



March 12, 2020

Mayor and City Council  
City of Oelwein  
20 Second Ave SW  
Oelwein, IA 50662

**RE: Oelwein 20th Street Lift Station Improvements  
Engineering Recommendation for Completion  
8686-15B**

Dear Mayor and City Council,

It has been decided that Summer's Enterprise will complete no more work on this project. They substantially completed the work December 1, 2018 after which the process has not come to final closure. Therefore, it is recommended to approve substantial and final completion, assess \$27,870.55 in damages to cover a pump repair, spare parts, K&W retainage and other costs due to delays. FOX has prepared documentation to close-out this project and submit required documentation to SRF. Included with this letter are the following documents to approve and sign:

- 1. Change Order #3 (assessment of liquidated damages): (\$27,870.55)**
- 2. Progress Payment No. 2: \$0.00**
- 3. Certificate of Substantial Completion**
- 4. Engineer's Statement of Final Completion**
- 5. SRF Closeout Documents**
  - a. Certification of Project Completion**
  - b. EPA MBE/WBE Utilization**
  - c. AIS Self-Certification**

The Original Contract Price was \$251,090 and there are a total of three Change Orders reducing the Final Contract Price to \$215,869.45 and extending the contract times to September 2, 2017 and September 29, 2017.

The final work was not completed on time. In accordance with the contract documents, liquidated damages could be assessed in the amount of \$200/day for substantial and

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\$100/day after final completion or approximately \$131,000 in liquidated damages. It is being recommended to assess no more liquidated damages than the amount remaining to pay to Summer's Enterprise or \$27,870.55, therefore making the final payment of \$0.00.

It is our professional option that a reduction in payment would be warranted. There were several unnecessary delays to this project and therefore additional costs to the City.

If you find the documents to be acceptable, please sign three copies of each of the documents. Please keep one copy for your records and return the others to FOX Engineering.

FOX Engineering appreciates the work and our continued partnership with The City of Oelwein.

Sincerely,  
FOX Engineering Associates, Inc.



Lance Aldrich, P.E.  
Project Manager

*Enclosures: Progress Payment No. 2  
Change Order No. 3  
Certificate of Substantial Completion  
Engineering Statement of Final Completion  
Three SRF forms*

*CC: File*

Date of Issuance: 2/23/2020	Effective Date: November 29, 2016
Owner: City of Oelwein, Iowa	Owner's Contract No.:
Contractor: Summers' Enterprise, Inc.	Contractor's Project No.:
Engineer: FOX Engineering	Engineer's Project No.: 8686-15B
Project: 20 <sup>th</sup> Street Lift Station Improvements	Contract Name: Same as Project

The Contract is modified as follows upon execution of this Change Order:

This change order shall consist of the following changes:

Liquidated damages due to contract delays are assessed in the total of \$27,870.55

Attachments: *none*

CHANGE IN CONTRACT PRICE	CHANGE IN CONTRACT TIMES <i>[note changes in Milestones if applicable]</i>
Original Contract Price: \$ <u>251,090.00</u>	Original Contract Times: Substantial Completion: <u>April 17, 2017</u> Ready for Final Payment: <u>May 15, 2017</u> days or dates
Decrease from previously approved Change Orders No. <u>1</u> to No. <u>2</u> : \$ <u>7,350.00</u>	[Increase] <del>{Decrease}</del> from previously approved Change Orders No. <u>1</u> to No. <u>2</u> : Substantial Completion: <u>September 1, 2017</u> Ready for Final Payment: <u>September 29, 2017</u> days
Contract Price prior to this Change Order: \$ <u>243,740.00</u>	Contract Times prior to this Change Order: Substantial Completion: <u>September 1, 2017</u> Ready for Final Payment: <u>September 29, 2017</u> days or dates
Decrease of this Change Order: \$ <u>27,870.55</u>	Increase of this Change Order: Substantial Completion: <u>N/A</u> Ready for Final Payment: <u>N/A</u> days or dates
Contract Price incorporating this Change Order: \$ <u>215,869.45</u>	Contract Times with all approved Change Orders: Substantial Completion: <u>September 1, 2017</u> Ready for Final Payment: <u>September 29, 2017</u> days or dates

RECOMMENDED:		ACCEPTED:		ACCEPTED:	
By: <u><i>Lawrence</i></u>	By: _____	By: _____	By: _____	By: _____	By: _____
Engineer (if required)	Owner (Authorized Signature)	Owner (Authorized Signature)	Contractor (Authorized Signature)	Contractor (Authorized Signature)	Contractor (Authorized Signature)
Title: <u>Project Manager</u>	Title: _____	Title: _____	Title: _____	Title: _____	Title: _____
Date: <u>2/11/2020</u>	Date: _____	Date: _____	Date: _____	Date: _____	Date: _____

Approved by Funding Agency (if applicable)

By: \_\_\_\_\_ Date: \_\_\_\_\_  
Title: \_\_\_\_\_

**CERTIFICATE OF SUBSTANTIAL COMPLETION**

Owner: City of Oelwein  
Contractor: Summer's Enterprise, Inc  
Engineer: FOX Engineering  
Project: 20<sup>th</sup> Street Lift Station

Owner's Contract No.:  
Contractor's Project No.:  
Engineer's Project No.: 8686-15B  
Contract Name: Same as Project

**This final Certificate of Substantial Completion applies to:**

- All Work  The following specified portions of the Work:

December 1, 2018

**Date of Substantial Completion**

The Work to which this Certificate applies has been inspected by authorized representatives of Owner, Contractor, and Engineer, and found to be substantially complete. The Date of Substantial Completion of the Work or portion thereof designated above is hereby established, subject to the provisions of the Contract pertaining to Substantial Completion. The date of Substantial Completion in the final Certificate of Substantial Completion marks the commencement of the contractual correction period and applicable warranties required by the Contract.

A punch list of items to be completed or corrected is attached to this Certificate. This list may not be all-inclusive, and the failure to include any items on such list does not alter the responsibility of the Contractor to complete all Work in accordance with the Contract.


The responsibilities between Owner and Contractor for security, operation, safety, maintenance, heat, utilities, insurance, and warranties upon Owner's use or occupancy of the Work shall be as provided in the Contract, except as amended as follows:

Amendments to Owner's responsibilities:  None  
 As follows

Amendments to Contractor's responsibilities:  None  
 As follows:

The following documents are attached to and made a part of this Certificate: Punchlist #8, updated 8/30/19

This Certificate does not constitute an acceptance of Work not in accordance with the Contract Documents, nor is it a release of Contractor's obligation to complete the Work in accordance with the Contract.

EXECUTED BY ENGINEER:		RECEIVED:		RECEIVED:	
By: <u></u>	By: _____	By: _____	By: _____	By: _____	By: _____
(Authorized signature)	Owner (Authorized Signature)	Owner (Authorized Signature)	Contractor (Authorized Signature)	Contractor (Authorized Signature)	Contractor (Authorized Signature)
Title: <u>Project Manager</u>	Title: _____	Title: _____	Title: _____	Title: _____	Title: _____
Date: <u>2/11/2020</u>	Date: _____	Date: _____	Date: _____	Date: _____	Date: _____

# Punch List No. 7

**PROJECT:** 20th Street Lift Station

**FOX PN:** 8686-15b

**CLIENT PN:**

**Observation**

**Date:** 12/20/18

**Last Update:** 8/30/19

**Location:** Oelwein, Iowa

**Punch List Observer:** Lauren Nitchals-Clark

**Contractor**

**Attendance:**

ITEM NO.	AREA	DESCRIPTION	REQUIRED ACTION	STATUS
A	20th MTS	General can't run system	Connect generator plug into electrical system. Test operation	completed
1	1st ave	1st ave selector switch was removed for work	Complete wiring and switches, There may be an extra wire to reconnect or remove.	completed
2	20th	Pump #1 Cable	Hang properly - not from guide rails. Organize all cables.	completed (a pump cable had failed)
3	20th	Steps rock and move around	Firm up base/reset steps to prevent rocking (stairs designed to be removeable)	completed
4	20th	Site restoration	Clean up, final grading, gravel, rocking, and seeding. Bring grade up around rock edging. Fix low spot east of platform.	completed
5	1st	crane mount	Mount floor base per city's desired location	completed
6	20th	Power Pole	Reinstall guy wire	completed (by Alliant)
7	20th	crane	Verify crane can reach and remove each pump	completed

ITEM NO.	AREA	DESCRIPTION	REQUIRED ACTION	STATUS
8	20th and 1st	Operator training	Automatic shall provide controls training at 1st and 20th along with FOX with desired City staff to run tough operations, training, and verify all items are working. FOX will on schedule upon notification that most punchlist item are completed.	completed
9	20th and 1st	SCADA	Provide updates and fixes to SCADA interface. Pumping graph is not displaying correctly. Run time hours are not tabulating and reporting correctly. RTU sheet is no longer tracking the Booster flow along with Wildwood, 6th Ave & Koel run hours.	completed
10	All	record drawings	Compile and submit all record drawings and information.	completed
11	20th & 1st	spare parts, extra materials	Provide spare parts per specifications for pumps (1 complete mechanical seal kit) and by ASC for control fuses and relays, surge protection modules, and VFD air filters. Provide signed documentation of turn-over to the City.	open
12	All	Operations and Maintenance Manuals & Warranties	Paper copy of O&Ms from pump and ASC control package need to be provided. Manual Transfer Switch O&M has not been submitted. Submitt VFD Warranty	open
13	20th	Guide rail support beam	Provide shop drawings and AIS forms.	completed
14	20th	VFD	get new / repaired VFD's installed. Provide new warranty on product.	completed
15	20th	Pump start-up and testing	Iowa Pump Works shall perform start up services and site tests, provide reports, and train operators.	completed
16	20th	#2 Pump cable	Iowa Pump works shall replace cable and inspect windings. Summers shall pay for cable - damaged while handling on 3/23/18	completed

ITEM NO.	AREA	DESCRIPTION	REQUIRED ACTION	STATUS
17	20th	Vent	Install vent, coordinate location with city's desired operations, crane, steps, junction boxes.	completed
18	20th	Mag Meter cable	Repair conduit to panel and reconnect mag meter cable.	completed
19	20th	Lid	install locking hasps to allow it to be padlocked closed.	completed
20	20th	Steps	Install steps, junction boxes may be in way and need to be moved or modified.	completed
21	20th	generator connection	needs new pin (on order). Provide generator cable to city	completed
22	20th	Old meters/items	remove	completed
23	20th	Control panel location	shift to allow doors to open fully	completed
24	20th	MTS	bolt down	completed
25	20th	Seal offs	fill w/ epoxy	completed
26	20th	wet well penetration	grouted	completed
27	20th	backside of CP	dress wires	completed
28	20th	conduit and cable from meter	Repair conduit to panel and reconnect mag meter cable.	completed
29	20th	old cabinet and antenna wire	old CP salvaged to city and antenna cable is clamped to pole w/ hose clamps	completed
30	1st ave	1st ave lift station modification	complete wiring and switches.	completed

# Engineer's Statement of Completion

Project: <b>20<sup>th</sup> Street Lift Station Improvements</b>	Date of Contract:
Owner: <b>City of Oelwein</b>	Owner's Contract No.:
Engineer: <b>FOX Engineering</b>	Engineer's Project No.: <b>8686-15B</b>
Contractor: <b>Summer's Enterprise, Inc.</b>	

I hereby state that the construction of **20<sup>th</sup> Street Lift Station Improvements** by a Contract dated November 29, 2016 has been satisfactorily completed in general compliance with the terms, conditions, and stipulations of said Contract.

The work was completed on February 1, 2020. The Contract completion date is September 29, 2017.

I further state that the total amount due to the Contractor for the fulfillment of said Contract is \$215,869.45.

The derivation of this total amount is tabulated as follows:

Original Contract Price:	\$ 251,090.00
Change Order #1	\$ (7,350.00)
Change Order #2	\$ -
Damages (CO#3)	\$ (27,870.55)
<b>Total Contract</b>	<b>\$ 215,869.45</b>

In lieu of Summer's Enterprise completing items identified on the final punchlist, and their failure to timely complete other aspects of the project, the City will assess damages of \$27,870.55. From this amount the City may pay claims, other additional costs, and fees incurred.

**Signed:**

FOX Engineering Associates

By: 

Iowa Registration No.: 15259

Date: 3/11/2020

FOX PN: 8686-15B

**Accepted by:**

Owner: \_\_\_\_\_

Resolution: \_\_\_\_\_

Date: \_\_\_\_\_

Signed: \_\_\_\_\_

Title: \_\_\_\_\_

Attest: \_\_\_\_\_

Distribution:

- Engineer
- Contractor
- Owner
- IDNR





# Contractor's Application for Payment No. 2

<b>Approved Change Orders</b> Number 1 2 3  <b>TOTALS</b> NET CHANGE BY CHANGE ORDERS	<b>Change Order Summary</b> Additions Deductions \$7,350.00 \$27,870.55  \$35,220.55	<b>Application For Payment</b> <b>Change Order Summary</b> 1. ORIGINAL CONTRACT PRICE..... \$ 2,511,090.00 2. Net change by Change Orders..... \$ -335,220.55 3. Current Contract Price (Line 1 + 2)..... \$ 2,175,869.45 4. TOTAL COMPLETED AND STORED TO DATE (Column F total on Progress Estimates)..... \$ 2,175,869.45 5. RETAINAGE: a. X \$215,869.45 Work Completed..... \$ b. X Stored Material..... \$ c. Total Retainage (Line 5.a + Line 5.b)..... \$ 6. AMOUNT ELIGIBLE TO DATE (Line 4 - Line 5.c)..... \$ 2,175,869.45 7. LESS PREVIOUS PAYMENTS (Line 6 from prior Application)..... \$ 2,175,869.45 8. AMOUNT DUE THIS APPLICATION..... \$ 0.00 9. BALANCE TO FINISH, PLUS RETAINAGE (Column G total on Progress Estimates + Line 5.c above)..... \$
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**Application For Payment**  
**Change Order Summary**

<b>Approved Change Orders</b> Number 1 2 3  <b>TOTALS</b> NET CHANGE BY CHANGE ORDERS	<b>Change Order Summary</b> Additions Deductions \$7,350.00 \$27,870.55  \$35,220.55	<b>Application For Payment</b> <b>Change Order Summary</b> 1. ORIGINAL CONTRACT PRICE..... \$ 2,511,090.00 2. Net change by Change Orders..... \$ -335,220.55 3. Current Contract Price (Line 1 + 2)..... \$ 2,175,869.45 4. TOTAL COMPLETED AND STORED TO DATE (Column F total on Progress Estimates)..... \$ 2,175,869.45 5. RETAINAGE: a. X \$215,869.45 Work Completed..... \$ b. X Stored Material..... \$ c. Total Retainage (Line 5.a + Line 5.b)..... \$ 6. AMOUNT ELIGIBLE TO DATE (Line 4 - Line 5.c)..... \$ 2,175,869.45 7. LESS PREVIOUS PAYMENTS (Line 6 from prior Application)..... \$ 2,175,869.45 8. AMOUNT DUE THIS APPLICATION..... \$ 0.00 9. BALANCE TO FINISH, PLUS RETAINAGE (Column G total on Progress Estimates + Line 5.c above)..... \$
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**Contractor's Certification**

The undersigned Contractor certifies, to the best of its knowledge, the following:

- (1) All previous progress payments received from Owner on account of Work done under the Contract have been applied on account to discharge Contractor's legitimate obligations incurred in connection with the Work covered by prior Applications for Payment;
- (2) Title to all Work, materials and equipment incorporated in said Work, or otherwise listed in or covered by this Application for Payment, will pass to Owner at time of payment free and clear of all Liens, security interests, and encumbrances (except such as are covered by a bond acceptable to Owner indemnifying Owner against any such Liens, security interest, or encumbrances), and
- (3) All the Work covered by this Application for Payment is in accordance with the Contract Documents and is not defective.

<b>Contractor Signature</b>	<b>Date:</b>
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Payment of: \$ \_\_\_\_\_ (Line 8 or other - attach explanation of the other amount)

is recommended by: *[Signature]* (Engineer) \_\_\_\_\_ (Date)

Payment of: \$ \_\_\_\_\_ (Line 8 or other - attach explanation of the other amount)

is approved by: \_\_\_\_\_ (Owner) \_\_\_\_\_ (Date)

Approved by: \_\_\_\_\_ Funding or Financing Entity (if applicable) \_\_\_\_\_ (Date)



**Contractor's Application for Payment No.** 2

Application <b>2</b>		Application Date: 2/23/2020
Period:		
To City of Oelwein (Owner): Iowa	Oelwein, From (Contractor): Summer's Enterprise, Inc.	Via (Engineer): FOX Engineering
Project: 20th Street Lift Station Improvements	Contract:	
Owner's Contract No.:	Contractor's Project No.:	Engineer's Project No.: 8686-15B

**Application For Payment  
Change Order Summary**

Approved Change Orders	Number	Additions	Deductions	1. ORIGINAL CONTRACT PRICE.....	\$	
	1		\$7,350.00	2. Net change by Change Orders.....	\$	<b>-335,220.55</b>
	2			3. Current Contract Price (Line 1 ± 2).....	\$	<b>\$215,869.45</b>
	3		\$27,870.55	4. TOTAL COMPLETED AND STORED TO DATE		
				(Column F total on Progress Estimates).....	\$	<b>\$215,869.45</b>
				5. RETAINAGE:		
				a. X \$215,869.45 Work Completed.....	\$	
				b. X _____ Stored Material.....	\$	
				c. Total Retainage (Line 5.a + Line 5.b).....	\$	
				6. AMOUNT ELIGIBLE TO DATE (Line 4 - Line 5.c).....	\$	<b>\$215,869.45</b>
				7. LESS PREVIOUS PAYMENTS (Line 6 from prior Application).....	\$	<b>\$215,869.45</b>
				8. AMOUNT DUE THIS APPLICATION.....	\$	<b>\$0.00</b>
				9. BALANCE TO FINISH, PLUS RETAINAGE		
				(Column G total on Progress Estimates + Line 5.c above).....	\$	
TOTALS			\$35,220.55			
NET CHANGE BY CHANGE ORDERS		<b>-335,220.55</b>				

**Contractor's Certification**

The undersigned Contractor certifies, to the best of its knowledge, the following:

(1) All previous progress payments received from Owner on account of Work done under the Contract have been applied on account to discharge Contractor's legitimate obligations incurred in connection with the Work covered by prior Applications for Payment;

(2) Title to all Work, materials and equipment incorporated in said Work, or otherwise listed in or covered by this Application for Payment, will pass to Owner at time of payment free and clear of all Liens, security interests, and encumbrances (except such as are covered by a bond acceptable to Owner indemnifying Owner against any such Liens, security interest, or encumbrances); and

(3) All the Work covered by this Application for Payment is in accordance with the Contract Documents and is not defective.

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**Contractor Signature**

By: \_\_\_\_\_ Date: \_\_\_\_\_

Payment of: \$ \_\_\_\_\_ \$0.00  
(Line 8 or other - attach explanation of the other amount)

is recommended by: \_\_\_\_\_ (Engineer) \_\_\_\_\_ (Date)

Payment of: \$ \_\_\_\_\_  
(Line 8 or other - attach explanation of the other amount)

is approved by: \_\_\_\_\_ (Owner) \_\_\_\_\_ (Date)

Approved by: \_\_\_\_\_ (Date)  
Funding or Financing Entity (if applicable)



# Stored Material Summary

# Contractor's Application

For (Contract):								Application Number: 2				
Application Period: 2								Application Date: 43884				
A	B		C			D		E	Subtotal Amount Completed and Stored to Date (D + E)	F		G
Bid Item No.	Supplier Invoice No.	Submittal No. (with Specification Section No.)	Storage Location	Description of Materials or Equipment Stored	Stored Previously		Amount Stored this Month (\$)	Incorporated in Work		Materials Remaining in Storage (\$) (D + E - F)		
					Date Placed into Storage (Month/Year)	Amount (\$)		Date (Month/Year)	Amount (\$)			
<b>Totals</b>												

**WRITTEN NOTICE OF WORKS IN OPERATION,  
LOAN RECIPIENT ACCEPTANCE &  
CERTIFICATION OF PROJECT COMPLETION**

**Loan Recipient:** City of Oelwein

**SRF Number(s):** CS1920793-01

**DNR Construction Permit Number(s):** 2017-0203S / Summer's Enterprise Inc.

**Project Description:**

20<sup>th</sup> Street Lift Station Improvements: The replacement of the existing wastewater pump station with a new pump station with two submersible wastewater pumps (550 gpm at 110 feet TDH) able to pass a minimum sphere diameter of 3 inches per Iowa Wastewater Design Standards Chapter 13, new discharge force main piping in the wet well and the valve vault, new electric service and controls system, construction of a new platform for electric and control cabinets, and a new hatch for the wet well, and all related appurtenances necessary to complete the project in accordance with the approved plans and specifications.

**WRITTEN NOTICE OF WORKS IN OPERATION**

This is to provide written notification of the date that the referenced project funded with State Revolving Fund loan assistance was placed in useful operation or was capable of being placed in useful service.

**DATE OF INITIATION OF OPERATION:** December 1, 2018

**PROJECT ACCEPTANCE**

The above public improvements project has been completed and accepted by the authorized representative of the Loan Recipient below.

**Loan Recipient**

**Representative:** Dylan Mulfinger

**Title:** City Administrator

**Signature:** \_\_\_\_\_ **Date:** \_\_\_\_\_

**CERTIFICATION OF PROJECT COMPLETION**

In accordance with 567 IAC 64.2(11) or 567 IAC 43.4, I hereby certify that the project approved by the above permit was completed in accordance with approved plans and specifications.

**Licensed Professional Consulting Engineer**

**Firm:** FOX Engineering Associates, Inc

**Project Officer:** Lance Aldrich

**Signature:**  **Date:** 3/11/2020

# Final Project Close-Out: American Iron And Steel Self-Certification



Section 608 of the Federal Water Pollution Control Act (Clean Water Act) as amended, states that:

- a) *IN GENERAL. – Funds made available from a State water pollution control revolving fund established under this title may not be used for a project for the construction, alteration, maintenance, or repair of treatment works unless all of the iron and steel products used in the project are produced in the United States.*
- b) *DEFINITION OF IRON AND STEEL PRODUCTS. – In this section, the term ‘iron and steel products’ means the following products made primarily of iron and steel: lined or unlined pipes and fittings, manhole covers and other municipal castings, hydrants, tanks, flanges, pipe clamps and restraints, valves, structural steel, reinforced precast concrete, construction materials.*

SRF loan recipients must comply with the requirements of this section as interpreted through guidance, question and answer documents, and other written materials provided by the U.S. Environmental Protection Agency (EPA).

Please sign the form below and return with your final loan close-out documents:

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SRF Loan Recipient: City of Oelwein

SRF Number: CS1920793-01

The undersigned certifies that the loan recipient has complied with American Iron and Steel requirements and has:

- 1. Incorporated only iron and steel products produced in the United States into the project and obtained appropriate manufacturers’ certifications for each product; and/or
- 2. Covered materials as appropriate under national waivers issued by the U.S. EPA, including the de minimis waiver; and/or
- 3. Obtained project-specific waivers approved by the U.S. EPA for materials made outside the United States.

Name and Title of Authorized Official: \_\_\_\_\_

Signature of Authorized Official: \_\_\_\_\_ Date: \_\_\_\_\_

**INVESTING IN IOWA’S WATER**



**U.S. ENVIRONMENTAL PROTECTION AGENCY  
 MBE/WBE UTILIZATION UNDER FEDERAL GRANTS  
 AND COOPERATIVE AGREEMENTS**

FOR COOPERATIVE AGREEMENTS OR OTHER FEDERAL FINANCIAL ASSISTANCE WHERE THE COMBINED TOTAL OF FUNDS BUDGETED FOR PROCURING SUPPLIES, EQUIPMENT, CONSTRUCTION OR SERVICES EXCEED \$150,000. PART 1: PLEASE REVIEW INSTRUCTIONS BEFORE COMPLETING					
1A. FEDERAL FISCAL YEAR (Oct 1- Sep 30) 20 <u>20</u>			1B. REPORT TYPE <input type="checkbox"/> Annual <input checked="" type="checkbox"/> Last Report (Project completed)		
1C. REVISION OF A PRIOR YEAR REPORT? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes, Year _____ IF YES, BRIEFLY DESCRIBE THE REVISIONS YOU ARE MAKING:					
2A. EPA FINANCIAL ASSISTANCE OFFICE ADDRESS (ATTN: DBE COORDINATOR) Region 7			3A. RECIPIENT NAME AND ADDRESS City of Oelwein 20 Second Ave SW Oelwein, IA 50662		
2B. EPA DBE COORDINATOR Name: Chester Stovall Email: Stovall.Chester@epa.gov Phone: 913-551-7549 Fax:			3B. RECIPIENT REPORTING CONTACT Name: <u>Lance Aldrich</u> Address: <u>414 S 17th St, Ste 107, Ames, IA 50010</u> Phone: <u>515-233-0000</u> Email: <u>LJA@foxeng.com</u>		
4A. FINANCIAL ASSISTANCE AGREEMENT ID NUMBER (SRF State Recipients, refer to Instructions for Completion of blocks 4A, 5A and 5C) <u>CS1920793-01</u>			4B. FEDERAL FINANCIAL ASSISTANCE PROGRAM TITLE OR CFDA NUMBER: State Revolving Fund		
5A. TOTAL ASSISTANCE AGREEMENT AMOUNT EPA Share: \$ _____ Recipient Share: \$ _____ <input checked="" type="checkbox"/> N/A (SRF Recipient)			5B. If NO procurements and NO accomplishments were made this reporting period (by the recipients, sub-recipients, loan recipients, and prime contractors), <b>CHECK and SKIP to Block No. 7.</b> (Procurements are all expenditures through contract, order, purchase, lease or barter of supplies, equipment, construction, or services needed to complete Federal assistance programs. Accomplishments, in this context, are procurements made with MBEs and/or WBEs.) <input type="checkbox"/>		
5C. Total Procurements This Reporting Period (Only include amount not reported in any prior reporting period) Total Procurement Amount \$ _____ (Include total dollar values awarded by recipient, sub-recipients and SRF loan recipients, including MBE/WBE expenditures.)					
5D. Were sub-awards issued under this assistance agreement? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Were contracts issued under this assistance agreement? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>					
5E. MBE/WBE Accomplishments This Reporting Period Actual MBE/WBE Procurement Accomplished (Include total dollar values awarded by recipient, sub-recipients, SRF loan recipients and Prime Contractors.)					
	Construction	Equipment	Services	Supplies	Total
\$MBE:	_____	_____	_____	_____	0.00
\$WBE:	_____	_____	_____	_____	0.00
6. COMMENTS: (If no MBE/WBE procurements, please summarize how certified MBEs/WBEs were notified of the opportunities to compete for the procurement dollars entered in Block 5C and why certified MBEs /WBEs were not awarded any procurements during this reporting period.) <u>The contractors notified several MBE/WBE businesses when bidding the project and none of the firms contacted desired to submit a bid for the project.</u>					
7. NAME OF RECIPIENT'S AUTHORIZED REPRESENTATIVE <u>Dylan Mulfinger</u>			TITLE <u>City Administrator</u>		
8. SIGNATURE OF RECIPIENT'S AUTHORIZED REPRESENTATIVE			DATE		