp.utah.edu) for signature
2021-08-17 - 7:35:36 PM GMT
-  Email viewed by Brent Brown (brent.brown@osp.utah.edu)
2021-08-20 - 7:46:39 PM GMT- IP address: 24.11.102.98
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Signature Date: 2021-08-20 - 7:47:18 PM GMT - Time Source: server- IP address: 24.11.102.98
-  Document emailed to Tracy S. Gruber (dhsedoapprovals@utah.gov) for signature
2021-08-20 - 7:47:20 PM GMT
-  Email viewed by Tracy S. Gruber (dhsedoapprovals@utah.gov)
2021-08-20 - 8:06:30 PM GMT- IP address: 74.125.209.30
-  Document e-signed by Tracy S. Gruber (dhsedoapprovals@utah.gov)
Signature Date: 2021-08-20 - 8:07:31 PM GMT - Time Source: server- IP address: 168.178.211.167
-  Document emailed to fi_contracts@utah.gov for signature
2021-08-20 - 8:07:34 PM GMT
-  Email viewed by fi_contracts@utah.gov
2021-08-20 - 10:31:13 PM GMT- IP address: 74.125.209.9
-  Document signing delegated to Sheri Witucki (switucki@utah.gov) by fi_contracts@utah.gov
2021-08-23 - 1:00:49 PM GMT- IP address: 204.113.19.50
-  Document e-signed by Sheri Witucki (switucki@utah.gov)
Signature Date: 2021-08-23 - 1:06:29 PM GMT - Time Source: server- IP address: 204.113.19.50

✔ Agreement completed.

2021-08-23 - 1:06:29 PM GMT