

BID FOR UNIT PRICE CONTRACT

TO THE OWNER, _____ City of Rochelle, Illinois

1. Proposal of _____ Insituform Technologies USA, LLC

_____ 580 Goddard Avenue, Chesterfield, MO 63005

(Name and Address of Bidder)

for WORK, designated in Paragraph 2 below, by _____ Pre-cleaning and televising and cured-in-place lining

_____ "Install a cured-in-place liner in 9,200 feet of 8" and 10" diameter sanitary sewers, chemical grouting of

_____ 161 sanitary sewer services, replace 14 manhole adjustment rings and castings, and line 89 vertical feet

_____ of sanitary manhole complete with appurtenances and surface restoration."

2. The Plans for the proposed WORK are those prepared by _____

_____ Willett, Hofmann & Associates, Inc., Consulting Engineers

_____ 809 East Second Street, Dixon, Illinois 61021-0367

which plans are designated as _____ "Northeast Area Sanitary Sewer Lining"

and which cover the WORK described in Paragraph 1 above.

3. In submitting this proposal, the undersigned declares that the only persons or parties interested in the proposal as principals are those named herein, and that the proposal is made without collusion with any other person, firm or corporation.

4. The undersigned further declares that he has carefully examined the Proposal, Plans, Specifications, form of Contract and Performance and Payment Bonds, and Special Provisions (if any), and that he has inspected in detail the site of the proposed Work, and that he has familiarized himself with all of the local conditions affecting the Contract and the detailed requirements of construction, and understands that in making this Proposal he waives all right to plead any misunderstanding regarding the same.

5. The undersigned further understands and agrees that if this Proposal is accepted he is to furnish and provide all necessary machinery, tools, apparatus, and other means of construction, and to do all of the Work, and to furnish all of the materials specified in the Contract, except such materials as are to be furnished by the OWNER, in the manner and at the time therein prescribed, and in accordance with the requirements therein set forth.

6. The undersigned declares that he understands that the Work envisioned in this contract will be paid for on a unit price basis.

Bidder acknowledged receipt of the following Addenda:

#	<u>1</u>	Dated	<u>May 24, 2022</u>
#	<u>2</u>	Dated	<u>May 27, 2022</u>
#	<u>3</u>	Dated	<u>May 31, 2022</u>
	4		May 31, 2022

BID SCHEDULE
NORTHEAST AREA SANITARY SEWER LINING

(with all appurtenances as stated in the technical specifications and shown on the plans)

Item	Description	Unit	Quantity	Unit Cost	Cost
1	Precleaning and Televising of Sewer Line	L.F.	9,202	<u>4.20</u>	<u>38,648.40</u>
2	Heavy Cleaning	Hour	24	<u>372.00</u>	<u>8,928.00</u>
3	Cured-in-place Lining, 8" Dia.	L.F.	8,461	<u>30.50</u>	<u>258,060.50</u>
4	Cured-in-place Lining, 10" Dia.	L.F.	741	<u>46.70</u>	<u>34,604.70</u>
5	Lateral Service Reinstatement	Each	161	<u>135.00</u>	<u>21,735.00</u>
6	Lateral Service Grouting	Each	161	<u>770.00</u>	<u>123,970.00</u>
7	Sanitary Manhole Lining	V.F.	89.4	<u>652.20</u>	<u>58,306.68</u>
8	Manhole Adjustment Rings and Casting Replacement	Each	19	<u>4,080.00</u>	<u>77,520.00</u>
9	Fillet Replacement	Each	2	<u>2,015.00</u>	<u>4,030.00</u>
10	Casting Lid Replacement	Each	3	<u>320.00</u>	<u>960.00</u>
11	Sanitary Sewer Spot Repair - (A)	V.F.	1	<u>6,890.00</u>	<u>6,890.00</u>
12	Sanitary Sewer Spot Repair - (B)	Each	1	<u>5,850.00</u>	<u>5,850.00</u>
13	Sanitary Manhole Replacement	Each	2	<u>8,000.00</u>	<u>16,000.00</u>
14	Traffic Control	L.S.	1	<u>20,450.00</u>	<u>20,450.00</u>
Total Bid Proposal Cost for Northeast Area Sanitary Sewer Lining					<u>675,953.28</u>

7. The undersigned further agrees that if the OWNER declares to extend or shorten the Work, or otherwise alter it by extras or deductions, including the elimination of any one or more of the items, as provided in the specifications, he will perform the Work as altered, increased or decreased at negotiated prices per Section 01 20 10 of the General Requirements.

8. The undersigned further agrees that the OWNER may, at any time during the progress of the Work covered by this Contract, order other work or materials. All such work and materials that do not appear in the plans shall be performed as extra work, per Section 01 20 10 of the General Requirements.

9. The undersigned further agrees to execute a Contract for this Work and present the same to the OWNER within fifteen (15) days after the receipt of Notice of Award of the Contract by him.

10. The undersigned further agrees that he and his surety will execute and present within fifteen (15) days after the receipt of Notice of Award, a Performance Bond and Payment Bond satisfactory to and in the form prescribed by the OWNER, in the penal sum of the full amount of the Contract, guaranteeing the faithful performance of the Work in accordance with the terms of the Contract.

11. The undersigned further agrees to begin Work not later than the date specified in the Notice to Proceed, and to prosecute the Work in such a manner and with sufficient materials, equipment, and labor as will insure its completion within the Contract Time specified herein, it being understood and agreed that the completion within the Contract Time is an essential part of the Contract. The undersigned agrees to have the work substantially complete the Work within 90 calendar days and final completion shall be within 150 calendar days after the date specified in the Notice to Proceed, unless additional time shall be granted by the OWNER in accordance with the provisions of the Specifications. In case of failure to complete the Work within the time named herein or within such extra time as may have been allowed by extensions, the undersigned agrees that the OWNER shall withhold, from such sums as may be due him under the terms of this Contract, a liquidated damage amount of \$500.00 per calendar day, which costs shall be considered and treated not as a penalty, but as damages due the OWNER from the undersigned by reason of inconvenience to the public, added costs of engineering and supervision, maintenance of detours, and other items which have caused an expenditure of public funds resulting from the failure of the undersigned to complete the Work within the Contract Time.

12. Accompanying this Proposal is a bank draft, bank cashier's check, certified check, or bid bond, complying with the requirements of the specifications, made payable to the City of Rochelle, Illinois.
The amount of the check or draft is bid bond - Five percent of amount bid (\$% of amt bid).

If this proposal is accepted and the undersigned shall fail to execute a Contract and Performance and Payment Bonds as required herein, it is hereby agreed that the amount of the check or draft or bidder's bond substituted in lieu thereof, shall become the property of the OWNER, and shall be considered as payment of damages due to delay and other causes suffered by the OWNER because of the failure to execute said Contract and Performance and Payment Bond; otherwise said check or draft, or bidder's bond substituted in lieu thereof shall be returned to the undersigned.

ATTACH BID BOND, BANK DRAFT, BANK CASHIER'S CHECK, OR
CERTIFIED CHECK HERE

(If an individual)

(SEAL)

Signature of Bidder _____

Business Address _____

(If a Partnership)

(SEAL)

Firm Name _____

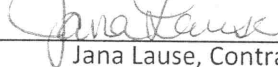
Signed by _____ (SEAL)

Business Address _____

Insert Name and Address of All
Members of the Firm

(If a corporation)

Corporate Name Insituform Technologies USA, LLC

Signed by 
Jana Lause, Contrating & Attesting Officer

Business Address 580 Goddard Avenue

Chesterfield, MO 63005

President Burt M. Keating

Sr. VP & Asst. Secretary Kent W. Bartholomew

Sr. VP & Treasurer Donald E. Hake

Attest: 

Secretary

Diane Partridge, Contracting & Attesting Officer

(CORPORATE SEAL)

BID BOND¹

KNOW ALL MEN BY THESE PRESENTS, that the undersigned

Insituform Technologies USA, LLC, 580 Goddard Avenue, Chesterfield, MO 63005, as PRINCIPAL,

AND Travelers Casualty and Surety Company of America, One Tower Square, Hartford, CT 06183, as SURETY are

held and firmly bound unto City of Rochelle, Illinois

Hereinafter called the "Local Public Agency", in the penal sum of

Five Percent of the Amount Bid Dollars

(\$5% of the Amount Bid), lawful money of the United States, for the payment of which sum well and truly to be made, we bind ourselves, our heirs, executors, administrators, successors, and assigns, jointly and severally, firmly by these presents.

THE CONDITION OF THIS OBLIGATION IS SUCH, that whereas the Principal has submitted the Accompanying Bid, dated June 02, 20 22, for

Northeast Area Sanitary Sewer Lining - 2022, Contract No. 20-242008

NOW, THEREFORE, if the Principal shall not withdraw said Bid within the period specified therein after the opening of the same, or, if no period be specified, within thirty (30) days after the said opening, and shall within the period specified therefore, or if not period be specified, within ten (10) days after the prescribed forms are presented to him for signature, enter into a written Contract with the Local Public Agency in accordance with the Bid as accepted, and give bond with good and sufficient surety or sureties, as may be required, for the faithful performance and proper fulfillment of such contract; or in the event of the withdrawal of said Bid within the period specified, or the failure to enter into such Contract and give such bond within the time specified, if the Principal shall pay the Local Public Agency the difference between the amount specified in said Bid and the amount for which the Local Public Agency may procure the required work or supplies or both, if the latter be in excess of the former, then the above obligation shall be void and of no effect, otherwise to remain in full force and virtue.

IN WITNESS WHEREOF, the above-bound parties have executed this instrument under their several seals this 02nd day of June, 20 22, the name and corporate seal of each corporate party being hereto affixed and these present signed by its undersigned representative, pursuant to authority of its governing body.

¹ Forms of Bid Bonds prepared to meet the requirements of local or State laws or the needs of the Local Public Agency should be substituted for this form where necessary.

State of Missouri
County of St. Louis

On 06/02/2022, before me, a Notary Public in and for said County and State, residing therein, duly commissioned and sworn, personally appeared Andrew P. Thome known to me to be Attorney-in-Fact of

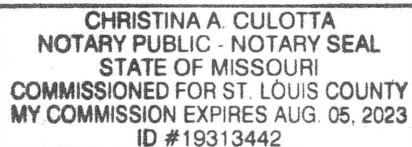
TRAVELERS CASUALTY AND SURETY COMPANY OF AMERICA

the corporation described in and that executed the within and foregoing instrument, and known to me to be the person who executed the said instrument in behalf of said corporation, and he duly acknowledged to me that such corporation executed the same.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal, the day and year stated in this certificate above.

CA Culotta

Christina A. Culotta, Notary Public



My Commission Expires: _____



**Travelers Casualty and Surety Company of America
Travelers Casualty and Surety Company
St. Paul Fire and Marine Insurance Company**

POWER OF ATTORNEY

KNOW ALL MEN BY THESE PRESENTS: That Travelers Casualty and Surety Company of America, Travelers Casualty and Surety Company, and St. Paul Fire and Marine Insurance Company are corporations duly organized under the laws of the State of Connecticut (herein collectively called the "Companies"), and that the Companies do hereby make, constitute and appoint **ANDREW P THOME** of **CHESTERFIELD**, **Missouri**, their true and lawful Attorney(s)-in-Fact to sign, execute, seal and acknowledge any and all bonds, recognizances, conditional undertakings and other writings obligatory in the nature thereof on behalf of the Companies in their business of guaranteeing the fidelity of persons, guaranteeing the performance of contracts and executing or guaranteeing bonds and undertakings required or permitted in any actions or proceedings allowed by law.

IN WITNESS WHEREOF, the Companies have caused this instrument to be signed, and their corporate seals to be hereto affixed, this **21st** day of **April**, **2021**.



State of Connecticut

City of Hartford ss.

By: 
Robert L. Raney, Senior Vice President

On this the **21st** day of **April**, **2021**, before me personally appeared **Robert L. Raney**, who acknowledged himself to be the Senior Vice President of each of the Companies, and that he, as such, being authorized so to do, executed the foregoing instrument for the purposes therein contained by signing on behalf of said Companies by himself as a duly authorized officer.

IN WITNESS WHEREOF, I hereunto set my hand and official seal.

My Commission expires the **30th** day of **June**, **2026**




Anna P. Nowik, Notary Public

This Power of Attorney is granted under and by the authority of the following resolutions adopted by the Boards of Directors of each of the Companies, which resolutions are now in full force and effect, reading as follows:

RESOLVED, that the Chairman, the President, any Vice Chairman, any Executive Vice President, any Senior Vice President, any Vice President, any Second Vice President, the Treasurer, any Assistant Treasurer, the Corporate Secretary or any Assistant Secretary may appoint Attorneys-in-Fact and Agents to act for and on behalf of the Company and may give such appointee such authority as his or her certificate of authority may prescribe to sign with the Company's name and seal with the Company's seal bonds, recognizances, contracts of indemnity, and other writings obligatory in the nature of a bond, recognizance, or conditional undertaking, and any of said officers or the Board of Directors at any time may remove any such appointee and revoke the power given him or her; and it is

FURTHER RESOLVED, that the Chairman, the President, any Vice Chairman, any Executive Vice President, any Senior Vice President or any Vice President may delegate all or any part of the foregoing authority to one or more officers or employees of this Company, provided that each such delegation is in writing and a copy thereof is filed in the office of the Secretary; and it is

FURTHER RESOLVED, that any bond, recognizance, contract of indemnity, or writing obligatory in the nature of a bond, recognizance, or conditional undertaking shall be valid and binding upon the Company when (a) signed by the President, any Vice Chairman, any Executive Vice President, any Senior Vice President or any Vice President, any Second Vice President, the Treasurer, any Assistant Treasurer, the Corporate Secretary or any Assistant Secretary and duly attested and sealed with the Company's seal by a Secretary or Assistant Secretary; or (b) duly executed (under seal, if required) by one or more Attorneys-in-Fact and Agents pursuant to the power prescribed in his or her certificate or their certificates of authority or by one or more Company officers pursuant to a written delegation of authority; and it is

FURTHER RESOLVED, that the signature of each of the following officers: President, any Executive Vice President, any Senior Vice President, any Vice President, any Assistant Vice President, any Secretary, any Assistant Secretary, and the seal of the Company may be affixed by facsimile to any Power of Attorney or to any certificate relating thereto appointing Resident Vice Presidents, Resident Assistant Secretaries or Attorneys-in-Fact for purposes only of executing and attesting bonds and undertakings and other writings obligatory in the nature thereof, and any such Power of Attorney or certificate bearing such facsimile signature or facsimile seal shall be valid and binding upon the Company and any such power so executed and certified by such facsimile signature and facsimile seal shall be valid and binding on the Company in the future with respect to any bond or understanding to which it is attached.

I, **Kevin E. Hughes**, the undersigned, Assistant Secretary of each of the Companies, do hereby certify that the above and foregoing is a true and correct copy of the Power of Attorney executed by said Companies, which remains in full force and effect.

Dated this **02nd** day of **June**, **2022**




Kevin E. Hughes, Assistant Secretary

**To verify the authenticity of this Power of Attorney, please call us at 1-800-421-3880.
Please refer to the above-named Attorney(s)-in-Fact and the details of the bond to which this Power of Attorney is attached.**

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

CERTIFICATION OF BIDDER REGARDING EQUAL EMPLOYMENT OPPORTUNITY

INSTRUCTIONS

This certification is required pursuant to Executive Order 11246 (30 F.R. 12319-25). The implementing rules and regulations provide that any bidder or prospective contractor, or any of their proposed subcontractors, shall state as an initial part of the bid or negotiations of the contract whether it has participated in any previous contract or subcontract subject to the equal opportunity clause; and, if so, whether it has filed all compliance reports due under applicable instructions.

Where the certification indicates that the bidder has not filed a compliance report due under applicable instructions, such bidder shall be required to submit a compliance report within seven calendar days after bid opening. No contract shall be awarded unless such report is submitted.

CERTIFICATION BY BIDDER

NAME AND ADDRESS OF BIDDER (Include Zip Code)

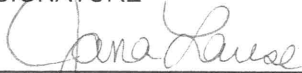
Insituform Technologies USA, LLC
580 Goddard Avenue
Chesterfield, MO 63005

- | | | | |
|----|--|---|------|
| 1. | Bidder has participated in a previous contract or subcontract subject to the Equal Opportunity Clause. | | |
| 9 | X Yes | 9 | No |
| 2. | Compliance reports were required to be completed in connection with such contract or subcontract. | | |
| 9 | X Yes | 9 | No |
| 3. | Bidder has filed all compliance reports due under applicable instructions, including SF-100. | | |
| 9 | X Yes | 9 | No |
| 4. | Have you ever been or are you being considered for sanction due to violation of Executive Order 11246, as amended? | | |
| 9 | Yes | 9 | X No |

NAME AND TITLE OF SIGNER (Please type)

Jana Lause, Contracting & Attesting Officer

SIGNATURE



DATE

June 2, 2022

Replaces Form HUD-1238.CD-1, which is obsolete.

HUD-950.1(11.78)
(Revised 4/01)

**CERTIFICATION OF BIDDER REGARDING SECTION 3
AND SEGREGATED FACILITIES**

Northeast Rochelle Sewer Lining
Grant #20-242016

Name of Prime Contractor

Project Name and Number

Insituform Technologies USA, LLC

The undersigned hereby certifies that

- a. Section 3 provisions are included in the Contract if this is a Section 3 project
- b. No segregated facilities will be maintained as required by Title IV of the Civil Rights Act of 1964.

Jana Lause, Contracting & Attesting Officer

Name and Title of Signer (Print or Type)

Signature

Jana Lause, Contracting & Attesting Officer

June 2, 2022

Date

(Revised 4/01)

NON-COLLUSION AFFIDAVIT OF PRIME BIDDER

State of MISSOURI)

County of ST. LOUIS) ss.

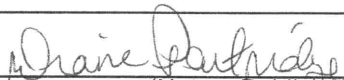
Jana Lause, being first duly sworn, deposes and says that:

1. He is Contracting & Attesting Officer of Insituform Technologies USA, LLC, the Bidder that has submitted the attached Bid; Northeast Rochelle Sewer Lining
2. He is fully informed respecting the preparation and contents of the attached Bid and of all pertinent circumstances respecting such Bid;
3. Such Bid is genuine and is not a collusive or sham Bid;
4. Neither the said Bidder or any of its officers, partners, owners, agents, representatives, employees or parties in interest, including this affiant, has in any way colluded, conspired, connived or agreed, directly or indirectly with any other Bidder, firm or person to submit a collusive or sham Bid in connection with the Contract for which the attached Bid has been submitted or to refrain from bidding in connection with such Contract, or has in any manner, directly or indirectly, sought by agreement or collusion or communication or conference with any other Bidder, firm or person to fix the price or prices in the attached Bid or of any other Bidder, or to fix any overhead, profit or cost element of the Bid price or the Bid price of any other Bidder, or to secure through any collusion, conspiracy, connivance or unlawful agreement any advantage against the *(Local Public Agency)* or any person interested in the proposed Contract; and
5. The price or prices quoted in the attached Bid are fair and proper and are not tainted by any collusion, conspiracy, connivance or unlawful agreement on the part of the Bidder or any of its agents, representatives, owners, employees, or parties in interest, including this affiant.

(Signed)


Jana Lause, Contracting & Attesting Officer
(Name & Title)

Subscribed and sworn before me
this 2nd day of June , 2022


(Notary Public)

July 8, 2024
DIANE PARTRIDGE
Notary Public - Notary Seal

My commission expires

STATE OF MISSOURI
St. Louis County
My Commission Expires: July 8, 2024
Commission # 12595471

(Revised 4/01)

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM

CONTRACTOR'S CERTIFICATION CONCERNING
LABOR STANDARDS AND PREVAILING WAGE REQUIREMENTS

TO (Appropriate Recipient):

Date _____

Project Number (if any): grant #20-242016

c/o _____

Project Name: Northeast Rochelle Sewer Lining

1. The undersigned, having executed a contract with the City of Rochele for the construction of the above identified project, acknowledges that:

- a. The Labor Standards provisions are included in the aforesaid contract;
- b. Correction of any infractions of the aforesaid conditions, including infractions by any of his subcontractors and any lower tier subcontractors, is his responsibility;

2. He certifies that:

- a. Neither he nor any firm, corporation, partnership or association in which he has substantial interest is designated as an ineligible contractor by the Comptroller General of the United States pursuant to Section 5.6(b) of the regulations of the Secretary of Labor, Part 5 (29 CFR, Part 5), or pursuant to Section 3(a) of the Davis-Bacon Act, as amended (40 U.S.C. 276a-2[a]).
- c. No part of the aforementioned contract has been or will be subcontracted to any subcontractor if such subcontractor or any firm, corporation, partnership or association in which such subcontractor has a substantial interest is designated as an ineligible contractor pursuant to the afore-mentioned regulatory or statutory provisions.

3. He agrees to obtain and forward to the aforementioned recipient within ten days after the execution of any subcontract, including those executed by his subcontractors and any lower tier subcontractors, a Subcontractor's Certification Concerning Labor Standards and Prevailing Wage Requirements, executed by the subcontractors.

4. He certifies that:

- a. The legal name and the business address of the undersigned are:

Insituform Technologies USA, LLC
580 Goddard Avenue, Chesterfield MO 63005

- b. The undersigned is:

G A SINGLE PROPRIETORSHIP

G A CORPORATION ORGANIZED IN THE STATE OF _____

G A PARTNERSHIP

G OTHER ORGANIZATION Limited Liability Company _____

- c. The name, title and address of the owner, partners or officers of the undersigned are:

NAME

TITLE

ADDRESS

Attached

HUD-1421(6-75)

- d. The names and addresses of all other persons, both natural and corporate, having a substantial interest in the undersigned, and the nature of the interest are (If none, so state):

NAME	ADDRESS	NATURE OF INTEREST
Aegion Corporation	580 Goddard Avenue, Chesterfield, MO 6500	Owner

- e. The names, addresses and trade classifications of all other building construction contractors in which the undersigned has a substantial interest are (if none, so state):

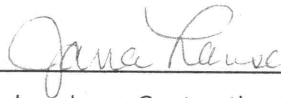
NAME	ADDRESS	TRADE CLASSIFICATION
N/A		

Insituform Technologies USA, LLC

(Contractor)

Date June 2, 2022

By



Jana Laue, Contracting & Attsetting Officer

WARNING

U.S. Criminal Code, Section 1010, Title 18, U.S.C., provides in part: "Whoever, . . . makes, passes, utters or publishes any statement, knowing the same to be false. . . shall be fined not more than \$5,000 or imprisoned not more than two years, or both."



**INSUITUFORM TECHNOLOGIES USA, LLC
BOARD OF MANAGERS AND OFFICERS**
(Current as of March 22, 2022)

<u>BOARD OF MANAGERS</u>	
Robert Tullman	Member, Board of Managers
Monta M. Bolles	Member, Board of Managers
Timothy J. Gallagher	Member, Board of Managers

<u>OFFICERS</u>	
<u>Name</u>	<u>Office</u>
Burt M. Keating	President
Ralph E. Western	Chief Technology Officer
Timothy J. Gallagher	Executive Vice President & Chief Financial Officer
Monta M. Bolles	Executive Vice President, Chief Legal Officer, Chief HR Officer & Secretary
Kent W. Bartholomew	Sr. Vice President & Assistant Secretary
John L. Heggemann	Sr. Vice President
Donald E. Hake	Sr. Vice President & Treasurer
Dennis Pivin	Vice President – Safety

<u>CONTRACTING AND ATTESTING OFFICERS</u>	
Christlanda Adkins	
Gina Gurrieri	
Janet Hass	
Jana Lause	
Diane Partridge	
Whittney Schulte	
Ursula Youngblood	

Business Address for Board of Managers and Officers:
580 Goddard Avenue
Chesterfield, MO 63005

Section 3 Plan Format

(Name of Contractor) Insituform Technologies USa, LLC agrees to implement the following specific affirmative action steps directed at increasing the utilization of lower-income residents and businesses located within the City of Rochelle

- a. To ascertain from the locality's CDBG program official the exact boundaries of the Section 3 covered project area and where advantageous, seek the assistance of local officials in preparing and implementing the affirmative action plan.
- b. To attempt to recruit from within the city the necessary number of lower income residents through: Local advertising media, signs placed at the proposed site for the project, and community organizations and public or private institutions operating within or serving the project area such as Service Employment and Redevelopment (SER), Opportunities Industrialization Center (OIC), Urban League, Concentrated Employment Program, Hometown Plan, or U.S. Employment Service.
- c. To maintain a list of all lower income area residents who have applied either on their own or on referral from any source, and to employ such persons, if otherwise eligible and if a vacancy exists.
- *d. To insert this Section 3 plan in all bid documents, and to require all bidders on subcontracts to submit a Section 3 affirmative action plan including utilization goals and the specific steps planned to accomplish these goals.
- e. To formally contact unions, subcontractors and trade associations to secure their cooperation for this program.
- f. To insure that all appropriate project area business concerns are notified of pending subcontractual opportunities.
- g. To maintain records, including copies of correspondence, memoranda, etc., which document that all of the above affirmative action steps have been taken.
- h. To appoint or recruit an executive official of the company or agency as Equal Opportunity Officer to coordinate the implementation of this Section 3 plan.
- i. To list on Table A, information related to subcontracts.

*Loans, grants, contracts and subsidies for less than \$100,000 will be exempt.

Section 3 Plan (cont'd)

- j. To list on Table B, all projected workforce needs for all phases of this project by occupation, trade, skill level and number of positions.

As officers and representatives of Insituform Technologies USA, LLC
(Name of Contractor)

We the undersigned have read and fully agree to this Affirmative Action Plan, and become a party to the full implementation of this program.

Jana Lause
Signature

Jana Lause, Contracting & Attesting Officer
Title

June 2, 2022
Date

Diane Partridge
Signature

Diane Partridge, Contracting & Attesting Officer
Title

June 2, 2022
Date

PROPOSED SUBCONTRACTS BREAKDOWN - TABLE A

FOR THE PERIOD COVERING June 15, 2022 through December 15, 2022

(Duration of the CDBG-Assisted Project)

[illegible]

*The Project Area is coextensive with the City of Rochelle's boundaries.

Insituform Technologies USA, LLC
Company

Northeast Rochelle Sewer Lining
Project Name

Project Name _____

 EEO Officer (Signature)
 Jana Lause, Contracting & Attestation

grant #20-242016
Project Number


Date June 2, 2022

ESTIMATED PROJECT WORKFORCE BREAKDOWN - TABLE B

COLUMN 1	COLUMN 2	COLUMN 3	COLUMN 4	COLUMN 5
JOB CATEGORY	TOTAL EST. POSITIONS	NO. POSITIONS BY PERMANENT EMPLOYEES	NO. POSITIONS NOT CURRENTLY OCCUPIED	NO. POSITIONS TO BE FILLED WITH L.I.P.A.R. *
OFFICERS/SUPERVISORS	3	3	0	0
PROFESSIONALS	3	3	3	3
TECHNICIANS				
HOUSING SALES/RENTAL/ MANAGEMENT				
OFFICE CLERICAL	2	2	0	0
SERVICE WORKERS				
OTHERS	6	6	0	0
TRADE:				
JOURNEYMAN				
HELPERS				
APPRENTICES				
MAXIMUM NO. TRAINEES				
OTHERS	2	2	0	0
TRADE:				
JOURNEYMAN				
HELPERS				
APPRENTICES				
MAXIMUM NO. TRAINEES				
OTHERS	8	8	0	0
TOTALS:	24	24	0	0

*Lower Income Project Area residents:

Individuals residing within the City of Rochelle whose family income does not exceed 80% of the median income in the SMSA.



 -EEO Officer (Signature)

Jana Lause, Contracting & Attesting Officer

**CERTIFICATION OF PROPOSED SUBCONTRACTOR REGARDING
SECTION 3 AND SEGREGATED FACILITIES**

	Northeast Rochelle Sewer Lining, grant #20-242016
Name of Subcontractor	Project Name and Number

The undersigned hereby certifies that

- a. Section 3 provisions are included in the Contract
- b. No segregated facilities will be maintained as required by Title VI of the Civil Rights Act of 1964.

Name and Title of Signer (Print or Type)

Signature	Date

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM

**SUBCONTRACTOR'S CERTIFICATION
CONCERNING LABOR STANDARDS & PREVAILING WAGE REQUIREMENTS**

TO (APPROPRIATE RECIPIENT)

DATE

C/O

PROJECT NUMBER (IF ANY) grant #20-242016

PROJECT NAME Northeast Rochelle Sewer Lining

1. The undersigned, having executed a contract with _____
(CONTRACTOR or SUBCONTRACTOR)
for _____
(NATURE OF WORK)

in the amount of \$ _____ in the construction of the above-identified project, certifies that:

- a. The Labor Standards Provisions of The Contract For Construction are included in the aforesaid contract.
 - b. Neither he nor any firm, corporation, partnership or association in which he has a substantial interest is designated as an ineligible contractor by the Comptroller General of the United States pursuant to Section 5.6(b) of the regulations of the Secretary of Labor, Part 5 (29 CFR, Part 5), or pursuant to Section 3(a) of the Davis-Bacon Act, as amended (40 U.S.C. 276a-2(a)).
 - c. No part of the aforementioned contract has been or will be subcontracted to any subcontractor if such subcontractor or any firm, corporation, partnership or association in which such subcontractor has a substantial interest is designated as an ineligible contractor pursuant to the aforesaid regulatory or statutory provisions.
2. He agrees to obtain and forward to the contractor, for transmittal to the recipient, within ten days after the execution of any Lower tier subcontract, a Subcontractor's Certification Concerning Labor Standards and Prevailing Wage Requirements, executed by the Lower tier subcontractor, in duplicate.

The workmen will report for duty on or about _____ (Date)

3. He certifies that:

a. The Legal name and the business address of the undersigned are:

b. The undersigned is:

☐ A SINGLE PROPRIETORSHIP ☐ A CORPORATION ORGANIZED IN THE STATE OF _____

☐ A PARTNERSHIP ☐ OTHER ORGANIZATION (DESCRIBED): _____

- c. THE NAME, TITLE AND ADDRESS OF THE OWNER, PARTNERS OR OFFICERS OF THE UNDERSIGNED ARE:

NAME	TITLE	ADDRESS

- d. The names and addresses of all other persons, both natural and corporate, having a substantial interest in the undersigned, and the nature of the interest are (If none, so state):

NAME	ADDRESS	NATURE OF INTEREST

- e. The names, addresses and trade classifications of all other building construction contractors in which the undersigned has a substantial interest are (If none, so state):

NAME	ADDRESS	TRADE CLASSIFICATION

Subcontractor: _____

By: _____ Date: _____
(Signature)

WARNING

U.S. CRIMINAL CODE, SECTION 1010, TITLE 18, U.S.C., PROVIDES IN PART: "WHOEVER, ... MAKES, PASSES, UTTERS OR PUBLISHES ANY STATEMENT, KNOWING THE SAME TO BE FALSE... SHALL BE FINED NOT MORE THAN \$5,000 OR IMPRISONED NOT MORE THAN TWO YEARS, OR BOTH."

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

**CERTIFICATION BY PROPOSED SUBCONTRACTOR REGARDING
EQUAL EMPLOYMENT OPPORTUNITY**

<hr/> NAME OF PRIME CONTRACTOR	<hr/> Grant #20-242016 PROJECT NUMBER
--------------------------------	--

INSTRUCTIONS

This certification is required pursuant to Executive Order 11246 (30 F.R. 12319-25). The implementing rules and regulations provide that any bidder or prospective contractor, or any of their proposed subcontractors, shall state as an initial part of the bid or negotiations of the contract whether it has participated in any previous contract or subcontract subject to the equal opportunity clause; and, if so, whether it has filed all compliance reports due under applicable instructions.

Where the certification indicates that the subcontractor has not filed a compliance report due under applicable instructions, such subcontractor shall be required to submit a compliance report before the owner approves the subcontract or permits work to begin under the subcontract.

SUBCONTRACTOR'S CERTIFICATION

NAME AND ADDRESS OF SUBCONTRACTOR (Include Zip Code)

-
- | | | | | |
|----|--|-----------------------------|--|--|
| 1. | Bidder has participated in a previous contract or subcontract subject to the Equal Opportunity Clause. | | | |
| | <input type="checkbox"/> Yes | <input type="checkbox"/> No | | |
-
- | | | | | |
|----|---|-----------------------------|--|--|
| 2. | Compliance reports were required to be completed in connection with such contract or subcontract. | | | |
| | <input type="checkbox"/> Yes | <input type="checkbox"/> No | | |
-
- | | | | | |
|----|--|-----------------------------|--|--|
| 3. | Bidder has filed all compliance reports due under applicable instructions, including SF-100. | | | |
| | <input type="checkbox"/> Yes | <input type="checkbox"/> No | | |
-
- | | | | | |
|----|--|-----------------------------|--|--|
| 4. | Have you ever been or are you being considered for sanction due to violation of Executive Order 11246, as amended? | | | |
| | <input type="checkbox"/> Yes | <input type="checkbox"/> No | | |

NAME AND TITLE OF SIGNER (Please type)

SIGNATURE

DATE

NON-COLLUSION AFFIDAVIT OF SUBCONTRACTOR

State of _____)

County of _____) ss.

_____, being first duly sworn, deposes and says that:

1. He is _____ of _____, hereinafter referred to as the "subcontractor"; _____
2. He is fully informed respecting the preparation and contents of the subcontractor's Proposal submitted by the subcontractor to _____, the Contractor for certain work in connection with the _____ Contract pertaining to the Project in _____ (City or County and State);
3. Such subcontractor's Proposal is genuine and is not a collusive or sham proposal;
4. Neither the subcontractor or any of its officers, partners, owners, agents, representatives, employees or parties in interest, including this affiant, has in any way colluded, conspired, connived or agreed, directly or indirectly with any other Bidder, firm or person to submit a collusive or sham Proposal in connection with such Contract or to refrain from submitting a Proposal in connection with such Contract, or has in any manner, with any other Bidder, firm or person to fix the price or prices in said subcontractor's Proposal, or to secure through collusion, conspiracy, connivance or unlawful agreement any advantage against the _____ (Local Public Agency) or any person interested in the proposed Contract; and _____
5. The price or prices quoted in the subcontractor's Proposal are fair and proper and are not tainted by any collusion, conspiracy, connivance or unlawful agreement on the part of the Bidder or any of its agents, representatives, owners, employees, or parties in interest, including this affiant.

(Signed) _____

(Title)

Subscribed and sworn before me

this _____ day of _____, _____

(Notary Public)

My commission expires: _____



OFFICE OF THE SECRETARY OF STATE

JESSE WHITE • Secretary of State

JANUARY 20, 2012

0381859-4

ILLINOIS CORPORATION SERVICE C
801 ADLAI STEVENSON DRIVE
SPRINGFIELD, IL 62703-4261

RE INSITUFORM TECHNOLOGIES USA, LLC

DEAR SIR OR MADAM:

IT HAS BEEN OUR PLEASURE TO APPROVE YOUR REQUEST TO TRANSACT BUSINESS IN THE STATE OF ILLINOIS. ENCLOSED PLEASE FIND THE APPROVED APPLICATION FOR ADMISSION.

PLEASE NOTE! THE LIMITED LIABILITY COMPANY MUST FILE AN ANNUAL REPORT PRIOR TO THE FIRST DAY OF THIS MONTH OF QUALIFICATION NEXT YEAR. FAILURE TO TIMELY FILE WILL RESULT IN A \$300 PENALTY AND/OR REVOCATION. A PRE-PRINTED ANNUAL REPORT WILL BE MAILED TO THE REGISTERED AGENT AT THE ADDRESS ON OUR RECORDS APPROXIMATELY 45 DAYS BEFORE THE DUE DATE.

MANY OF OUR SERVICES ARE AVAILABLE AT OUR CONTINUOUSLY UPDATED WEBSITE. VISIT WWW.CYBERDRIVEILLINOIS.COM TO VIEW THE STATUS OF THIS COMPANY, PURCHASE A CERTIFICATE OF GOOD STANDING, OR EVEN FILE THE ANNUAL REPORT REFERRED TO IN THE EARLIER PARAGRAPH.

SINCERELY YOURS,

A handwritten signature in cursive script that reads "Jesse White".

JESSE WHITE
SECRETARY OF STATE
DEPARTMENT OF BUSINESS SERVICES
LIMITED LIABILITY DIVISION
(217) 524-8008

Form **LLC-45.5**
June 2010

Secretary of State
Department of Business Services
Limited Liability Division
501 S. Second St., Rm. 351
Springfield, IL 62756
217-524-8008
www.cyberdriveillinois.com

Payment must be made by certified check, cashier's check, Illinois attorney's check, C.P.A.'s check or money order payable to Secretary of State.

Illinois Limited Liability Company Act
**Application for Admission to
Transact Business**

SUBMIT IN DUPLICATE

Type or Print Clearly.

This space for use by Secretary of State.

Filing Fee: \$500

Penalty: \$ —

Approved: *jd*

FILE #:

03818594

This space for use by Secretary of State.

FILED

JAN 20 2012

**JESSE WHITE
SECRETARY OF STATE**

1. Limited Liability Company Name: INSITUFORM TECHNOLOGIES USA, LLC
2. Assumed Name: _____
(This item is only applicable if the company name in item 1 is not available for use in Illinois, in which case form LLC 1.20 must be completed and submitted with this application.)
3. Jurisdiction of Organization: Delaware
4. Date of Organization: 12/22/1983
5. Period of Duration: Perpetual
(Enter Perpetual unless there is a Date of Dissolution provided in the agreement, in which case enter that date.)
6. Address of the Office required to be maintained in the jurisdiction of its organization or, if not required, of the Principal Place of Business: (P.O. Box alone or c/o is unacceptable.)
17988 Edison Ave.
Number Street Suite #
Chesterfield, MO 63005
City, State ZIP Code
7. Registered Agent: Illinois Corporation Service Company
First Name Middle Name Last Name
Registered Office: 801 Adlai Stevenson Drive
(P.O. Box alone or c/o is unacceptable.) Number Street Suite #
Springfield Sangamon County Illinois 62703
City Zip Code
8. If applicable, Date on which Company first conducted business in Illinois: Upon Filing

(continued on back)

03818594
01/20/12

LLC-45.5

9. Purpose(s) for which the Company is Organized and Proposes to Conduct Business in Illinois: _____

Any act or activity for which limited liability companies may be organized, under the Limited Liability Company Act of
Delaware and permitted under the Illinois Limited Liability Act.

10. The Limited Liability Company: (check one)

a. ☒ is managed by the **manager(s)** (List names and addresses.)

Joe Burgess 17988 Edison Ave., Chesterfield, MO 63005

David F. Morris 17988 Edison Ave., Chesterfield, MO 63005

David Martin 17988 Edison Ave., Chesterfield, MO 63005

b. ☐ has management vested in the **members(s)** (List names and addresses.)

11. The Illinois Secretary of State is hereby appointed the agent of the Limited Liability Company for service of process under circumstances set forth in subsection (b) of Section 1-50 of the Illinois Limited Liability Company Act.

12. This application is accompanied by a Certificate of Good Standing or Existence, duly authenticated within the last 60 days, by the officer of the state or county wherein the LLC is formed.

13. The undersigned affirms, under penalties of perjury, having authority to sign hereto, that this application for admission to transact business is to the best of my knowledge and belief, true, correct and complete.

Dated: January 9, 2012
Month, Day, Year

David F. Morris
Signature

David F. Morris, Manager
Name and Title (type or print)

If applicant is signing for a Company or other Entity, state Name of Company and indicate whether it is a member or manager of the LLC.

Delaware

PAGE 1

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE DO HEREBY CERTIFY THAT THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF CONVERSION OF A DELAWARE CORPORATION UNDER THE NAME OF "INSITUFORM TECHNOLOGIES USA, INC." TO A DELAWARE LIMITED LIABILITY COMPANY, CHANGING ITS NAME FROM "INSITUFORM TECHNOLOGIES USA, INC." TO "INSITUFORM TECHNOLOGIES USA, LLC", FILED IN THIS OFFICE ON THE THIRTIETH DAY OF DECEMBER, A.D. 2011, AT 11:35 O'CLOCK A.M.

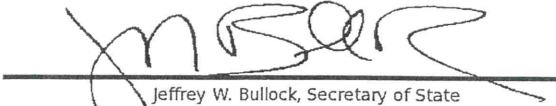
AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF CONVERSION IS THE THIRTY-FIRST DAY OF DECEMBER, A.D. 2011, AT 11:58 O'CLOCK P.M.

2024080 8100V

111355550



You may verify this certificate online
at corp.delaware.gov/authver.shtml


Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 9264916

DATE: 12-30-11

Delaware

PAGE 2

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE DO HEREBY CERTIFY THAT THE ATTACHED IS A TRUE AND CORRECT COPY OF CERTIFICATE OF FORMATION OF "INSITUFORM TECHNOLOGIES USA, LLC" FILED IN THIS OFFICE ON THE THIRTIETH DAY OF DECEMBER, A.D. 2011, AT 11:35 O'CLOCK A.M.

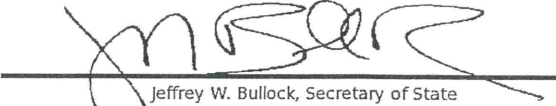
AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF FORMATION IS THE THIRTY-FIRST DAY OF DECEMBER, A.D. 2011, AT 11:58 O'CLOCK P.M.

2024080 8100V

111355550

You may verify this certificate online
at corp.delaware.gov/authver.shtml




Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 9264916

DATE: 12-30-11

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM

CONTRACTOR'S CERTIFICATION CONCERNING
LABOR STANDARDS AND PREVAILING WAGE REQUIREMENTS

TO (Appropriate Recipient): _____ Date _____
c/o _____ Project Number (if any): grant #20-242016
Project Name: Northeast Rochelle Sewer Lining

1. The undersigned, having executed a contract with the City of Rochele for the construction of the above identified project, acknowledges that:
- a. The Labor Standards provisions are included in the aforesaid contract;
 - b. Correction of any infractions of the aforesaid conditions, including infractions by any of his subcontractors and any lower tier subcontractors, is his responsibility;
2. He certifies that:
- a. Neither he nor any firm, corporation, partnership or association in which he has substantial interest is designated as an ineligible contractor by the Comptroller General of the United States pursuant to Section 5.6(b) of the regulations of the Secretary of Labor, Part 5 (29 CFR, Part 5), or pursuant to Section 3(a) of the Davis-Bacon Act, as amended (40 U.S.C. 276a-2[a]).
 - c. No part of the aforementioned contract has been or will be subcontracted to any subcontractor if such subcontractor or any firm, corporation, partnership or association in which such subcontractor has a substantial interest is designated as an ineligible contractor pursuant to the afore-mentioned regulatory or statutory provisions.
3. He agrees to obtain and forward to the aforementioned recipient within ten days after the execution of any subcontract, including those executed by his subcontractors and any lower tier subcontractors, a Subcontractor's Certification Concerning Labor Standards and Prevailing Wage Requirements, executed by the subcontractors.

4. He certifies that:
- a. The legal name and the business address of the undersigned are:
Insituform Technologies USA, LLC
580 Goddard Avenue, Chesterfield MO 63005
 - b. The undersigned is:
☐ A SINGLE PROPRIETORSHIP ☐ A CORPORATION ORGANIZED IN THE STATE OF _____
☐ A PARTNERSHIP ☐ OTHER ORGANIZATION
 - c. The name, title and address of the owner, partners or officers of the undersigned are:

NAME	TITLE	ADDRESS

HUD-1421(6-75)

- d. The names and addresses of all other persons, both natural and corporate, having a substantial interest in the undersigned, and the nature of the interest are (If none, so state):

NAME	ADDRESS	NATURE OF INTEREST

- e. The names, addresses and trade classifications of all other building construction contractors in which the undersigned has a substantial interest are (if none, so state):

NAME	ADDRESS	TRADE CLASSIFICATION

(Contractor)

Date

By

WARNING

U.S. Criminal Code, Section 1010, Title 18, U.S.C., provides in part: "Whoever, . . . makes, passes, utters or publishes any statement, knowing the same to be false. . . shall be fined not more than \$5,000 or imprisoned not more than two years, or both."

Section 3 Plan Format

(Name of Contractor)_____ agrees to implement the following specific affirmative action steps directed at increasing the utilization of lower-income residents and businesses located within the City of Rochelle

- a. To ascertain from the locality's CDBG program official the exact boundaries of the Section 3 covered project area and where advantageous, seek the assistance of local officials in preparing and implementing the affirmative action plan.
- b. To attempt to recruit from within the city the necessary number of lower income residents through: Local advertising media, signs placed at the proposed site for the project, and community organizations and public or private institutions operating within or serving the project area such as Service Employment and Redevelopment (SER), Opportunities Industrialization Center (OIC), Urban League, Concentrated Employment Program, Hometown Plan, or U.S. Employment Service.
- c. To maintain a list of all lower income area residents who have applied either on their own or on referral from any source, and to employ such persons, if otherwise eligible and if a vacancy exists.
- *d. To insert this Section 3 plan in all bid documents, and to require all bidders on subcontracts to submit a Section 3 affirmative action plan including utilization goals and the specific steps planned to accomplish these goals.
- e. To formally contact unions, subcontractors and trade associations to secure their cooperation for this program.
- f. To insure that all appropriate project area business concerns are notified of pending subcontractual opportunities.
- g. To maintain records, including copies of correspondence, memoranda, etc., which document that all of the above affirmative action steps have been taken.
- h. To appoint or recruit an executive official of the company or agency as Equal Opportunity Officer to coordinate the implementation of this Section 3 plan.
- i. To list on Table A, information related to subcontracts.

*Loans, grants, contracts and subsidies for less than \$100,000 will be exempt.

Section 3 Plan *(cont'd)*

- j. To list on Table B, all projected workforce needs for all phases of this project by occupation, trade, skill level and number of positions.

As officers and representatives of _____
(Name of Contractor)

We the undersigned have read and fully agree to this Affirmative Action Plan, and become a party to the full implementation of this program.

Signature

Title

Date

Signature

Title

Date

PROPOSED SUBCONTRACTS BREAKDOWN - TABLE A

FOR THE PERIOD COVERING June 15, 2022 through December 15, 2022

(Duration of the CDBG-Assisted Project)

COLUMN 1	COLUMN 2	COLUMN 3	COLUMN 4	COLUMN 5
TYPE OF CONTRACT (BUSINESS OR PROFESSION)	TOTAL NUMBER OF CONTRACTS	TOTAL APPROXIMATE DOLLAR AMOUNT	ESTIMATED NO. OF CONTRACTS TO PROJECT AREA BUSINESS	ESTIMATED DOLLAR AMOUNT TO PROJECT AREA BUSINESS

*The Project Area is coextensive with the City of Rochelle's boundaries.

Company

Northeast Rochelle Sewer Lining
Project Name

grant #20-242016
Project Number

EEO Officer (Signature)

Date

ESTIMATED PROJECT WORKFORCE BREAKDOWN - TABLE B

COLUMN 1	COLUMN 2	COLUMN 3	COLUMN 4	COLUMN 5
JOB CATEGORY	TOTAL EST. POSITIONS	NO. POSITIONS BY PERMANENT EMPLOYEES	NO. POSITIONS NOT CURRENTLY OCCUPIED	NO. POSITIONS TO BE FILLED WITH L.I.P.A.R. *
OFFICERS/SUPERVISORS				
PROFESSIONALS				
TECHNICIANS				
HOUSING SALES/RENTAL/ MANAGEMENT				
OFFICE CLERICAL				
SERVICE WORKERS				
OTHERS				
TRADE:				
JOURNEYMAN				
HELPERS				
APPRENTICES				
MAXIMUM NO. TRAINEES				
OTHERS				
TRADE:				
JOURNEYMAN				
HELPERS				
APPRENTICES				
MAXIMUM NO. TRAINEES				
OTHERS				
TOTALS:				

*Lower Income Project Area residents:

Individuals residing within the City of Rochelle whose family income does not exceed 80% of the median income in the SMSA.

EEO Officer (Signature)