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REVISED GEOTECHNICAL AND ALTERNATIVES REPORT

SHANNON ROAD EMBANKMENT STABILIZATION PROJECT

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Prepared for:

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ATTACHMENT 1

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1.0 INTRODUCTION

1.1 GENERAL

Cal Engineering & Geology, Inc. (CE&G) has provided geotechnical engineering services to NCE for the planned embankment stabilization project located along Shannon Road, in Los Gatos, California (see Figure 1). The work has been completed to provide investigate the geotechnical conditions at the site and provide geotechnical design alternatives for stabilization of Shannon Road.

1.2 PROJECT DESCRIPTION

There have been long-term pavement cracking and embankment settlement issues along portions of approximately 1,300 linear feet of asphalt paved Shannon Road between Santa Rosa Drive and Diduca Way. The great majority of the distress has typically developed within the outer half of the road embankment. Prior to the recent acceptance of the road by the Town of Los Gatos (Town), we understand that the County (former owner of the road) had been addressing the settlement and pavement cracking for several decades. The County transferred ownership of the road to the Town in 2017. Routine maintenance is undertaken by the County typically consisted of sealing of asphalt pavement cracks and placement of additional hot mix asphalt concrete along the settling portions of the road to relevel the driving surface. Approximately two years ago, measures were undertaken by the County in an attempt to stabilize problem areas of the roadway by injecting polyurethane foam below the pavement along the outer half of the road embankment and within the areas of historic settlement and cracking. Shortly thereafter, the road was annexed to the Town. Despite the foam injection work, the road embankment has continued to deform and move downslope. Significant pavement distress has re-developed, reportedly at an increased rate. The settlement has caused some of the injection pipes that were left following injection to protrude up through the pavement surface. The Town has indicated that they wish to develop a long-term, cost-effective strategy to address the embankment stability issues along this stretch of Shannon Road, providing the repair is feasible from a cost perspective.

1.3 PURPOSE AND SCOPE OF SERVICES

The investigation completed by CE&G was undertaken to assess the existing surface and subsurface conditions in the immediate vicinity of the project area and to develop geotechnical design recommendations for potential improvements.

The scope of work completed for the geotechnical investigation and report included:

- Completion of an office study to identify and evaluate relevant geologic and geotechnical information available for the site, including published geologic maps, and unpublished geotechnical information in our and the Town's (and County's) files regarding the site and vicinity.
- 2. Geologic reconnaissance to observe current site conditions and to mark for USA (Underground Service Alert).
- 3. Subsurface exploration using a truck-mounted drill rig, in accordance with the Town of Los Gatos Encroachment requirements.
- 4. Laboratory testing of samples collected during the subsurface exploration to determine key engineering index properties of selected earth materials.
- 5. Engineering analyses to develop and evaluate alternative geotechnical approaches to restore the road shoulder and embankment, and to develop parameters for the design of the recommended repairs.
- 6. Preparation of this geotechnical alternatives report.

2.0 SITE CONDITIONS

2.1 SITE DESCRIPTION

The project site is located along Shannon Road, between Santa Rosa Drive and Diduca Way, in Los Gatos. In this area, Shannon Road consists of a two-lane asphalt-paved roadway about 22 feet wide. The roadway ascends from southeast to northwest, from an approximate elevation of 570 to 685 feet above sea level along the 1,300-foot length of the project site. Shannon Road in the site vicinity is oriented approximately northwest-southeast and is in hilly terrain in the foothills of the Santa Cruz Mountains.

High-resolution orthoimagery was captured by CE&G's unmanned aircraft system (UAS) on 11 June 2020. A photogrammetrically-derived digital elevation model was used to model the local topography. Supplemental surface data for areas not covered by CE&G's UAS flight were derived from 2006 Santa Clara Valley Water District LiDAR data. Site topography is shown on the Site Plan, Figure 2, with a map book showing the roadway at a detailed scale on Figures 2A through 2J. Fill slopes below the roadway generally are steeper (up to approximately 65° in some locations) and taller to the southeast.

Plotted on the map book are parcel lines as part of a GIS database provided to us by the Town. A waterline is also shown, which is estimated from pavement marks made by the San Jose Water Company during USA utility locating. Both lines should be considered approximate.

3.0 GEOLOGY

3.1 GEOLOGIC SETTING

The project site lies in the Santa Cruz Mountains, within the Coast Ranges geomorphic province of California. This province is characterized by northwest-southeast trending mountain ranges and intervening valleys such as those occupied by San Francisco Bay and the Santa Clara Valley. The Santa Cruz Mountains are one such range, marking a mountain-range scale regional uplift southwest of the San Andreas fault. The geologic setting is shown on Figure 3, Regional Geologic Map.

3.1.1 Bedrock Geology

Regional geologic mapping by McLaughlin and others (2001), shows bedrock in the site vicinity as the Monterey shale (middle and lower Miocene) and Temblor sandstone (middle Miocene to Oligocene?), which have been juxtaposed near the center of the project site by the Monte-Vista Shannon Fault (Figure 3). The Monterey shale generally consists of siliceous mudstone, diatomite, and porcellanite, whereas the Temblor sandstone primarily consists of pebbly, lithic arkosic sandstone, and fossiliferous conglomerate (McLaughlin and others, 2001). The Monterey shale in the vicinity of the site, along Shannon Road, is shown as dipping 47° to 53° to the southwest (McLaughlin and others, 2001). This mapping agrees with earlier mapping by Wentworth (1999).

The two bedrock units are juxtaposed along the Monte Vista-Shannon fault, mapped as a vertical to likely steeply southwest-dipping, southwest-side-down fault in the core of folded Tertiary age bedrock units. Activity of this fault is described in Section 3.4.1. The Monte Vista-Shannon fault is one of several faults that overall define a southwest-dipping oblique fold and thrust zone where the Santa Cruz Mountains are being thrust out over Santa Clara Formation valley floor alluvium. The fault trace closely parallels Shannon Road in the project area.

3.1.2 Landslide Geology

According to the California Geological Survey's (CGS) Landslide Inventory Map, two landslides of uncertain age are shown as crossing Shannon Road in the area of the project site, see Figure 4 (CGS, 2002).

Geologic mapping prepared for the Town of Los Gatos General Plan Update, by Nolan Associates (1999) shows two small landslides that appear to correspond to two topographic swale areas evident on the project Site Plan (Figure 2).

3.2 REGIONAL GROUNDWATER

Groundwater within the hillside slope areas encompassing the site is likely variable, with the water table commonly sloping downgradient toward the closest drainage axis. The distribution of groundwater may be complicated by faulting and shearing associated with the Monte-Vista Shannon fault. Fault zones can act as both conduits and barriers to groundwater movement. We are not aware of springs in the immediate project vicinity.

3.3 GEOHAZARD MAPPING

3.3.1 State Geohazard Mapping

The California Geological Survey (CGS) set up an Earthquake Zones of Required Investigation map for the Los Gatos 7.5-minute Quadrangle (CGS, 2002) that shows zones that are established to trigger further evaluation (for certain projects) of the potential for seismically-induced landsliding in hillside areas, and liquefaction potential in valley floor areas.

The project site is not mapped within the zone of required investigation for liquefaction. However, it is located in an area of required investigation for seismically-induced landsliding (CGS, 2002), for certain classes of projects (the Shannon Road project does not appear to be such a project). The project site is not located within an Earthquake Fault Zone (formerly Alquist-Priolo Earthquake Fault Zone). No distress was noted to the roadway following the 1989 Loma Prieta earthquake, which resulted in localized distress farther to the northwest.

3.3.2 Local Geohazard Mapping

The County of Santa Clara's Geohazard Zoning maps show the site as within a zone of required investigation for fault rupture hazard for certain classes of projects; the Shannon Road project does not appear to be subject to this requirement.

3.4 SEISMICITY

3.4.1 Active Faults

The Shannon Road site is located within the greater San Francisco Bay Area, which is recognized as one of the more seismically active regions of California. The right-lateral strike-slip San Andreas fault system controls the northwest-southeast structural grain of the Coast Ranges and the Bay Area. The fault system marks the major boundary between two of earth's tectonic plates, the Pacific Plate on the west and the North American Plate on

the east. The Pacific Plate is moving north relative to the North American plate at approximately 40 mm/yr in the Bay Area (WGCEP, 2003).

The transform boundary between these two plates has resulted in a broad zone of multiple, subparallel faults within the North American Plate, along which right-lateral strike-slip faulting predominates. In this broad transform boundary, the San Andreas Fault accommodates less than half of the average total relative plate motion. Much of the remainder in the greater South Bay Area is distributed across faults such as the San Gregorio, Monte Vista-Shannon, Sargent, Berrocal, Hayward (southern segment), Calaveras, and Zayante-Vergeles fault zones.

Since the Shannon Road site is in the seismically active San Francisco Bay Area, it will likely experience significant ground shaking from moderate or large ($M_W > 6.7$) earthquakes on one or more of the nearby active faults during the design lifetime of the project. Some seismic sources in the San Francisco Bay area and their distances from the site are summarized in Table 3-1. Figure 5 fault activity shows the faults in the vicinity of the project.

According to the United States Geological Survey's (USGS) Quaternary fault and fold database as well as mapping by McLaughlin (2001), the Monte-Vista Shannon Fault is mapped as cutting across Shannon Road near the center of the project site. The steeply southwest dipping Monte-Vista Shannon Fault in this area trends northwest and is mapped as a normal fault with the southwest side moving down with respect to the northeastern side of the fault (McLaughlin, 2001). The slip rate for the fault in this area is between 0.2 and 1.0 millimeters per year (USGS, 2006).

Seismogenic (capable of generating significant earthquakes) earthquake faults near the site include the Monte-Vista Shannon, Hayward, Sargent, and the San Andreas fault.

Fault Name	Approximate Distance and Direction from Site to the nearest Surface Fault Traces
Monte Vista-Shannon	0.0 km
San Andreas	7.9 km southwest
Sargent	9.5 km southwest
Hayward (southern segment)	16.9 km northeast
Zayante-Vergeles-Upper	21.4 km south-southeast
Calaveras (central segment)	21.9 km northeast
San Gregorio	34.1 km southwest

Table 3-1. Distances to Selected Major Active Faults

3.4.2 Liquefaction and Seismic Densification

Soil liquefaction is a phenomenon in which saturated, cohesionless soils (generally sands) lose their strength due to the build-up of excess pore water pressure during cyclic loading, such as that induced by earthquakes. Soils most susceptible to liquefaction are saturated, clean, loose, fine-grained sands, and silts. The primary factors affecting soil liquefaction include: 1) intensity and duration of seismic shaking; 2) soil type and relative density; 3) overburden pressure; and 4) depth to groundwater.

Based on subsurface information collected during this investigation, we judge the potential for liquefaction at this site to be low for the depths explored due to the lack of shallow groundwater beneath the site. Groundwater encountered in our borings is summarized in Section 4.3.4.

Seismic densification is the densification of unsaturated, loose to medium dense granular soils due to strong vibration such as that resulting from earthquake shaking. The potential for seismic densification is considered low for colluvial soils and low to moderate for the encountered fill and colluvial soils. We note that the proposed repair alternatives, specifically MSE walls, would remove and replace much of the fill and underlying colluvial soils in the area of the repair. The deeper soil and bedrock materials that were encountered are generally cohesive and/or too dense for seismically induced densification.

4.0 SITE INVESTIGATION

4.1 PREVIOUS INVESTIGATIONS

We are not aware of any previous geotechnical investigations at the site.

Approximately two years ago, measures were undertaken by the County to stabilize problematic areas of the roadway by injecting polyurethane foam below the outer half of the road embankment within the areas of historic settlement and cracking. After the foam injection was completed, the outer half of the road received a 2.5- to 3-inch pavement overlay. We are unaware of information regarding the lateral extent of the foam injection program, depths at which foam was injected, or the volume of foam injected. We understand that foam was injected vertically, on a grid pattern.

4.2 SITE RECONNAISSANCE

CE&G performed field reconnaissance of the site in advance of and on the day of our subsurface investigation. Reconnaissance, observations, and mapping were performed on June 11, June 22, June 25, and August 14, 2020. Select pictures from our reconnaissance and drilling are included in Appendix D. It should be noted the aerial photo shown in Figures 2A thru 2J were taken during reconnaissance on June 11, 2020, and show the road condition at that time.

The site is located along an approximately 1,300-foot-long stretch of Shannon Road that generally traverses a north-facing slope, climbing slowly from southeast to northwest. The asphalt pavement roadway occupies nearly the full width of the embankment. There is a minimal inboard shoulder, with a few culverts and/or drop inlets in the area. There is very minimal outer shoulder along most of the length of the project site. Slopes below the embankment slope steeply downward to an apparently alluvium-filled valley that parallels the road. No storm drains, culverts, or drop inlets were observed along the road in this area. There is currently an existing water line beneath the inboard lane (eastbound lane) of the road.

The asphalt pavement in the project area has a history of settlement and cracking. We understand that the bulk of the pavement distress has historically occurred in the outboard lane (westbound lane). New asphalt had been placed over the outer lane prior to CE&G's site visit; the new asphalt largely obscures previous settlement and cracking. However, some cracks that run parallel and subparallel to the road are still visible in the center and inboard edge of Shannon Road. The extent of roadway cracking and settlement is greater within roadway intervals that cross topographic swales we interpret as areas of past

landsliding. The added weight of repeated asphalt overlays on the outside lane of the roadway may have exacerbated the failures over time.

The road cut along Shannon Road in the area of the project site generally consists of steep (sometimes near vertical) cuts into either sedimentary bedrock or colluvium. The visible bedrock generally consists of bedded siltstone that dips southwest, into the cut, between approximately 38° and 51°. The two colluvial-filled swales along the inboard side of the road have lower, more gently sloped cuts, compared to the steeper road cuts in bedrock. The observed cuts in colluvial are mostly covered with brushy vegetation. The swales have been mapped as probable landslides of uncertain age by the CGS (Figure 4) and by the Town. Based on our field reconnaissance, review of aerial imagery, and geomorphic interpretation of Lidar data, we consider these features to be dormant landslides.

The outboard edge of Shannon Road in this area primarily consists of a moderately steep to steep fill prism that is vegetated and has multiple generations of asphalt concrete draped over its upper edge.

4.3 SUBSURFACE EXPLORATION

4.3.1 Scope of Explorations

Prior to drilling, traffic control signage was set up by Cal-Vet Services, Inc., to provide site safety during exploration. The geotechnical borings were drilled by Cenozoic Exploration, LLC., from June 29 through July 01, 2020, using a truck-mounted Simco 2400 drill rig equipped with 6-inch-diameter solid-flight augers. A total of 13 geotechnical borings were drilled along the outboard side of Shannon Road, between Santa Rosa Drive and Diduca Way. The locations of the completed borings were marked in the field and recorded by using a hand-held GPS unit. The approximate locations of the borings are shown on Figure 2, and the boring depths and asphalt thicknesses are shown in Table 4-1.

Doring ID	Depth	Approximate Asphalt
Boring ID	(feet)	Pavement Thickness (feet)
B-1	20	1.5
B-2	12.5	1.8
B-3	17.5	1.5
B-4	29.5	1.3
B-5	29.5	2
B-6	22.5	2.3
B-7	17.5	2
B-8	24.5	2.3
B-9	15.5	1.5
B-10	22.5	1.5
B-11	31	2
B-12	27.5	1.3
B-13	20	1.3

Table 4-1. Approximate Asphalt Pavement Thickness at Boring Locations

Upon completion, the borings were backfilled with cement grout and an asphalt patch in the upper 12 inches to match the existing grade. Drill cuttings were distributed unobtrusively on site.

4.3.2 Logging and Sampling

The materials encountered in the borings were logged in the field by a CE&G geologist. The soils were visually classified in the field, office, and laboratory according to the Unified Soil Classification System (USCS) in general accordance with ASTM D2487 and D2488.

During the drilling operations, soil samples were obtained using one of the following sampling methods:

- California Modified (CM) Sampler; 3.0-inch outer diameter (0.D.), 2.5-inch inner diameter (I.D.) (ASTM D1586)
- Standard Penetration Test (SPT) Split Spoon Sampler; 2.0-inch O.D., 1.375-inch I.D. (ASTM D1586)

The samplers were driven 18 inches (unless otherwise noted on the boring logs) with a 140-pound hammer, manila line, and cathead, dropping 30 inches in general conformance with ASTM D6066 procedures. The number of blows required to drive the SPT or CM sampler 6 inches were recorded for each sample. The results are included on the boring

logs in Appendix A. The blow counts included on the boring logs are uncorrected and represent the field values.

Soil samples obtained from the borings were packaged and sealed in the field to reduce the potential for moisture loss and disturbance. The samples were delivered to CE&G's laboratory in Hayward, and Cooper Testing Laboratory, in Palo Alto, for laboratory testing and storage.

4.3.3 Soil and Bedrock Conditions Encountered

Subsurface conditions encountered in the borings were generally consistent with regional geologic mapping with respect to bedrock type.

Polyurethane Foam:

Our borings encountered a relatively limited amount of foam repair that was previously performed. Foam that was injected into the slope for stabilization was encountered as veins/pockets that ranged from <1 inch to 5 inches thick. The foam is yellowish-white and was only encountered within the upper 4 to 7 feet of borings B-4, B-6, B-10, and B-13. Since sampling was not continuous, the thickness of individual foam layers was not generally clear. In general, the foam was dry, somewhat compressible, and did not affect the drilling rate.

Artificial Fill (Af):

Fill was encountered beneath the asphalt pavement in each of the borings. The fill generally consists of medium dense to dense silty sand with gravel, and sandy silt with gravel base rock, overlying medium dense/hard sandy lean clay, and sandy silt.

Colluvium (Qc):

Colluvial soils were encountered beneath the road fill prism, and above the underlying bedrock. The colluvium generally consists of sandy lean clay with and without gravel, silt/lean clay with sand, and gravely lean clay with sand. The clayey colluvium is generally very stiff to hard and the more sandy and silty soils are generally medium dense.

Bedrock:

Bedrock was encountered beneath the colluvial soils and consists of sandy siltstone, diatomaceous siltstone, and mudstone. Bedrock was encountered at depths ranging from about 8 feet and 17.5 feet below the ground surface. The bedrock encountered generally

ranged from moderately strong to extremely weak, and slightly to highly weathered. Some of the encountered beds were dipping approximately 40° to 50° to the southwest. In general, the encountered bedrock was similar to the Monterey Shale as described above.

For a more detailed description of the soil and bedrock encountered in the borings, the boring logs and laboratory test results are included in Appendix A and Appendix B. Cross sections are presented on Figures 6A thru 6C.

4.3.4 Groundwater Conditions Encountered

Groundwater was not encountered in any of the borings during this investigation.

4.4 GEOTECHNICAL LABORATORY TESTING

Laboratory testing was performed to obtain information regarding the physical and index properties of selected samples recovered from the exploratory borings. Tests performed included soil classification testing consisting of natural moisture content, dry unit weight, and grain size distribution. Tests were completed in general conformance with applicable ASTM standards. The results of the laboratory tests are summarized on the boring logs in Appendix A and in Appendix B.

5.0 CONCLUSIONS AND DISCUSSION

5.1 GENERAL SUMMARY

Based on the results of our investigation, we recommend repair of the distressed interval of the roadway. We do not recommend ongoing sealing and repaving as a long-term mitigation approach, as the reported increased rate at which cracking is occurring indicates that repair is needed, rather than a management approach. It is our opinion the site is geologically and geotechnically suitable to be stabilized utilizing a retaining wall structure. A discussion of our findings and the types of retaining walls to be considered for mitigating slope movement are included in the following sections.

5.2 PREVIOUS REPAIRS

We understand from the Town that the County had been addressing settlement and pavement cracking for several decades. Routine maintenance undertaken by the County had typically consisted of sealing of asphalt pavement cracks and placement of additional asphalt concrete along the settling portions of the roadway to relevel the driving surface. Approximately two years ago, measures were undertaken by the County to stabilize the problematic areas of the roadway by injecting polyurethane foam below the outer half of the road embankment within the areas of historic settlement and cracking. No engineering documents relating to the foam injection were produced by the County in response to Town requests. We do not know if a formal engineering evaluation was conducted prior to this work.

After the foam injection was completed, the outer half of the road received a 2.5- to 3-inch pavement overlay; details of the exact foam injecting methods are not well known at this time. Despite the foam injection work, the road embankment has continued to move downslope and significant pavement distress has re-developed. The settlement has caused some of the injection pipes to protrude up through the pavement surface.

We understand that the rate at which renewed cracking has affected the roadway has increased in the last approximately two years, indicating the foam injection was ineffective.

5.3 EXISTING CONDITIONS ASSESSMENT

The full section of road fill, colluvium underlying portions of the road embankment, and possibly the uppermost, severely weathered bedrock appear to be involved in long-term ongoing creep of the embankment. The reported increase in the rate of movement within the last couple of years suggests that sliding of this interval is locally incipient. Such shallow instability is likely associated with oversteepening of the fill prism's outboard face,

and saturation of the fill and colluvium causing the soils to settle and move laterally downslope.

No evidence of active deep-seated movement (landsliding involving bedrock) was observed at the site. In our judgment, the potential for deep-seated landsliding (involving bedrock) to adversely affect site improvements is generally low under static conditions, and low to moderate under seismic conditions.

Based on the general lack of distress to the inboard half of the roadway, we do not think that there is active landsliding involving the entire mass or full depth of the likely dormant landslides mapped by the CGS and Town of Los Gatos, and we did not observe evidence of a slide plane in our geotechnical borings. However, we conclude that the increase in creep rate affecting the colluvium/road fill section in the outboard half of the road may indicate incipient sliding of that area. The observed distress is concentrated in the outboard lane, within intervals where the roadway traverses the two swales within which past landsliding has been mapped.

The movement that has been occurring along the roadway is likely due to multiple factors. It is likely the underlain fill soils used during initial construction were not compacted to current standards, leading to settlement of the fill. The fill prism is commonly oversteepened along the outboard edge by the current earthwork standard of practice. Soils have also gradually crept downslope due to seasonal rain that saturates and reduces the strength of the underlying soils.

We considered whether the relatively recent foam injection may have impeded drainage of soils underlying the roadway, (by filling the voids in the generally granular soils), thus facilitating more movement under the roadway. The existing information does not give a clear picture of how continuous the foam is in the subsurface, and how effective a water barrier it could be.

We considered the reported time element in the reported distress. It is unclear from the information reviewed whether episodes of pavement distress have been associated with the rainy season, but we suspect that may be the case. Additionally, there may be a lag in response, in that movement facilitated by episodic saturation of soils at depth is only later manifested at the ground surface. We understand that the rate of roadway distress increased following foam injection, however, we do not conclude that there is a corresponding linkage between the two based on the available information.

We considered whether surface drainage patterns played a significant role in the observed distress. While we only observed the site during the dry season, we did not observe

ponding or features that would impede runoff from the site vicinity. The inboard ditch appears to be unobstructed with no undrained low intervals. The roadway is generally sloped such that sheet flow leaves the roadway and is not channeled along it. Open pavement cracks would provide avenues for infiltration of surface flow across the pavement surface.

We considered concentration of groundwater flow as a possible contributing cause. As noted, we did not observe groundwater in any of our borings and did not observe evidence to support this hypothesis, such as intervals reflecting different or fluctuating oxidation/reduction fronts through variable and mottled soil colors.

The settlement and lateral movement have been most evident in the outboard, eastbound lane, and in the two intervals traversing topographic swales mapped as having experienced landsliding in the past. The longitudinal profile (Figure 6A) illustrates how the thickness of fill and colluvium are greatest within these two intervals. Asphalt thicknesses up to approximately 2.3 feet observed in our borings indicate this process has been ongoing for some time.

5.4 CONCLUSIONS

Based on our investigation and review, it is our engineering judgement that the fill and colluvial soil material that makes up and underlies the road embankment will continue to creep downslope, causing cracking and deterioration of the asphalt pavement. Below is a summary of our conclusions:

- Potential for deep-seated landsliding involving bedrock materials is low;
- The immediately adjacent cut slope above Shannon Road appears to be generally stable;
- The fill materials were likely not compacted, properly keyed, or benched to current standards;
- Creep has been occurring gradually over time, likely due to oversteepened roadway fill;
- Field investigations did not encounter free groundwater in the borings, indicating roadway fill and colluvial soils are not consistently saturated;
- Fill and colluvial soils are likely susceptible to creep and downhill movement when saturated;

- The foam injection performed appears to be ineffective in stabilizing the roadway;
- Consistent cracking has led to regular repairs of the roadway surface and will likely continue until the roadway is permanently stabilized;
- The reported extent of recent cracking and pavement distress indicates creep of the materials above the bedrock may be accelerating, with sliding incipient.

Mitigation of these conditions will be needed to effectively stabilize the road embankment and reduce the potential for continued movement and pavement distress.

5.5 REPAIR ALTERNATIVES CONSIDERED

In our judgment, repair of this section of the roadway should be pursued with deliberate speed, as we do not think the situation is amenable to long-term management. The reported increase in the rate of roadway distress is unlikely to decrease and may increase. The immediately adjacent cut slope above Shannon Road is judged to be acceptably stable, and remediation does not appear to be necessary at this time.

We have considered two methods to stabilize the embankment and repair the roadway: a mechanically stabilized embankment (MSE) retaining wall; and a soldier beam and lagging wall retaining wall. Selection of a repair alternative requires several considerations specific to the site and construction methods will need to be weighed, including:

- Maintaining public access during construction
- Variable thickness of the active landslide mass
- Surface runoff of the upslope hillside
- Limited space on the site, for material handling, stockpiling, and equipment access
- Right-of-way limitations

5.5.1 Retaining Method 1: MSE Retaining Wall

This repair method would involve removal of the roadway fill and underlying colluvial soils until stable bedrock materials are encountered. The MSE retaining wall would consist of a facing element with reinforcement layers in compacted soil forming a reinforced soil mass. A conceptual repair is shown in Figure 7. The conceptual repair uses segmental concrete blocks as the facing element with layers of geogrid reinforcement and granular soils for backfill. A wall drain with an outfall pipe would be included to prevent water buildup behind the wall. It is recommended this wall type be used in the areas where suitable bedrock is relatively shallow (less than 8 feet), to reduce the volume of material that would need to be excavated and replaced.

A detriment to this repair is the roadway would need to be closed during construction, as the entire width of the road would likely need to be excavated and rebuilt. Although a steep cut could be made at the downhill right-of-way, construction would likely be much easier if cuts could extend laterally to the slope face, which could involve negotiations with adjacent property owners. In addition, a water line is located along the inboard lane of the roadway and would likely be within the roadway excavation. Coordination with the utility company would be needed to either possibly remove and replace the existing water line, or installation of temporary supports to secure it during construction. This repair may not be economical in areas where bedrock is deeper than approximately 8 feet below the pavement surface.

5.5.2 Retaining Method 2: Soldier Beam and Lagging Wall

This repair method would utilize a soldier beam and lagging retaining wall to stabilize the slope. The retaining wall foundation would need to penetrate sufficiently into competent bedrock to mitigate the apparent past historic landsliding that has occurred at the site in the areas of deep colluvium. A conceptual repair is shown in Figure 7. The wall could consist of a soldier pile wall constructed of steel H-piles embedded in CIDH piles across the width of the slope mass. Wooden lagging would be used to retain material between the H-piles. For areas where the wall retains approximately 15 feet or more fill and colluvium, tieback anchors would likely be needed to provide lateral support. A subdrain would be placed behind the lagging, with an outfall pipe draining water buildup from behind the wall.

A benefit of this method is that construction could be at least in part be confined to the outboard lane, thus allowing the roadway to remain open during construction, with a more controlled, limited impact to the natural hillside downslope of the roadway. Construction could be confined to within the Town's right-of-way. A soldier beam and lagging wall is considered to be more economical in areas where bedrock is deeper.

5.5.3 Alternative 1: Combined MSE Wall and Solider Beam and Lagging Wall

Alternative 1 is to construct an MSE wall in areas where bedrock is shallow (less than about 8 feet deep) while constructing a soldier beam and lagging wall with tieback anchors in areas where bedrock is deeper. An overlap of wall types would also be needed at transitions between wall types to reduce differential movement.

5.5.4 Alternative 2: Soldier Beam and Lagging Wall

Alternative 2 is to construct a soldier beam and lagging wall for the full length of the roadway. Tiebacks would be needed in areas where bedrock is deeper due to the height of the exposed wall. Areas where shallow bedrock is present would not need tieback anchors to support the wall.

5.5.5 Retaining Wall Comparison

Table 5-1 summarizes the key aspects of each alternative, while Table 5-2 shows the approximate location for each retaining method. Both alternatives would likely involve some work outside of the ROW. That extent of such work would likely be less for the soldier beam and lagging wall. Construction duration and phasing will largely be determined by the contractor's proposed approach and schedule.

	Tuble 5 1. Ketanning wan comparison				
Repair Considerations	Alternative 1	Alternative 2			
Estimated Project Total	\$6.2 Million	\$5.4 Million			
Wall type	Combination MSE and	Soldier Beam and Lagging Wall			
	Soldier Beam and Lagging				
	Wall				
Road Closures	Full road closure during	One lane may remain open			
	construction	during construction			
Construction Footprint	Likely larger due to	Smaller footprint and less			
	stockpiling of materials	impact to hillside downslope of			
	needed for backfill	the roadway; less			
		encroachment beyond ROW			
Utility Clearance	Water line along inboard	Overhead Utility pole and			
	lane of roadway would be	associated supports near Santa			
	within roadway excavation	Rosa Drive may be impacted			
Wall Height / Depth of	Excavation would need to	Cantilever wall likely for wall			
Repair	be performed until	heights up to 15 feet, with			
	competent bedrock is	tieback anchors likely for wall			
	encountered, tie back	heights greater than 15 feet			
	anchors for soldier beam				
	and lagging wall				

Table 5-1. Retaining Wall Comparison

Station	Approximate Depth	Stabilization/Repair Type		
	to Bedrock (ft)			
0+00 to 1+75	13 to 17	Tieback Soldier Beam and Lagging Wall		
1+75 to 2+75	10	MSE Wall or Soldier Beam & Lagging without Tiebacks		
2+75 to 5+50	14	Tieback Soldier Beam and Lagging Wall		
5+50 to 6+50	8 to 11	MSE Wall or Soldier Beam & Lagging without Tiebacks		
6+50 to 9+75	12 to 18	Tieback Soldier Beam and Lagging Wall		
9+75 to 12+50	7 to 9	MSE Wall or Soldier Beam & Lagging without Tiebacks		

Table 5-2. Preliminary Retaining Wall Type by Station

5.5.6 Recommended Alternative

Based on our preliminary engineering analysis, it is our judgement that Alternative 2, a solider beam and lagging wall for the extent of the roadway, would be the recommended alternative. It is likely one lane of traffic along the roadway could be able to remain open during construction, as opposed to closing portions of the road for Alternative 1. Also, the cost of Alternative 2 is less than Alternative 1, given the excavation and backfilling required to complete Alternative 1.

Before a preferred alternative is selected, it is recommended we meet with the design team to review the two retaining wall types and discuss potential positives and negatives for each wall type.

5.6 GEOTECHNICAL CONSIDERATIONS

5.6.1 Final Design

Significant geotechnical issues that will affect the design and construction of a permanent slope repair include the following:

• **Depth to Competent Bedrock** – Competent bedrock varies in depth across the site and ranges from approximately 7 and 18 feet bgs in the project area, based on our exploration. Sheared (and thus weaker) bedrock, while not encountered in our

borings, may be present due to the mapped close proximity of the Monte Vista-Shannon fault.

- **Surface Water Drainage** Surface water runoff should be collected from the roadway above and discharged in an appropriate energy dissipater away from the outboard slope area below the proposed repair. Surface drainage improvements should be designed to adequately collect and accommodate the volumes of water that reach these drainages.
- **Drillability** Subsurface exploration was completed using solid-stem augers and did not encounter auger refusal to the depths explored in the borings. Based on the subsurface exploration, we anticipate conventional earthwork and excavation equipment may be used for stabilization/repair construction.

5.6.2 Interim Repairs

Interim measures to reduce the amount and rate of pavement distress are recommended. Cracks in the pavement surface should be filled and sealed in order to reduce the amount of water infiltrating into the underlying soils. Surface drainage, such as the inboard ditch and downstream drainage inlet should be cleared free of debris to reduce sheet flow over the roadway and the potential for infiltration through roadway cracks.

We considered the use of hydraugers or other subsurface drainage measures, as we suspect that seasonal groundwater facilitates movement. However, our drilling program (conducted in the dry season) did not clearly identify target areas for such measures, and the likelihood of significant beneficial effect is too low in our estimation. Installation of measures such as hydraugers would be a significant construction effort, likely requiring closure of at least one lane during installation, possible work outside of the ROW.

5.6.3 Monitoring

Until a repair can be designed and implemented, monitoring should be considered as a way to provide some level of advance notice that more rapid or more extensive failure may be imminent or occurring.

There are various potential monitoring approaches, including:

Slope Inclinometers – these would be installed in a geotechnical boring, with a flush Christy box at the ground (pavement) surface. They would require an initial reading, and record deformation (creep and/or sliding) of earth materials after comparison of episodic

readings. In this application, one lane of the roadway would need to be closed for installation, and for each subsequent reading.

Repeat Surveys – Installation of survey markers, likely within a flush Christy box. Installation within the roadway would require closure of one lane, as would subsequent readings. The closures needed for a reading at a given monument could be relatively brief, allowing for unimpeded traffic flow, with periodic short closures. Survey markers could be installed on posts outboard (downslope) of the traveled roadway.

Repeat Distress Mapping – Repeat mapping of existing cracks through use of a UAS (drone) would change detection analysis to highlight areas where cracks appear to be forming or extending. This approach would not capture any change in vertical offset across cracks. Repeat distress mapping would capture the evolution of distress in areas that are subsequently repaved or repaired, which "wipes clean" the roadway slate. This distress mapping would be very similar in scope to that used for preparation of our site base map (see Figures 2A – 2J).

5.7 GEOTECHNICAL DESIGN REPORT

Once a preferred alternative has been selected, a geotechnical design report will need to be produced to accompany and aid in the structural design of the repairs. The geotechnical design report should utilize the data presented in this report and provide design recommendations and construction considerations. Depending on the alternative selected, additional field investigation may be needed for the final design. The geotechnical design report should include design recommendations pertaining to the excavation of the roadway, wall foundation requirements, lateral earth pressures against the wall, retaining wall drainage, wall backfill requirements, pavement design, and other information required by the project designer.

6.0 LIMITATIONS

The conclusions and recommendations presented in this report are based on the information provided regarding the planned construction, and the results of the geologic mapping, subsurface exploration, and testing, combined with interpolation of the subsurface conditions between boring locations. Site conditions described in the text of this report are those existing at the time of our last field reconnaissance and are not necessarily representative of the site conditions at other times or locations. This information notwithstanding, the nature and extent of subsurface variations between borings may not become evident until construction. If variations are encountered during construction, Cal Engineering & Geology, Inc. should be notified promptly so that conditions can be reviewed and recommendations reconsidered, as appropriate.

It is the Owner's responsibility to ensure that recommendations contained in this report are carried out during the construction phases of the project. This report was prepared based on preliminary design information provided which is subject to change during the design process. A geotechnical design report should be produced to provide geotechnical design and construction recommendations for the project.

The findings of this report should be considered valid for a period of three years unless the conditions of the site change. After a period of three years, CE&G should be contacted to review the site conditions and prepare a letter regarding the applicability of this report.

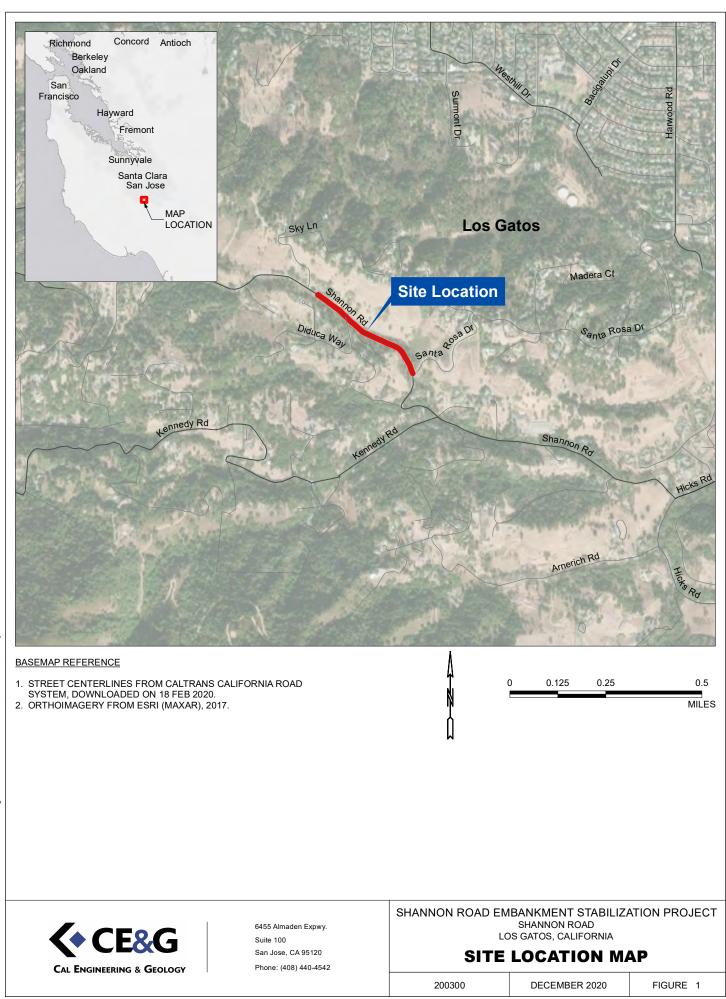
This report presents the results of a geotechnical and geologic investigation only and should not be construed as an environmental audit or study. The evaluation or identification of the potential presence of hazardous materials at the site was not requested and was beyond the scope of this investigation and report.

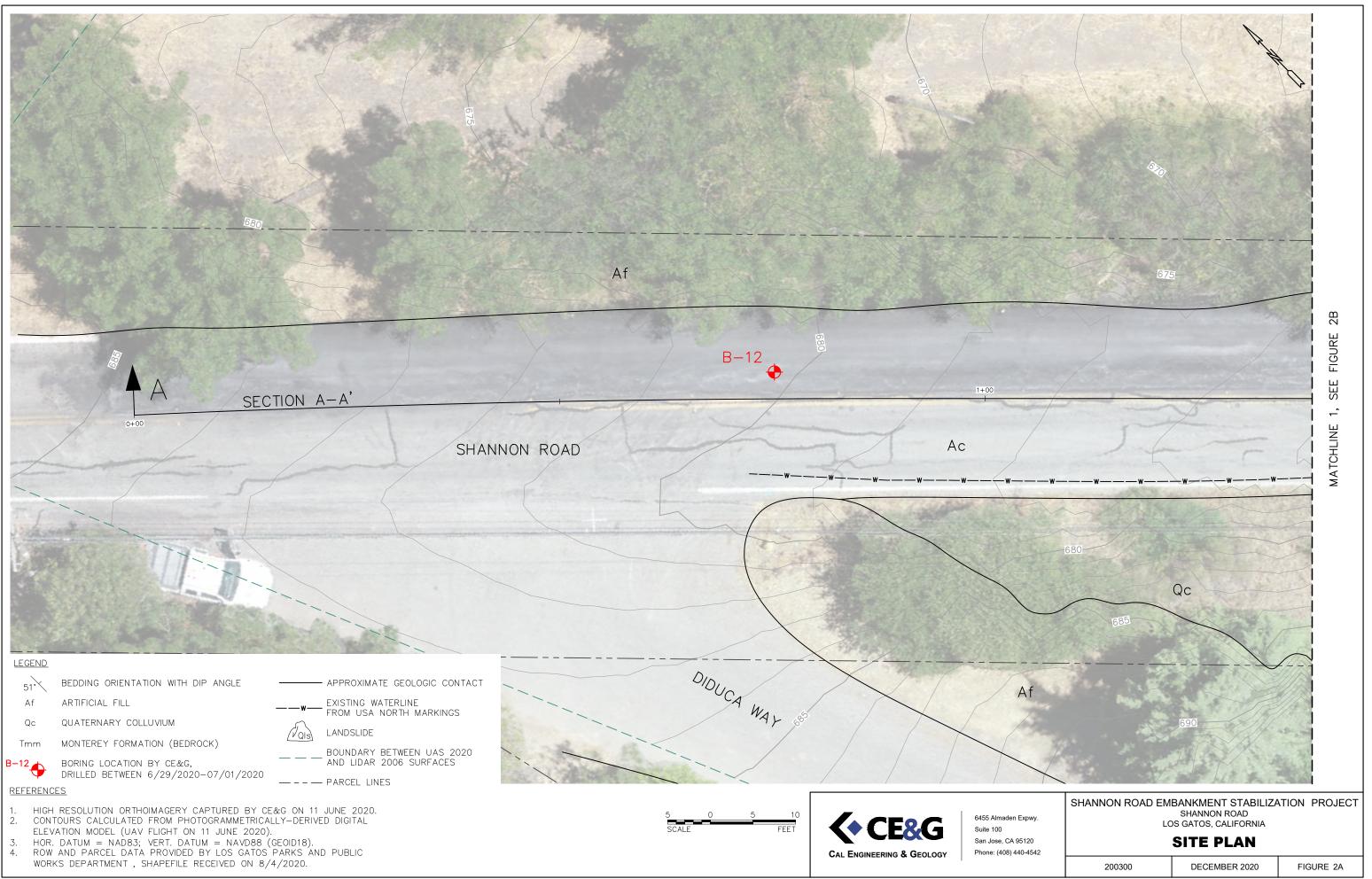
The conclusions and recommendations contained in this report are valid only for the project described in this report. We have employed accepted geotechnical engineering procedures, and our professional opinions and conclusions are made in accordance with generally accepted geotechnical engineering principles and practices. This standard is in lieu of all other warranties, either expressed or implied.

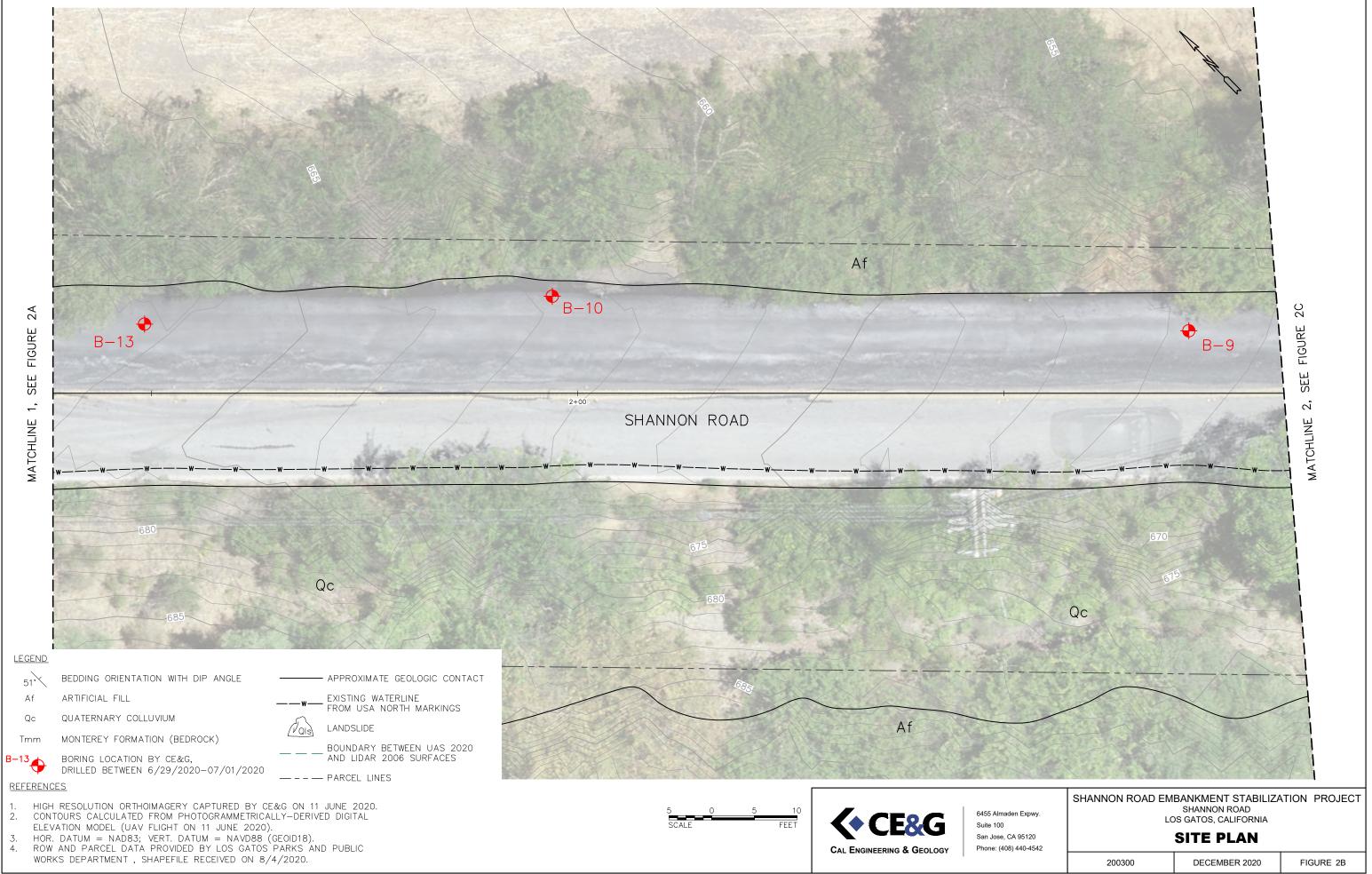
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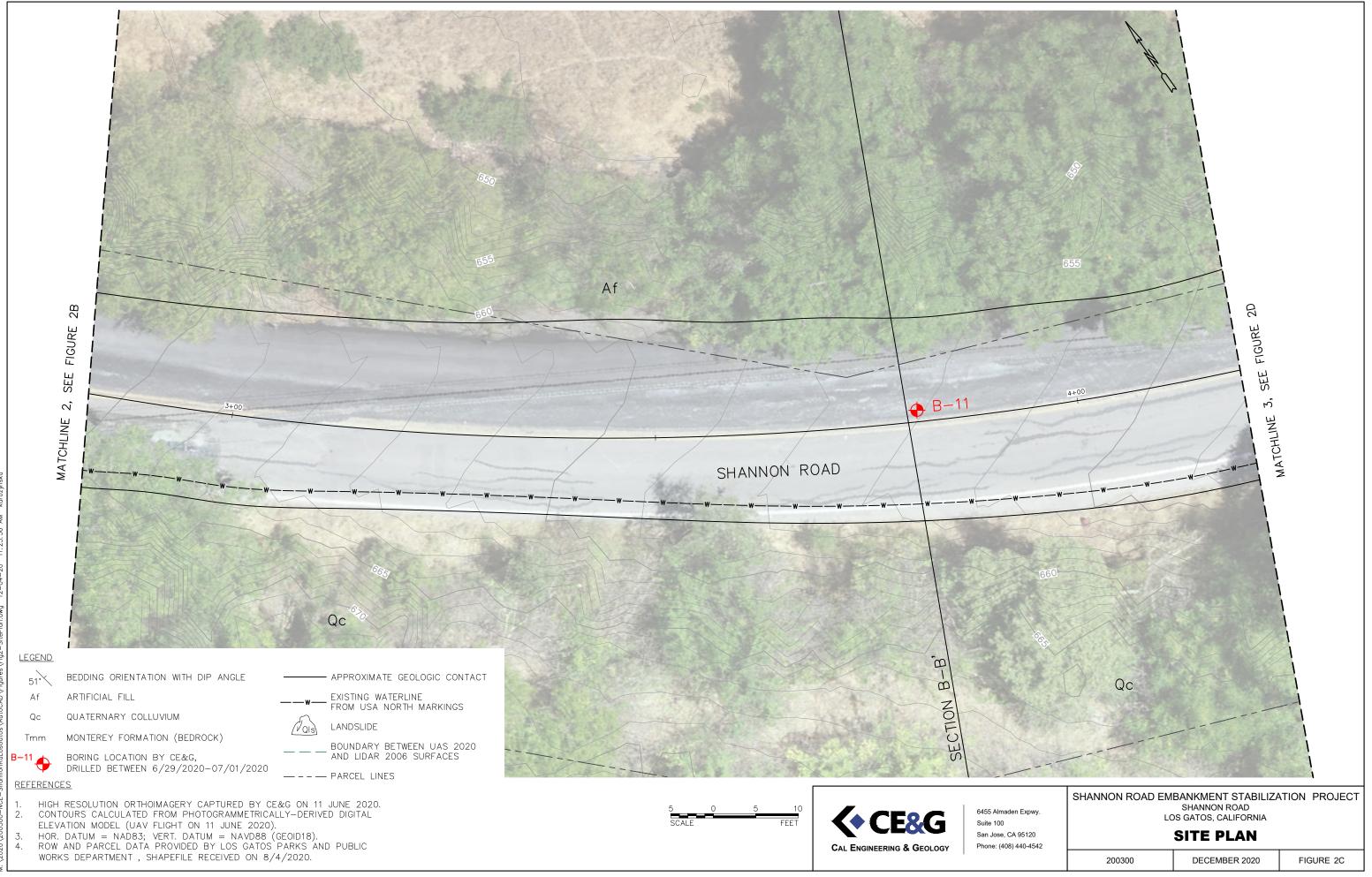
Figures

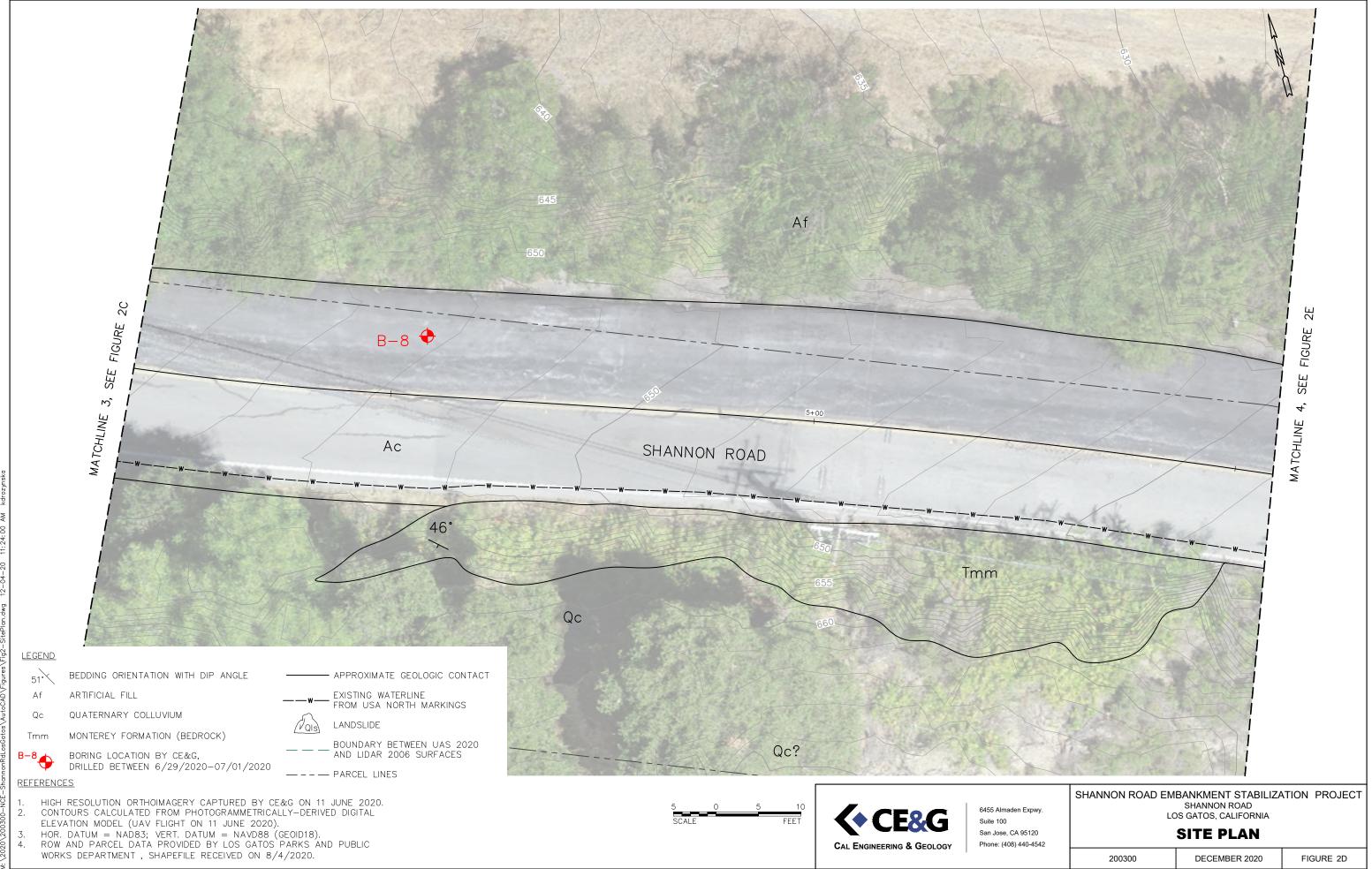


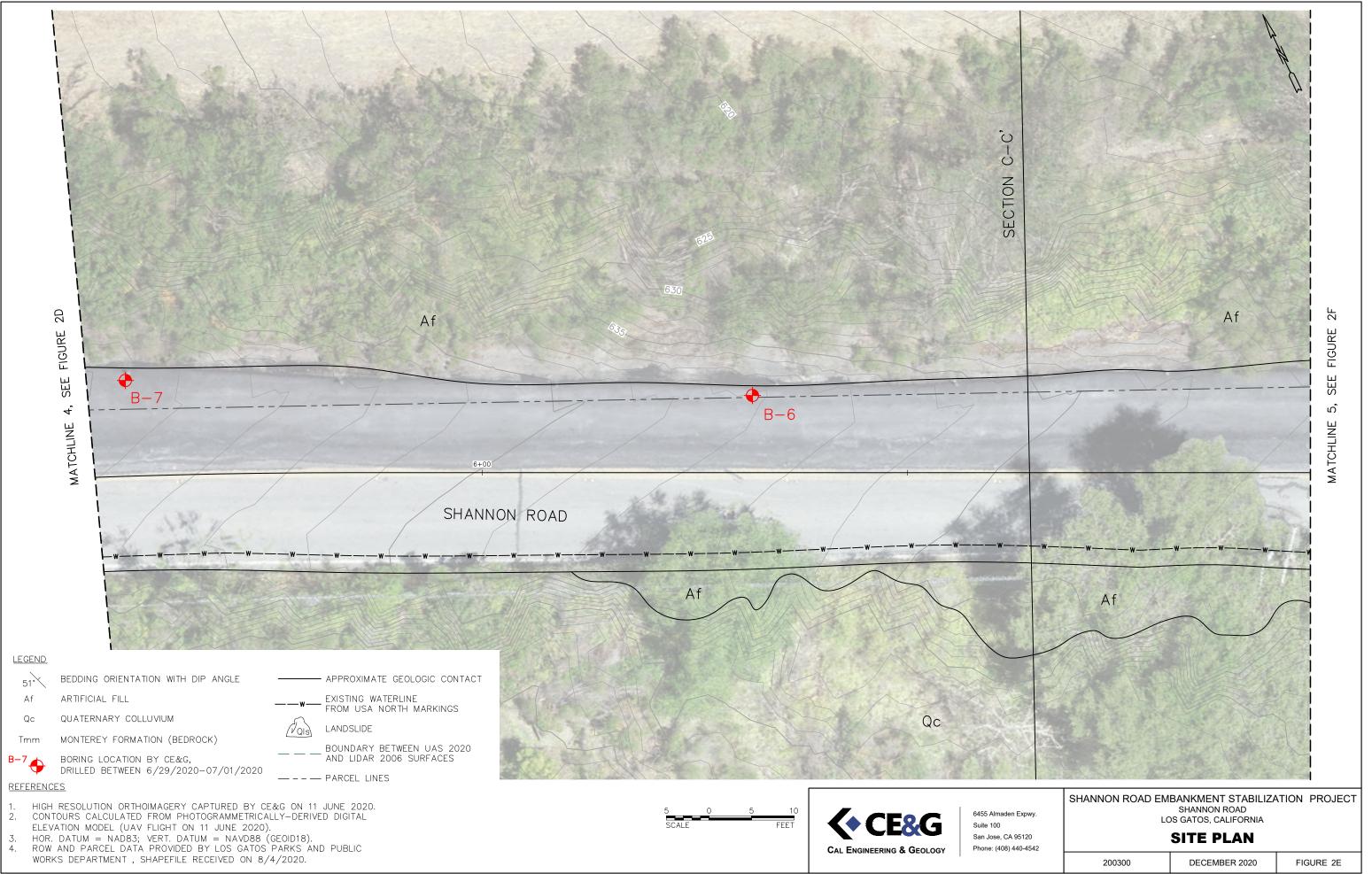


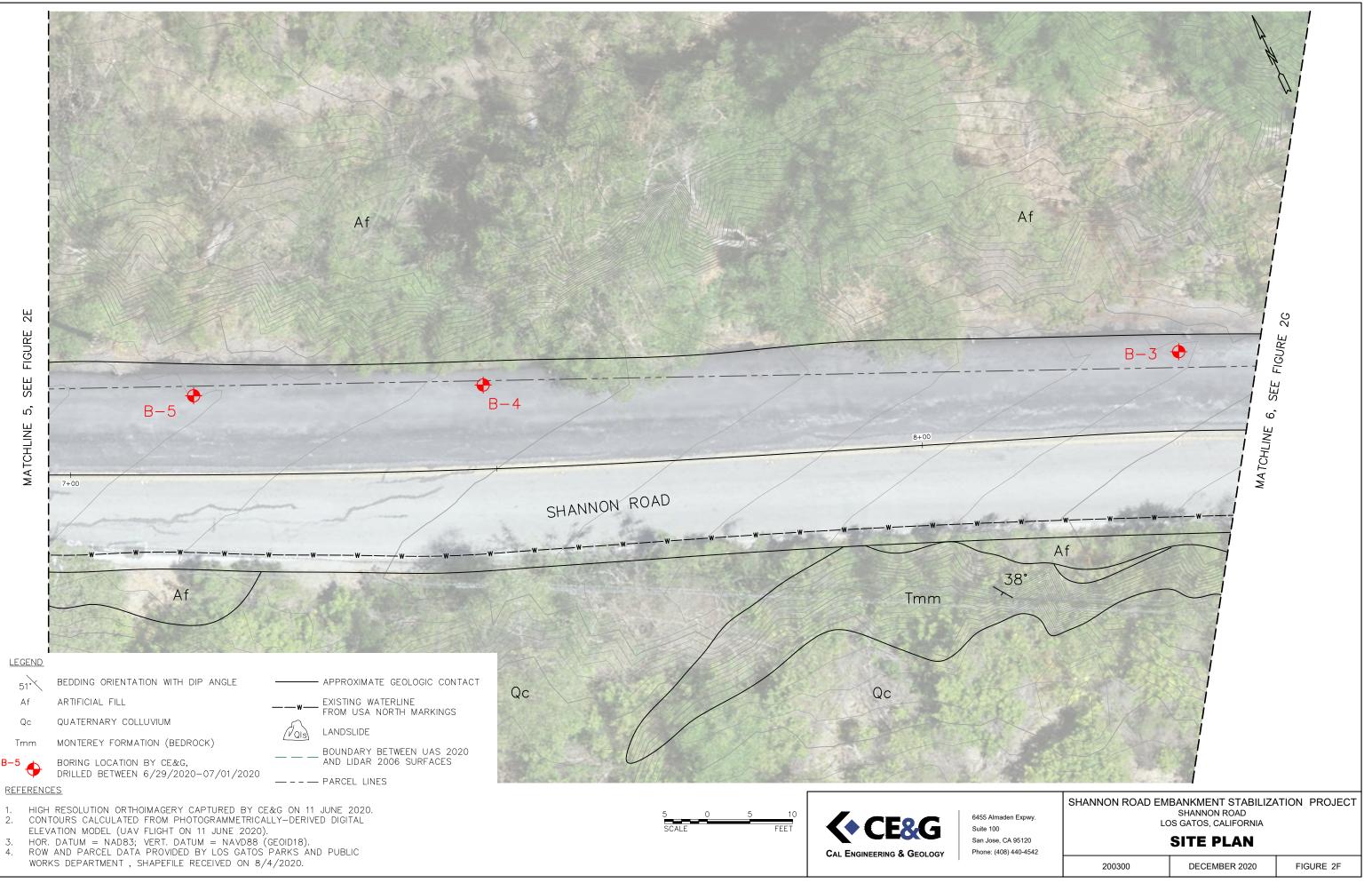


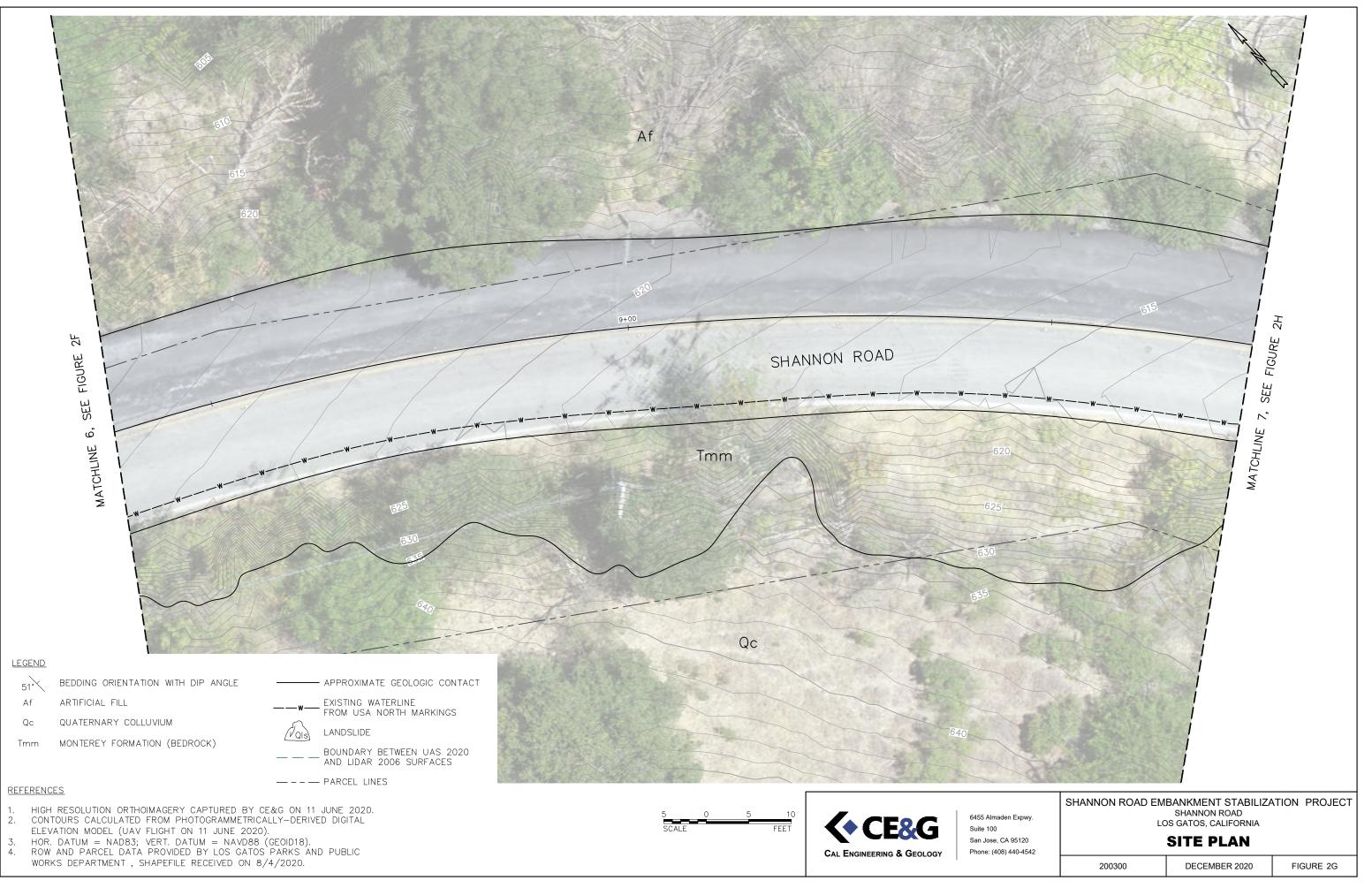
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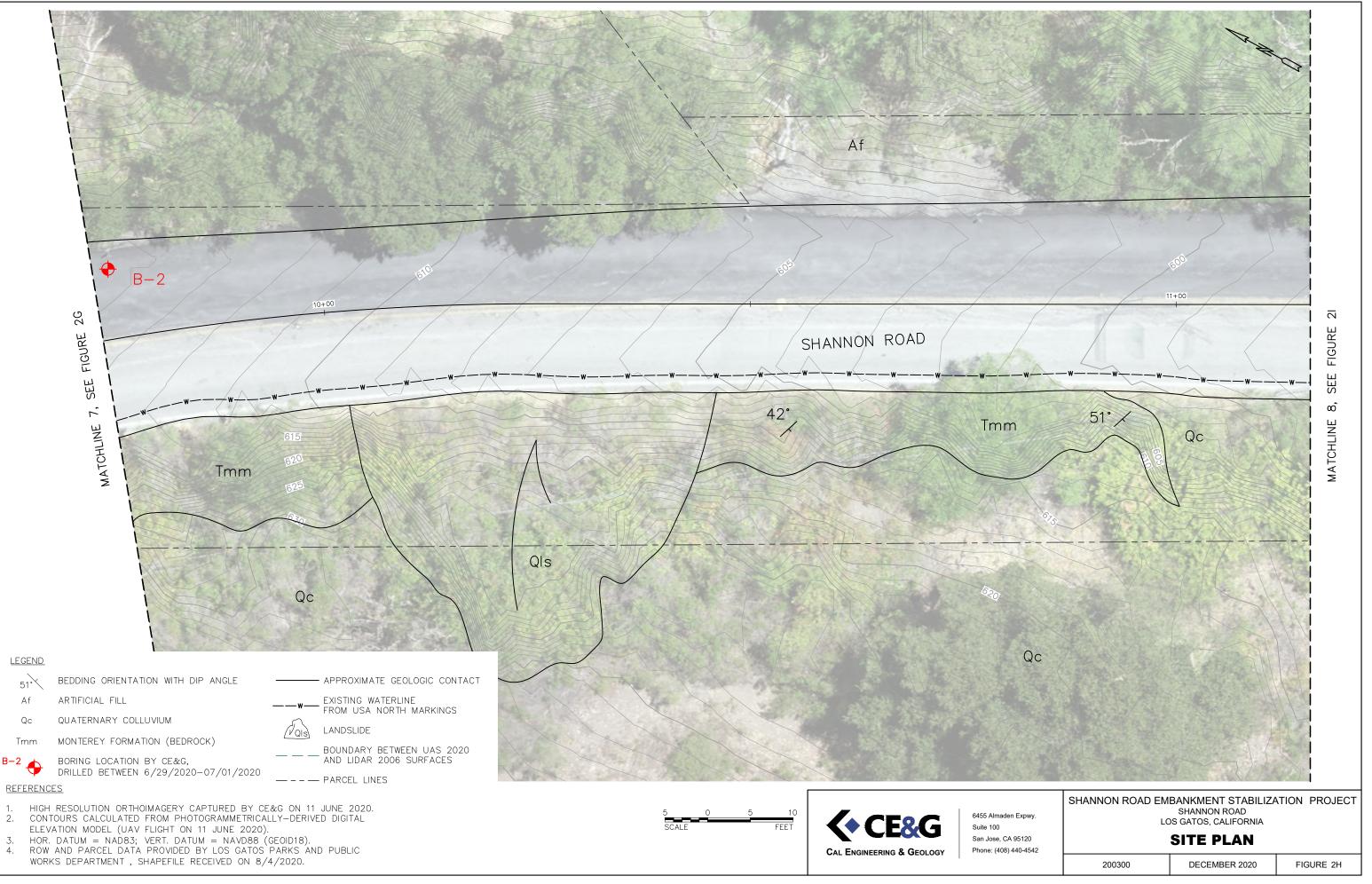


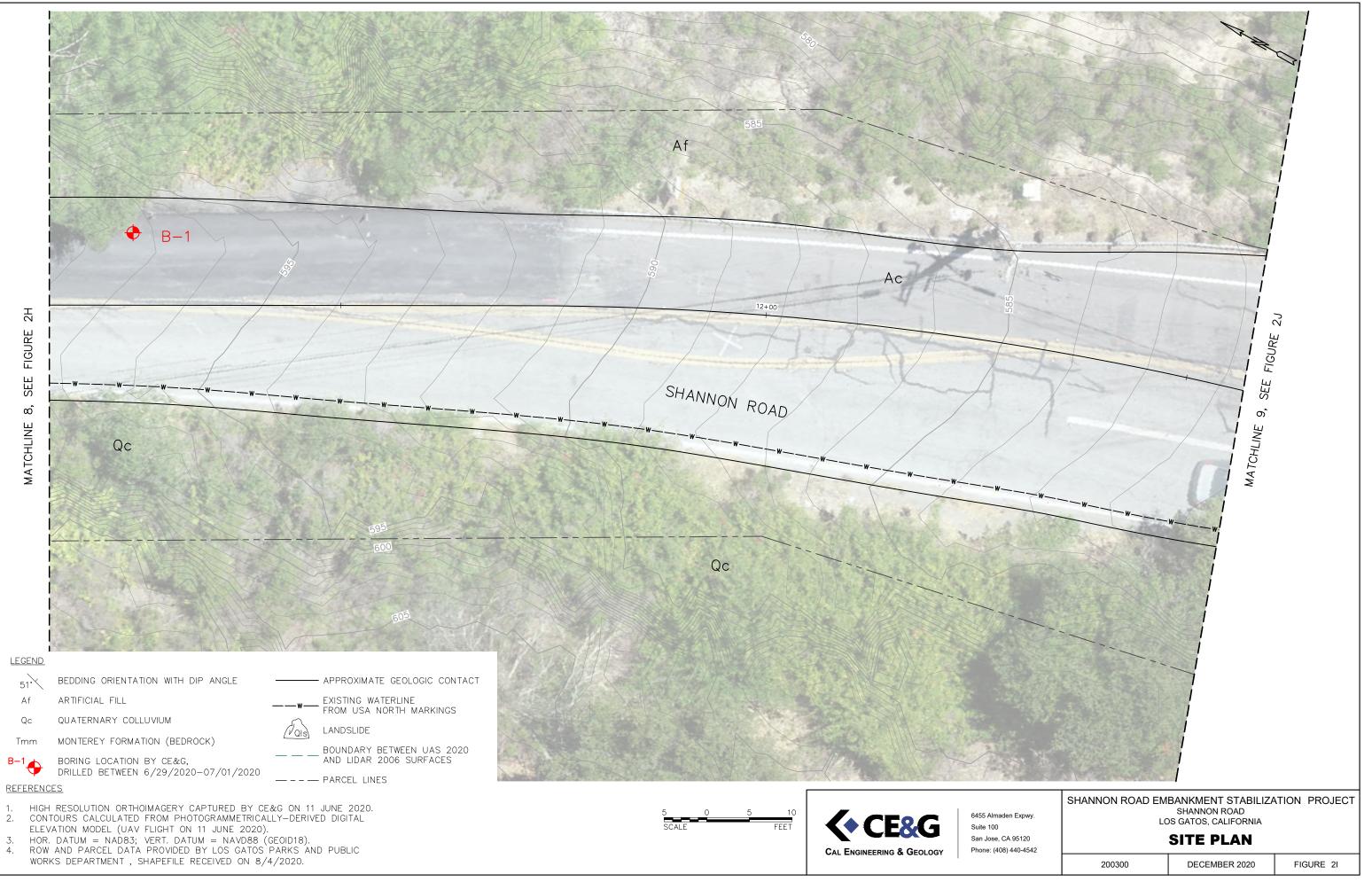


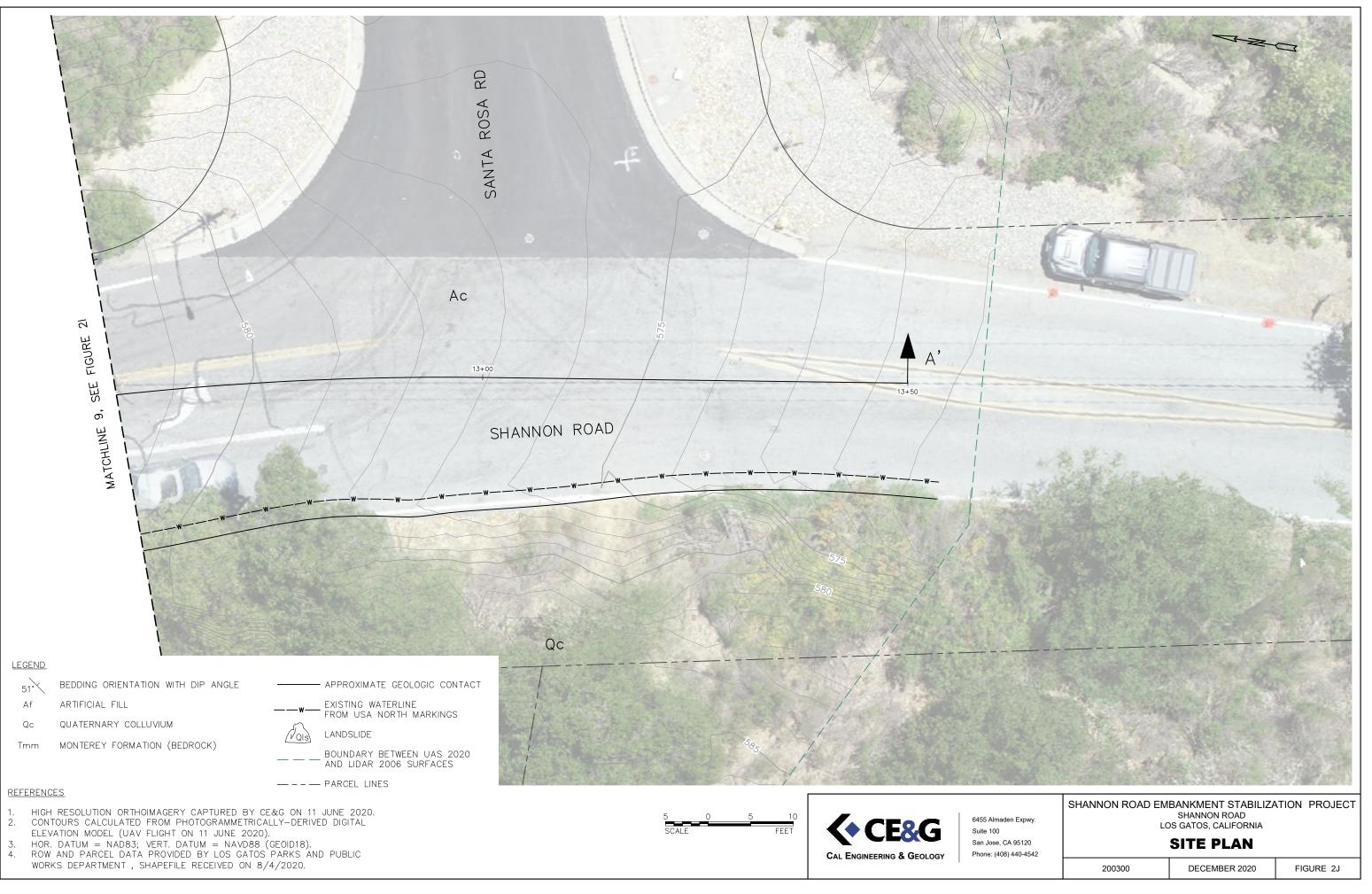


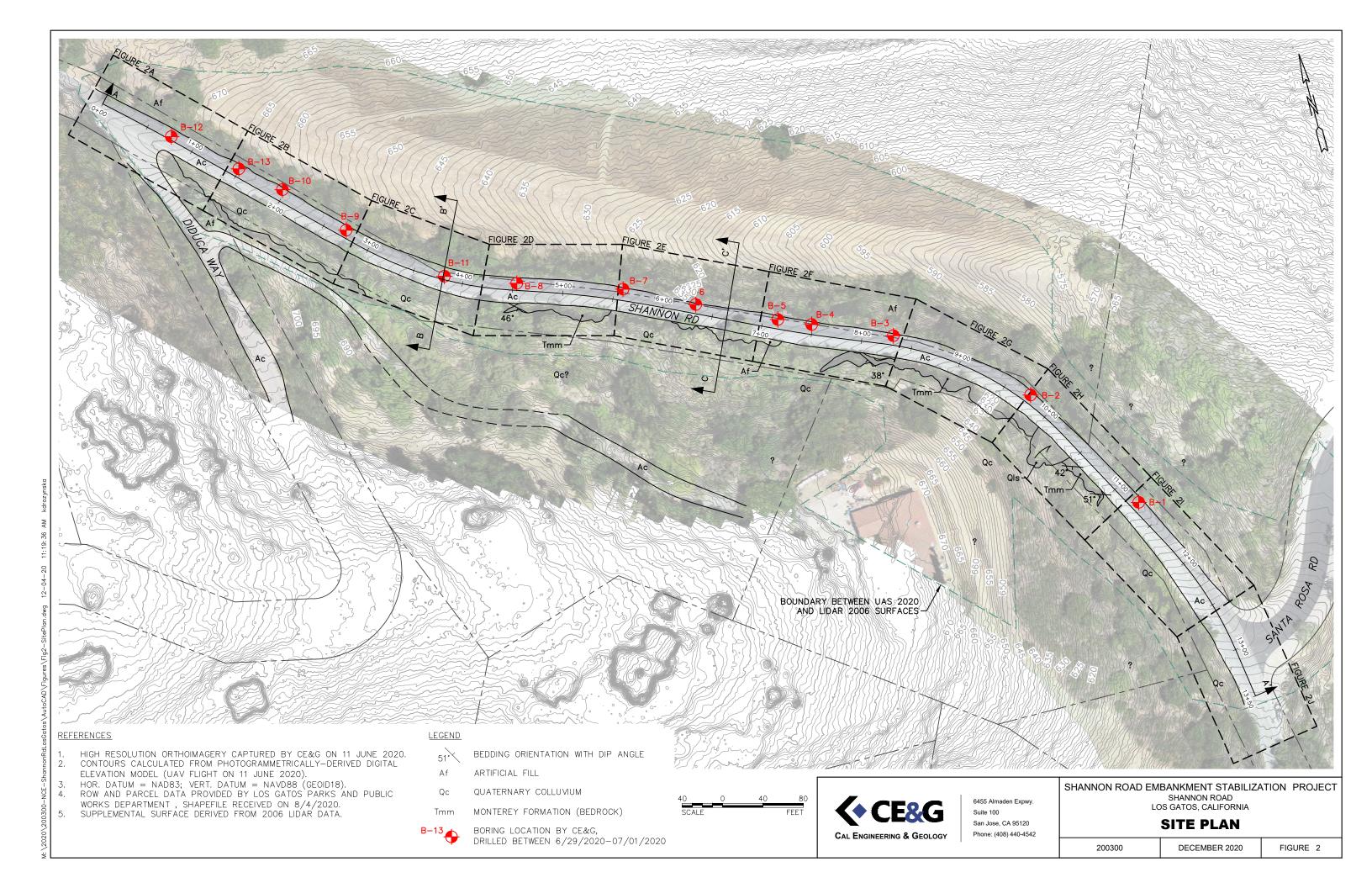












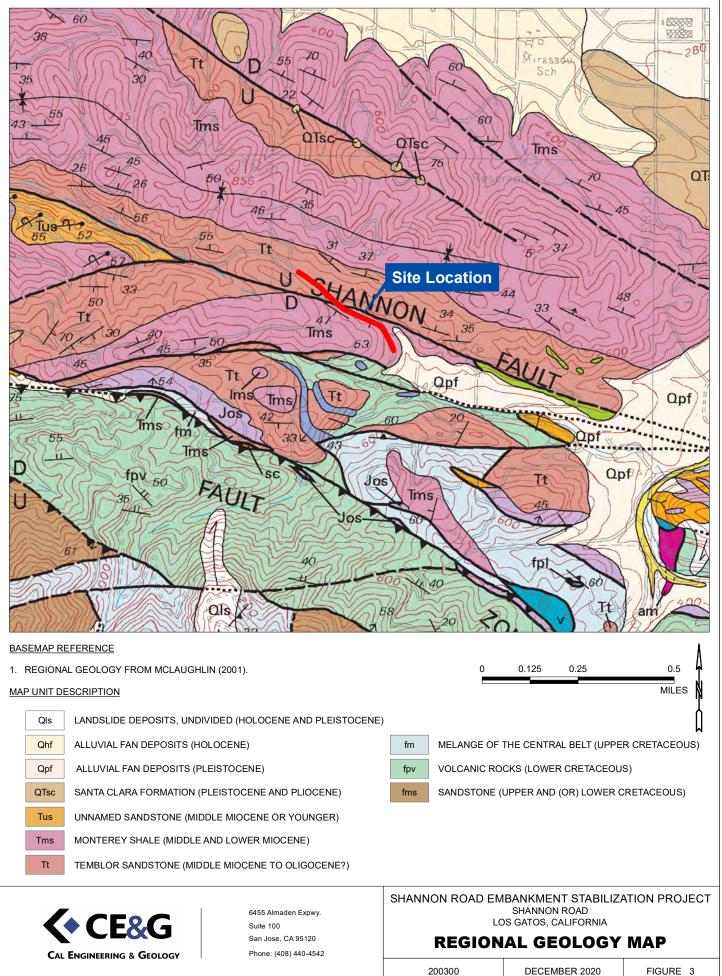


FIGURE 3

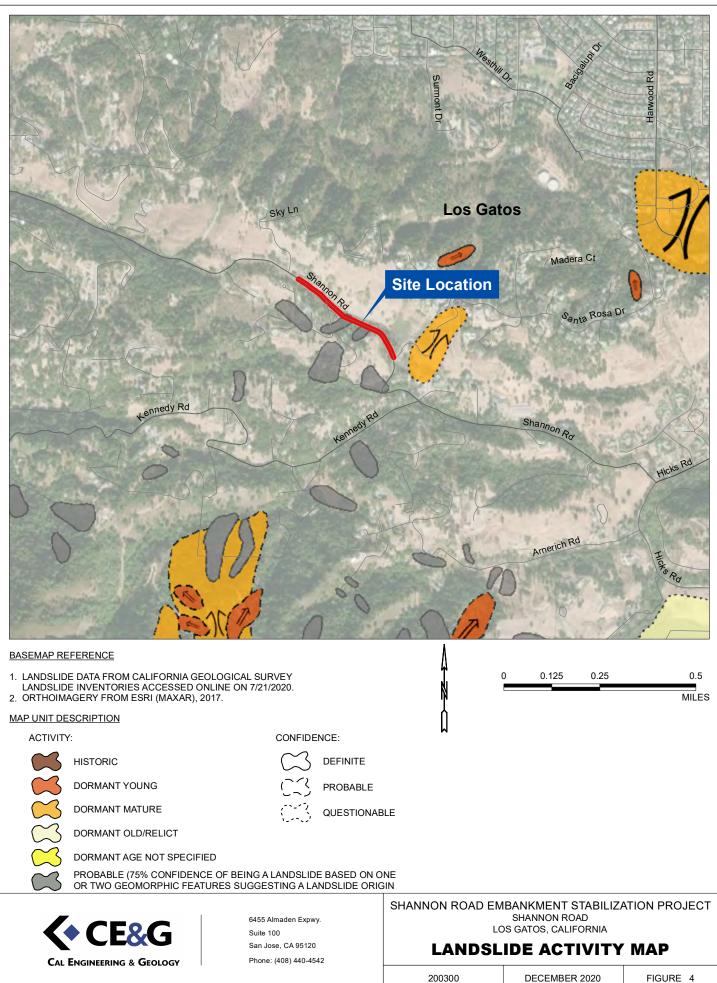
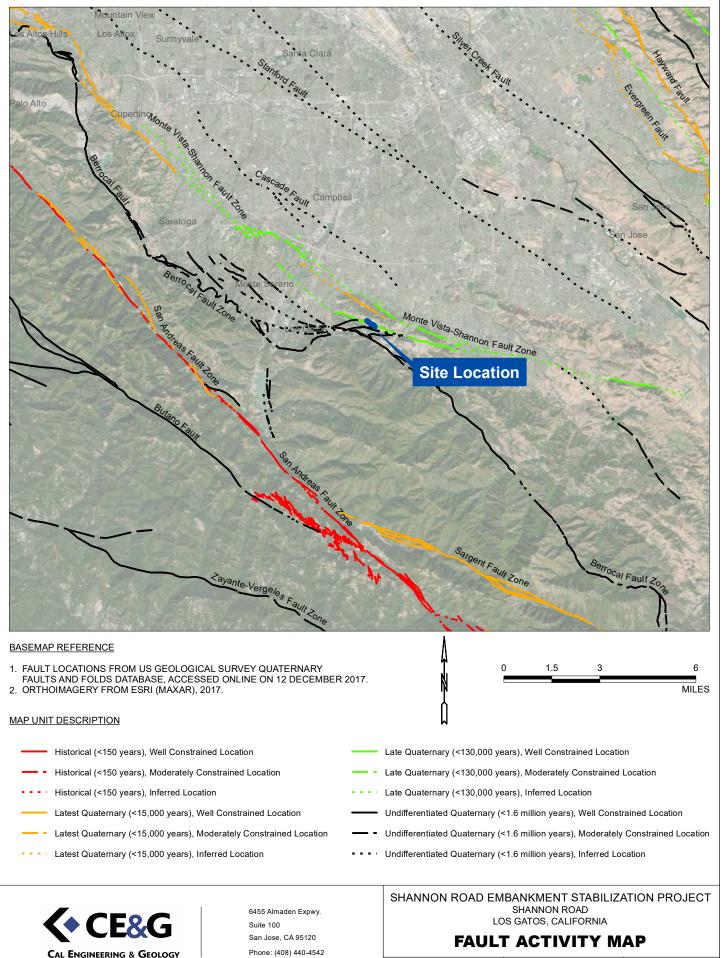


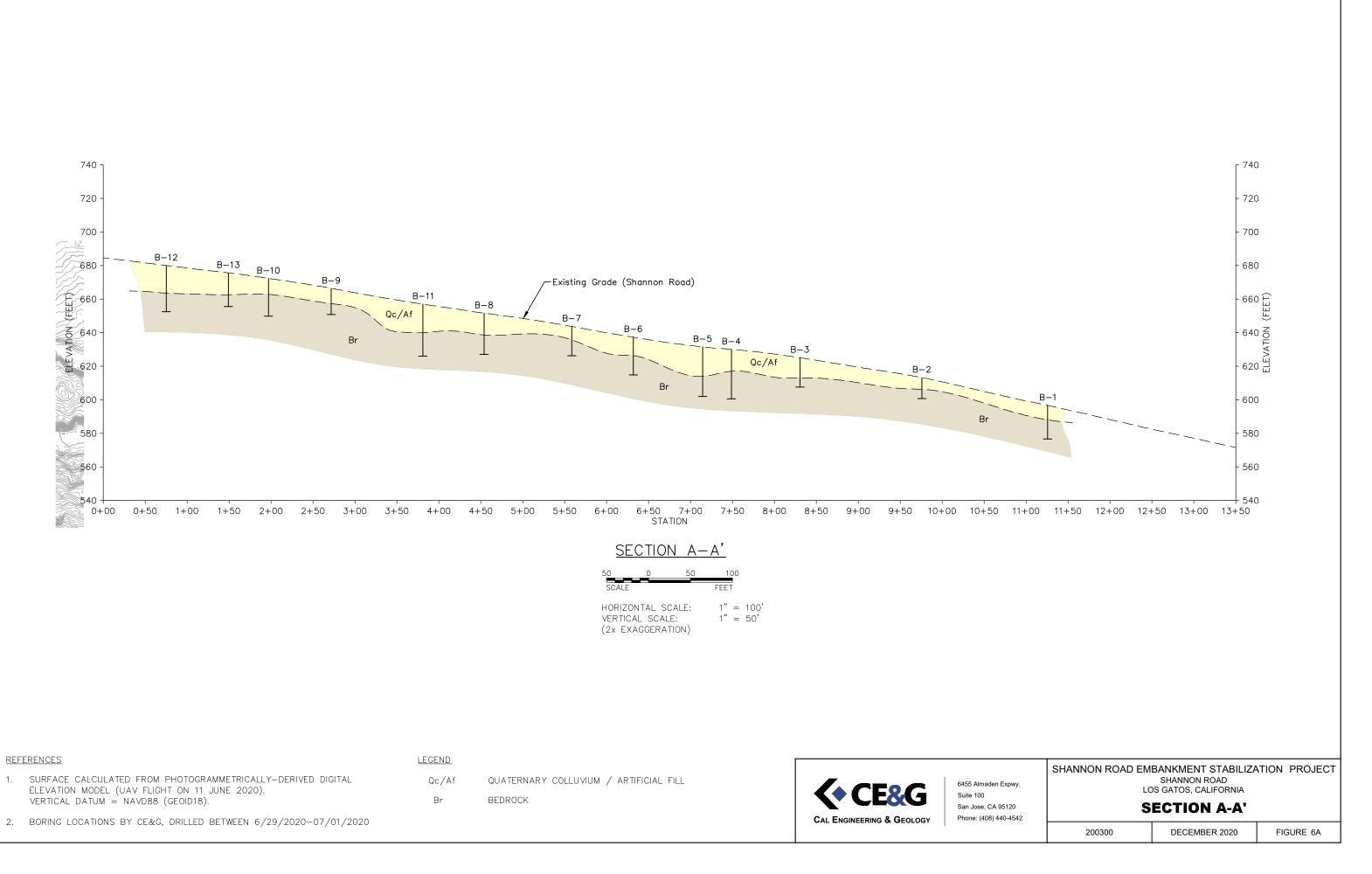
FIGURE 4

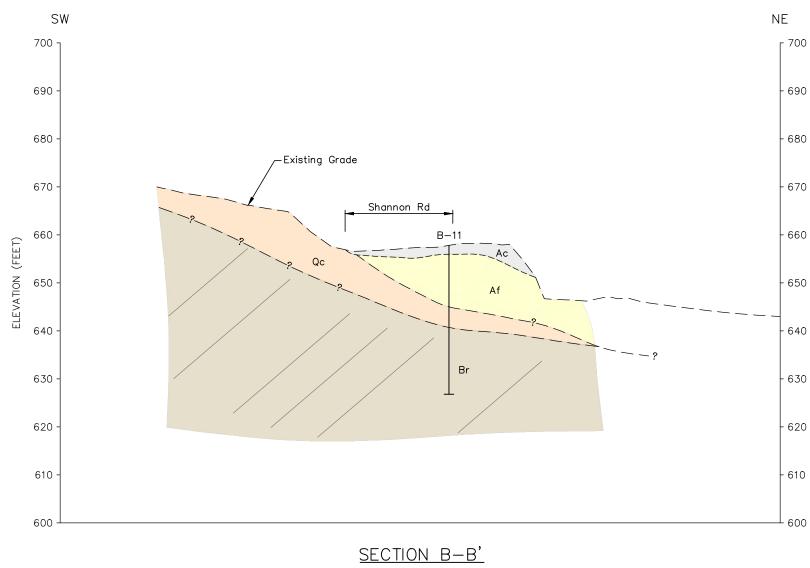


200300

FIGURE 5

DECEMBER 2020





10

SCALE

20

FEET

<u>REFERENCES</u>

 SURFACE CALCULATED FROM PHOTOGRAMMETRICALLY-DERIVED DIGITAL ELEVATION MODEL (UAV FLIGHT ON 11 JUNE 2020). VERTICAL DATUM = NAVD88 (GEOID18).

2. BORING LOCATIONS BY CE&G, DRILLED BETWEEN 6/29/2020-07/01/2020

<u>LEGEND</u>

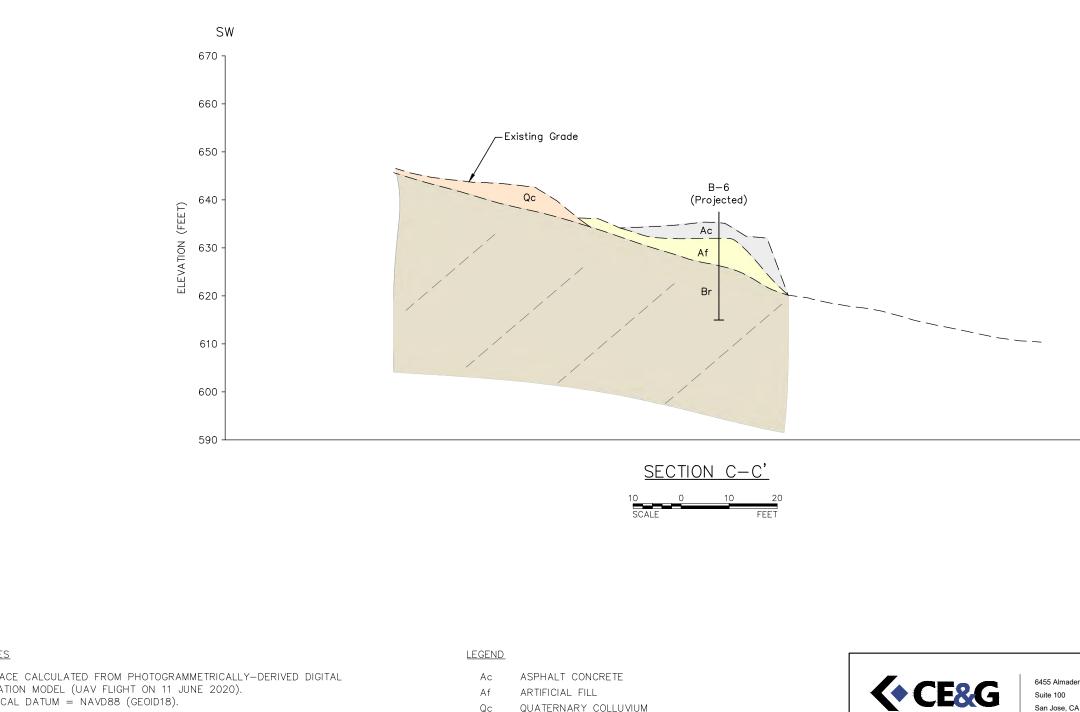
- Ac ASPHALT CONCRETE
- Af ARTIFICIAL FILL
- Qc QUATERNARY COLLUVIUM
- Br BEDROCK



6455 Almader Suite 100 San Jose, CA Phone: (408)

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- 620				
- 610				
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en Expwy.		BANKMENT STABILIZA SHANNON ROAD SGATOS, CALIFORNIA	ATION PROJECT
A 95120) 440-4542	S	ECTION B-B'	
	200300	DECEMBER 2020	FIGURE 6B



<u>REFERENCES</u>

1. SURFACE CALCULATED FROM PHOTOGRAMMETRICALLY-DERIVED DIGITAL ELEVATION MODEL (UAV FLIGHT ON 11 JUNE 2020). VERTICAL DATUM = NAVD88 (GEOID18).

BORING LOCATIONS BY CE&G, DRILLED BETWEEN 6/29/2020-07/01/2020 2.

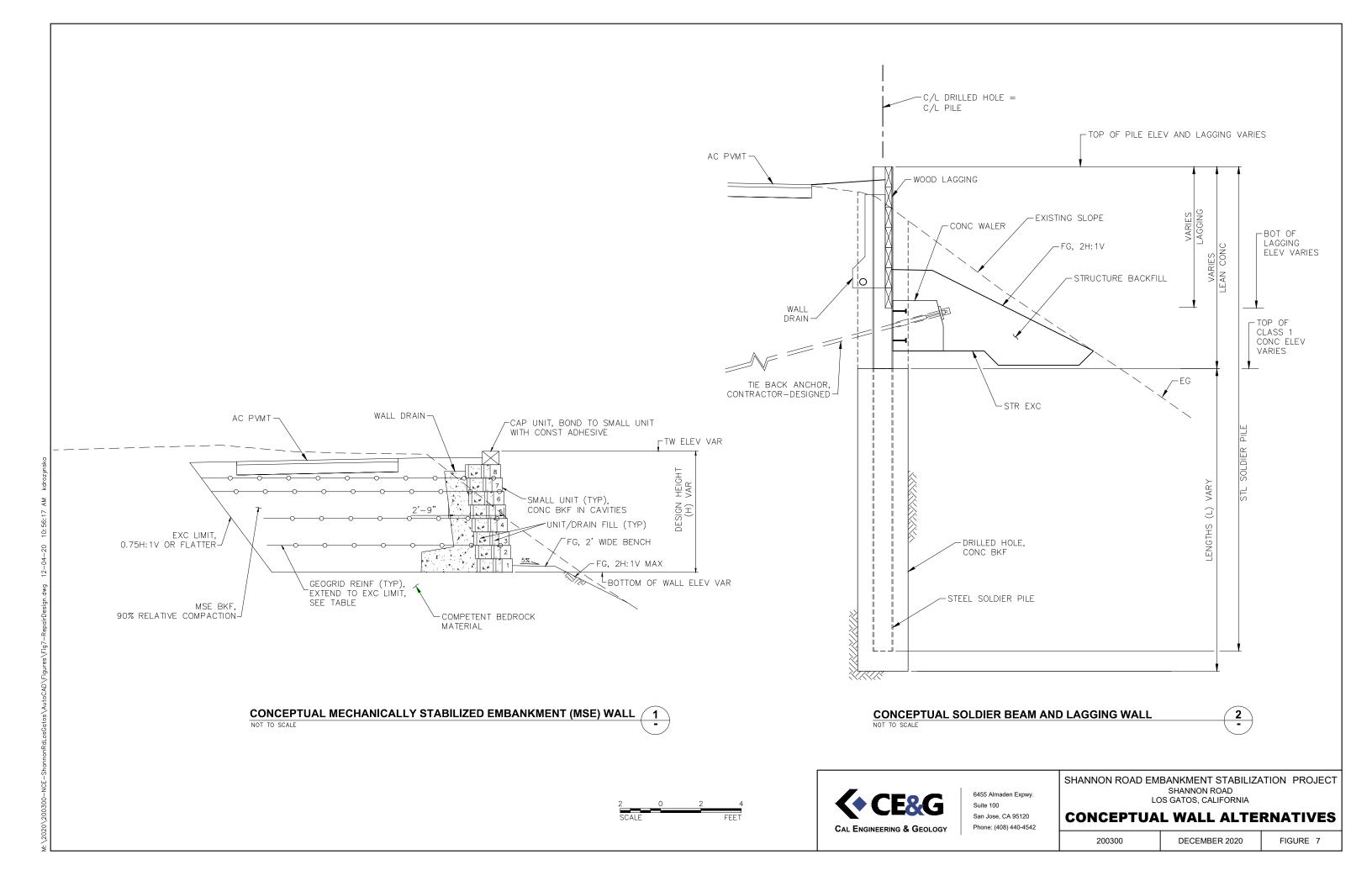
- Qc QUATERNARY COLLUVIUM
- Br BEDROCK



CAL ENGINEERING & GEOLOGY



n Expwy.		BANKMENT STABILIZA SHANNON ROAD DS GATOS, CALIFORNIA	TION PROJECT
A 95120 440-4542	S	ECTION C-C'	
	200300	DECEMBER 2020	FIGURE 6C



Appendix A. Boring Logs

	UNIFIE	D SC			ATION	SYSTEM (AS	STM D	-2487)			
Fie	ld Identifica	tion	Group Symbols	Typical N	ames	Laboratory (<u>Classifi</u> c	ation Criteria			
		Clean Gravels	GW	Well-graded gravels mixtures, little c		MITH SOLS el avel d	$C_{U} = D_{60} \div C_{C} = (D_{30})^{2} \div (I_{C})^{2}$	$ \begin{array}{l} f : D_{10} \geq 4 and \\ D_{10} imes D_{60} & \geq 1 \ \& \leq 3 \end{array} $			
	Gravels	< 5% Fines	GP	Poorly graded gra sand mixtures, littl		ANDS SYME SYME Sand Sand Sand	$C_{U} = D_{60} \div$ $C_{C} = (D_{30})^{2} \div (I_{10})^{2}$	D ₁₀ < 4 and/or D ₁₀ × D ₆₀) < 1 & > 3			
Soils terial is 0 sieve	coarse fraction	Gravels with	GM	Silty gravels, poo gravel-sand-silt			classify as _ or MH	If fines classify as CL-ML, use dual			
ined of ma No. 20	No. 4 sieve	Fines	GC	Clayey gravels, po gravel-sand-clay			classify as or CH	symbol GC/GM			
Coarse-Grained Soils More than 50% of material is retained on the No. 200 sieve.		Clean Sands	SW	Well-graded sands, little or			$C_{U} = D_{60} \div C_{C} = (D_{30})^{2} \div (I_{C})^{2}$	$(D_{10} \ge 6 \text{ and } D_{10} \ge 1 \& \le 3)$			
Coars flore the tained	Sands More than 50%	< 5% Fines	SP	Poorly graded sar sands, little or		IFICATION OF SP/SCM: OF SP/SCM: OF SP/SCM: OF SP/SCM: OF SP/SCM: DF SP/SC	$C_{U} = D_{60} \div$ $C_{C} = (D_{30})^{2} \div (I_{20})^{2}$	D ₁₀ < 6 and/or D ₁₀ × D ₆₀) < 1 & > 3			
U≥e	coarse fraction	Sands with	SM	Silty sands, poo sand-silt mi		Fines کی 20 0 2% Fines ML	classify as ₋ or MH	If fines classify as CL-ML, use dual			
	Sands Sands										
	Identification P	rocedure	s on Perce	entage Passing the			TICITY (CHART			
	0.14		ML	Inorganic silts, ver rock flour, silty or sands with sligh	clayey fine	For Classification Fine-Grained Frag	on of Fine-C ction of Co	Grained Soils and arse-Grained Soils			
Fine-Grained Soils More than 50% of material passes the No. 200 sieve.	Silts & C	less	CL	Inorganic clays of ium plasticity, grav and/or silty clays	velly, sandy,	Equation of "A"-Line: PI = 4 @ Equation of "U"-Line: LL = 16	LL = 4 to 25.5, the @ PI = 0 to 7, then	en PI = 0.73 × (LL - 20) n PI = 0.9 × (LL - 8)			
aine 50% o 8 No. 2	than 50%	6	OL	Organic silts, or clays of low p	ganic silty lasticity		√ сн	or OH			
Fine-Graine More than 50% passes the No.	Silts & C	lave	мн	Inorganic silts, m diatomaceous fi silty soil, elas	icaceous or ne sandy/- stic silts	Let the second s	or OL				
≞ ≥ a	Liquid Limit g	-	СН	Inorganic clay plasticity, fa	s of high t clays		мн	or OH			
	than 50%	6	ОН	Organic clays of high plast			or OL 0 50 60	70 80 90 100 11 0			
HIGH		SOILS	РТ	Peat and othe organic s	5,	LIQU	JID LIMIT (LL	-)			
HIGHLY ORGANIC SOILS PT Feat and outlet mighty organic soils Election Electron (EE) Image: Might of the might organic soils Image: Might of the might organic soils Image: Might of the might organic soils Image: Might of the might organic soils Image: Might of the might organic soils Image: Might of the might organic soils Image: Might of the might organic soils Image: Might of the might organic soils Image: Might of the might organic soils Image: Might of the might organic soils Image: Might of the might organic soils Image: Might of the might organic soils Image: Might of the might organic soils Image: Might of Might of Sample Image: Might of the might organic soils Image: Might of the might organic soils Image: Might of the might organic soils Image: Might of Might of Sample Image: Might of the might organic soils Image: Might of Might of Sample Image: Might of the might organic soils Image: Might of Might of Sample Image: Might of the might organic soils											
	Length of Sampler	Interval w	ith a CS S	KEY TO SAM		VALS k Sample Recovered for I	nterval Show	n (i.e. cuttings)			
	Length of Sampler					gth of Coring Run with Co					
	Length of Sampler					Sample Recovered for Inf					
SHL	Length of Sampler	Interval v	vith a SHL	Sampler							
	CESG		UNI	FIED SOIL CL AND KEY		ATION SYSTEM NG LOG					

Rock Hardness Descriptions

Very Hard	Cannot be scratched with knife or sharp pick. Breaking of hand specimen requires several hard blows of geologist's pick.
Hard	Can be scratched with knife or pick only with difficulty. Hard blow of hammer required to detach hand specimen.
Moderately Hard	Can be scratched with knife or pick. Gouges or grooves to 1/4-inch deep can be excavated by hard blow of geologist's pick. Hand specimens can be detached by moderate blow.
Medium	Can be grooved or gouged 1/16-inch deep by firm pressure of knife or pick point. Can be excavated in small chips to pieces about 1-inch maximum size by hard blows of the point of a geologist's pick.
Soft	Can be gouged or grooved readily with knife or pick point. Can be excavated in chips to pieces several inches in size by moderate blows of a pick point. Small tin pieces can be broken by finger pressure.
Very Soft	Can be carved with knife. Can be excavated readily with point of pick. Pieces 1-inch or more in thickness can be broken with finger pressure. Can be scratched readily by fingernail.

Bedding Thickness & Joint/Fracture Spacing Descriptions

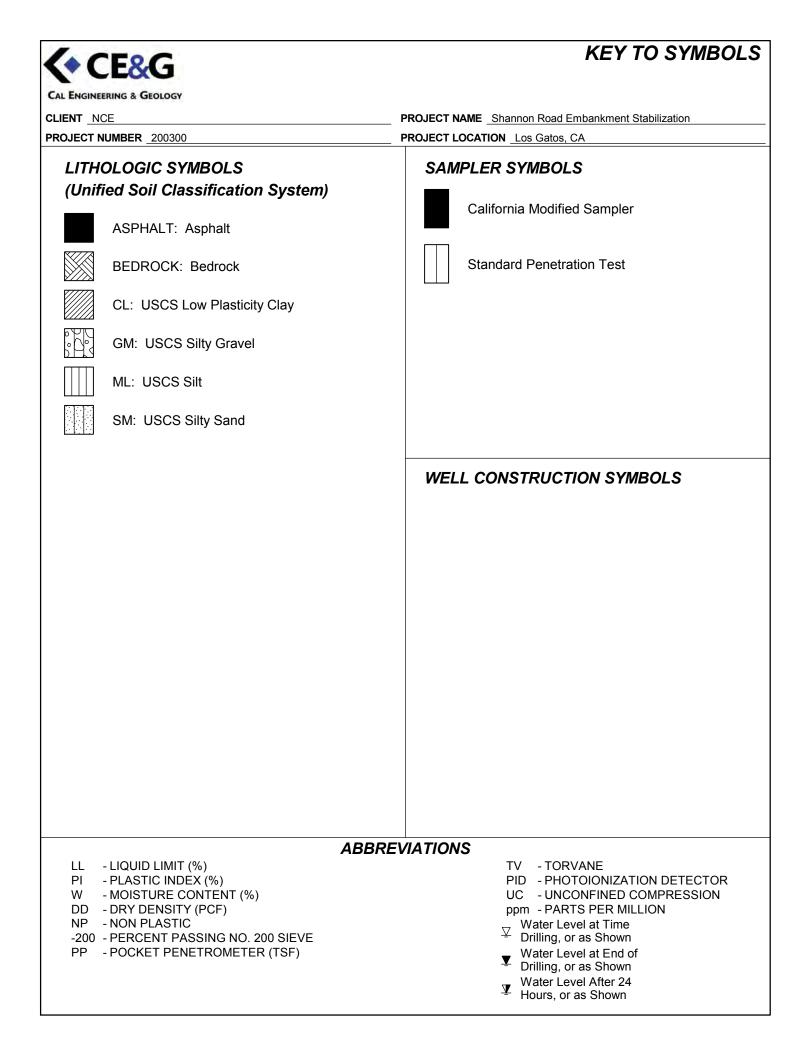
Centimeters	Inches	Bedding	Joints/Fractures
< 2	< 3⁄4	Laminated	Extremely Close
2-5	³ ⁄4-2	Very Thin	Very Close
5-30	2-12	Thin	Close
30-90	12-36	Medium	Moderate
90-300	36-120	Thick	Wide
> 300	> 120	Very Thick	Very Wide

Rock Weathering Descriptions

Fresh	Rock fresh, crystals bright, few joints may show slight staining. Rock rings under hammer if crystalline.
Very Slight	Rock generally fresh, joints may show thin clay coatings, crystals in broken face show bright. Rock rings under hammer if crystalline.
Slight	Rock generally fresh, joints stained, and discoloration extends into rock up to 1 inch. Joints may contain clay. In granitoid rocks some occasional feldspar crystals are dulled and discolored. Crystalline rocks ring under hammer.
Moderate	Significant portions of rock show discoloration and weathering effects. In granitoid rocks, most feldspars are dull and discolored; some show clayey. Rock has dull sound under hammer and shows significant loss of strength as compared with fresh rock.
Moderately Severe	All rock except quartz discolored or stained. In granitoid rocks, all feldspars dull and discolored and majority show kaolinization. Rock shows severe loss of strength and can be excavated with geologist's pick. Rock goes "clunk" when struck.
Severe	All rock except quartz discolored or stained. Rock "fabric" clear and evident, but reduced in strength to strong soil. In granitoid rocks, all feldspars kaolinized to some extent. Some fragments of strong rock usually left.
Very Severe	All rock except quartz discolored or stained. Rock "fabric" discernible. But mass effectively reduced to "soil" with only fragments of strong rock remaining.
Complete	Rock reduced to "soil." Rock "fabric" not discernible or discernible only in small scattered locations. Quartz may be present as dikes or stringers.

The above Bedrock Characteristics are based on the ASCE Manual No. 56, "Subsrface Investigation For Design And Construction Of Foundations Of Buildings," 1976.





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			COORDINATES									
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LOG	GED BY	K. Loeb CHECKED BY P. Sorci	GROUNDWA	ATER AT	END OF D	RILLIN	NG	N/A				
HAM	MER TY	PE 140 lb hammer with 30 in. cathead	GROUNDWA	ATER AF		ING _	N/A	4				
				ш	Ê	:				ERBE		F
DEPTH (ft)	GRAPHIC LOG	MATERIAL DESCRIPTION		SAMPLE TYPI	BLOW COUNTS (FIELD VALUE	POCKET PEN. (tsf)	DRY UNIT WT. (pcf)	MOISTURE CONTENT (%)	LIQUID LIMIT (%)	PLASTIC FLIMIT (%)	>	FINES CONTENT
0		Asphalt Pavement (approximately 1.5')										
						-						
		Silty SAND w/ Gravel (SM): olive, dry, medium dense, angle to 1", fine to coarse sand [Embankment Fill]		СМ	8-10-12	>4.5						
		Sandy Lean CLAY (CL): very dark brown, dry, hard, mediun strength, low plasticity, fine to coarse sand, trace angular g [Colluvium/Fill]	m dry Iravel	SPT	6-7-8	>4.5						
5												
		Sandy SILT (ML): light gray, dry, medium dense		СМ	6-14-16		82	28				
		Sandy Lean CLAY w/ Gravel (CL): dark yellowish brown, ha	ard, fine to	SPT	5-10-20							
10		Siltstone: brownish yellow to light gray, very weak to extren moderately weathered, some sandy siltstone interbeds [Bedrock]	nely weak,			_						
		becomes highly weathered to lean clay]						
		becomes moderately weathered, increase in sand	-	SPT	9-19-31							
		increased drilling difficulty										
		becomes moderately to slightly weathered, moderately stro	ong	SPT	11-21-40							
			-									
 20		becomes moderately weathered, weak, beds dip approxima degrees	ately 50	SPT	15-30-50							

<	C	E&G				E	BOR	RING	S NI	JME	BER PAGE	₹ B- ≣ 1 0	
CAL EN	GINEE	RING & GEOLOGY											
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DRILLI	NG C	ONTRACTOR Cenozoic Exploration, LLC.	COORDINATES	S:	LATI	TUDE <u>37</u>	.2224	84	LONG	ITUDE	E	21.925	567
DRILLI	NG R	IG/METHOD Simco 2400/ 6-in. Solid Flight Auger	GROUNDW	ATE	R AT	TIME OF D	RILLI	NG	Not	Encou	nterec	1	
		K. Loeb CHECKED BY P. Sorci		ATE	R AT	END OF D	RILLIN	IG	- N/A				
HAMME	ER TY	PE 140 lb hammer with 30 in. cathead	GROUNDW	ATE	er af	TER DRILL	ING _	N/A	۱				
o DEPTH (ft)	LOG	MATERIAL DESCRIPTION			SAMPLE TYPE	BLOW COUNTS (FIELD VALUE)	POCKET PEN. (tsf)	DRY UNIT WT. (pcf)	MOISTURE CONTENT (%)	LIQUID LIMIT (%)	PLASTIC FLASTIC BLASTIC BLASTIC BLASTIC BLASTIC PLASTIC PLASTIC PLANT (%)		FINES CONTENT (%)
		Asphalt Pavement (approximately 1.7')											
 		Silty GRAVEL w/ Sand (GM): olive, dry, medium dense, f sand, subangular to angular gravel up to 1.5" [Embankment Fill]	ine to coarse		СМ	18-14-10							
		Sandy Lean CLAY w/ Gravel (CL): very dark brown matrix plasticity, angular gravel [Colluvium/Fill]	k, dry, low		SPT	4-5-6							
					СМ	6-21-24	_						
		Siltstone: pale yellow to dark gray, dry, moderately weath weak, thinnly bedded and dips approximately 40 degrees [Bedrock] trace roots	erea, very				-						
		becomes olive yellow			SPT	16-18-26							
10													
		becomes very weak, some highly weathered interbeds, o	xidized		SPT	12-19-26							
	<u>>>\\</u>	Bottom of borehole at 12.5 ft. Borehole backfilled with	neat cement						1				<u> </u>
		grout.											

	E&G			E	BOF	RING	g Ni	JME		R B- ∃ 1 C	
LIENT NCE	E E JMBER _200300	PROJECT NAM				nkmer	nt Stab	ilizatio	n		
	ED 6/29/2020 COMPLETED 6/29/2020 ONTRACTOR Cenozoic Exploration, LLC.										
OGGED BY	G/METHOD _ Simco 2400/ 6-in. Solid Flight Auger _K. Loeb CHECKED BY _P. Sorci PE _140 lb hammer with 30 in. cathead	GROUNDWA GROUNDWA GROUNDWA	TER A	r end of d	RILLI	NG	- N/A		intered	Ł	
(ft) (ft) LOG	MATERIAL DESCRIPTION		SAMPLE TYPE	BLOW COUNTS (FIELD VALUE)	POCKET PEN. (tsf)			LIMIT (%)	PLASTIC IMIT (%)	S <mark>></mark>	FINES CONTENT
)	Asphalt Pavement (approximately 1.5')										
	Silty SAND w/ Gravel (SM): olive, dry, dense, fine to coar angular to subangular gravel up to 1" [Embankment Fill]	rse sand,	СМ	15-18-18							
	Sandy SILT (ML): very dark brown, dry, medium dense, t sand	fine to medium	SPT	8-7-5							
	becomes dark gray			0.45.00							
	Sandy SILT (SM): light olive brown, dry, medium dense, trace fine gravel [Colluvium]	fine to coarse,	CM		-	75	29				8
		-			-						
	Siltstone: yellowish brown, moderately to slightly weather weak to moderately strong, beds dip approximately 50 de	red, varies from egrees	СМ	4-18-40							
	[Bedrock]	-	SPT	16-21-33							
5		F									
	becomes very weak, moderately weathered becomes weak Bottom of borehole at 17.5 ft. Borehole backfilled with	n neat cement	SPT	17-19-46							
	grout.										

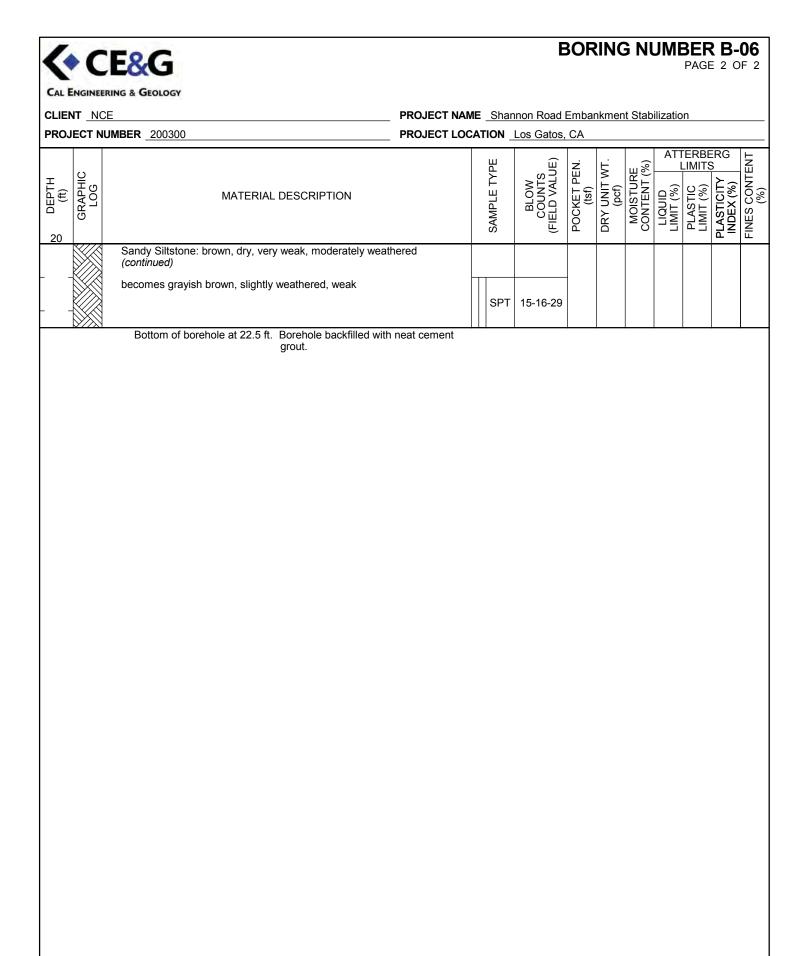
(• C	E&G			E	BOR	INC	g Ni	JME		R B-	-
									FAGE	_ 10	<i>'</i> 1 ∠
	RING & GEOLOGY			-							
		PROJECT NAME				hkmer	nt Stab	ilizatio	n		
	JMBER 200300 FED 6/29/2020 COMPLETED 6/29/2020		_								C" in
		GROUND ELEV/ COORDINATES:									
	DNTRACTOR Cenozoic Exploration, LLC. G/METHOD Simco 2400/ 6-in. Solid Flight Auger										002
	K. Loeb CHECKED BY _P. Sorci								meree	•	
	PE 140 lb hammer with 30 in. cathead	GROUNDWA									
					-			ATT	ERBE	RG	F
o DEPTH (ft) GRAPHIC LOG	MATERIAL DESCRIPTION		SAMPLE TYPE	BLOW COUNTS (FIELD VALUE)	POCKET PEN. (tsf)	DRY UNIT WT. (pcf)	MOISTURE CONTENT (%)	LIQUID LIMIT (%)	PLASTIC NIMIT (%)	PLASTICITY INDEX (%)	FINES CONTENT
0	Asphalt Pavement (approximately 1.3')										
	Silty SAND w/ Gravel (SM): olive, dry, medium dense, fin sand, angular to subangular gravel up to 1.25", some foa [Embankment Fill]	e to coarse m in voids	СМ	13-14-10							
	Sandy Lean CLAY (CL): very dark brown, dry, hard, fine		SPT	6-6-7							
5	sand, trace angular/fine gravel										
	Sandy SILT w/ Gravel (ML): dark grayish brown, dry, mee fine to coarse sand, angular to subrounded gravel up to 0	dium dense,).5"			>4.5						
	Gravelly Lean CLAY (CL): very dark brown, moist, hard, a up to 2" [Colluvium]	angular gravel	CM	8-11-13	>4.5	88	13				
	Sandy Lean CLAY (CL): dark olive brown, moist, low plas fine to medium sand	sticity, very stiff,	SPT	5-5-6	3.0						
10											
	contains various completely weathered rock fragments		СМ	9-13-20							
	Sandy Siltstone: dark yellowish brown to white, highly we to sandy silt, extremely weak [Bedrock]	athered, friable	SPT	5-6-9	_						
15		-									
	aama mudatana interhada				_						
	some mudstone interbeds		СМ	16-26-25		66	44				
	Silty sandstone bed		SPT	10-12-14							
20	(Continued Next Page)										

	C	E&G			E	BOR	INC	9 NI	JM		R B- 2 0	
CAL E	NGINEE	RING & GEOLOGY E P					nkmen	t Stab	ilizatio	n		
PROJ		JMBER _200300 P	ROJECT LOC	ATION _	Los Gatos,	CA			A T 7			
DEPTH (ft)	GRAPHIC LOG	MATERIAL DESCRIPTION		SAMPLE TYPE	BLOW COUNTS (FIELD VALUE)	POCKET PEN. (tsf)	DRY UNIT WT. (pcf)	MOISTURE CONTENT (%)	LIQUID LIMIT (%)	PLASTIC PLASTIC LIMIT (%)	СІТҮ (%)	FINES CONTENT (%)
20		Sandy Siltstone: dark yellowish brown to white, highly weath	ered, friable									ш.
 <u>25</u>		to sandy silt, extremely weak [Bedrock] <i>(continued)</i> becomes olive to yellowish brown, weak to extremely weak, moderately weathered	highly to	SPT	8-13-15							
		Bottom of borehole at 29.5 ft. Borehole backfilled with ne grout.	at cement	SPT	50	-						

				E	BOR	RINC	g Ni	JME		R B- ∃ 1 C	
		PROJECT NAM	//E Shar	inon Road	Embai	nkmer	nt Stab	ilizatio	n		
	JMBER 200300	PROJECT LOC									
DATE START	ED <u>6/30/2020</u> COMPLETED <u>6/30/2020</u>	GROUND ELE	ATION	<u>632 ft</u>	ATUN	NA'	VD88	н	IOLE S	SIZE _	<u>6" in.</u>
	DNTRACTOR Cenozoic Exploration, LLC.	COORDINATES									643
	G/METHOD Simco 2400/ 6-in. Solid Flight Auger	GROUNDW						Encou	ntered	1	
	K. Loeb CHECKED BY P. Sorci	GROUNDW									
HAMMER TY	PE _140 lb hammer with 30 in. cathead	GROUNDW			ING _	N/ <i>F</i>	۹ ۱	AT1		-00	
DEPTH (ft) GRAPHIC LOG	MATERIAL DESCRIPTION		SAMPLE TYPE	BLOW COUNTS (FIELD VALUE)	POCKET PEN. (tsf)	DRY UNIT WT. (pcf)	MOISTURE CONTENT (%)	LIQUID LIMIT (%)	PLASTIC PLASTIC NIMIT (%)	S <mark>></mark>	FINES CONTENT (%)
0	Asphalt Pavement (approximately 2')										
 <u>-</u>	Silty GRAVEL w/ Sand (GM): olive, moist, medium dense	to donso		40.45.00							
	angular gravel up to 1.5", fine to coarse sand [Embankment Fill]		CM SPT	10-15-20			3				11
_	Sandy Lean CLAY w/ Gravel (CL): very dark brown, moist coarse sand, gravel fragments are friable	, hard, fine to			>4.5						
5	Lean CLAY (CL): very dark brown to black, moist, low plas stiff, little fine sand, trace roots [Colluvium]	sticity, very			_						
			СМ	7-11-16	3.5	90	27	74	40	34	
			SPT	5-6-10	4.25						
10											
	Lean CLAY w/ Sand (CL): dark olive brown, dry, hard, fine	sand, low	СМ	15-24-36	>4.5 >4.5						
	plasticity, trace angular gravel up to 1.5"		SPT	9-15-19	>4.5						
15											
	Sandy Siltstone: light olive brown to dark yellowish brown, layerying, extremely weak, highly weathered, friable to sar	moist, some			_						
	oxidation [Bedrock]		SPT	9-14-16	-						
20											

<	C	E&G			E	SOR	RING	3 NI			R B- 2 0	
		UNG & GEOLOGY		Char		- un h au	-	4 Ctab	:I:	_		
			ROJECT NAM				INITE	il Slau	IIIZalic	11		
05 DEPTH (ft) 50	GRAPHIC LOG	MATERIAL DESCRIPTION		SAMPLE TYPE	BLOW COUNTS (FIELD VALUE)	POCKET PEN. (tsf)	DRY UNIT WT. (pcf)	MOISTURE CONTENT (%)		PLASTIC LIMIT (%)		FINES CONTENT (%)
		Sandy Siltstone: light olive brown to dark yellowish brown, m layerying, extremely weak, highly weathered, friable to sandy oxidation [Bedrock] <i>(continued)</i>	ioist, some y silt, some									
		Increase in oxidation	-	SPT	8-12-14							
		becomes highly to moderately weathered, interbeds of extre to weak zones		SPT	27-44-46							
		Bottom of borehole at 29.5 ft. Borehole backfilled with ne grout.										

	E&G				E	BOF	RINC	g Ni	JME	BEF PAGE		
	ING & GEOLOGY 	PROJECT NAM					nkmer	nt Stab	ilizatio	n		
DATE START DRILLING CO DRILLING RIC LOGGED BY	ED _6/30/2020 COMPLETED _6/30/2020 INTRACTOR _Cenozoic Exploration, LLC. G/METHOD _Simco 2400/ 6-in. Solid Flight Auger K. Loeb CHECKED BY _P. Sorci PE _140 lb hammer with 30 in. cathead	GROUND ELEN COORDINATES GROUNDW/	/ATIC 3: L ATER ATER	ATI ATI AT	<u>638 ft</u> D TUDE <u>37</u> TIME OF D END OF D	0ATUN 7.2229 DRILLI RILLII	<u>51</u> NG NG	LONG Not - N/A	Encou	<u>-1</u>	21.926	
o DEPTH (ft) GRAPHIC LOG	MATERIAL DESCRIPTION		SAMPLE TYPE		BLOW COUNTS (FIELD VALUE)	POCKET PEN. (tsf)	- WT.		LIQUID LIMIT (%)	PLASTIC PLASTIC PLASTIC PLASTIC	3	FINES CONTENT
	Asphalt Pavement (approximately 2.25')											
	Silty SAND w/ Gravel (SM): olive, dry, dense, angular to s gravel up to 1.5", fine to coarse sand, some foam [Embankment Fill]	subangular	C	СМ	21-33-25	-						
5	Sandy SILT (ML): very dark brown, dry, medium dense, fi coarse sand	ne sand, trace	s	PT	7-7-9	-						
	Lean CLAY w/ Sand (CL): very dark brown, moist, hard, lo fine sand, trace coarse sand [Colluvium]	ow plasticity,	C	СМ	8-16-20	-						
10	Gravely Lean CLAY w/ Sand (CL): very dark grayish brow angular gravel up to 1.5"	n, dry, hard,	S	PT	5-7-10							
	Diotomaceous Siltstone: yellow, dry, slightly weathered, w [Bedrock]	veak rock	s	PT	12-20-33	-						
15												
	Sandy Siltstone: brown, dry, very weak, moderately weath	nered	s	PT	27-35-42	-						



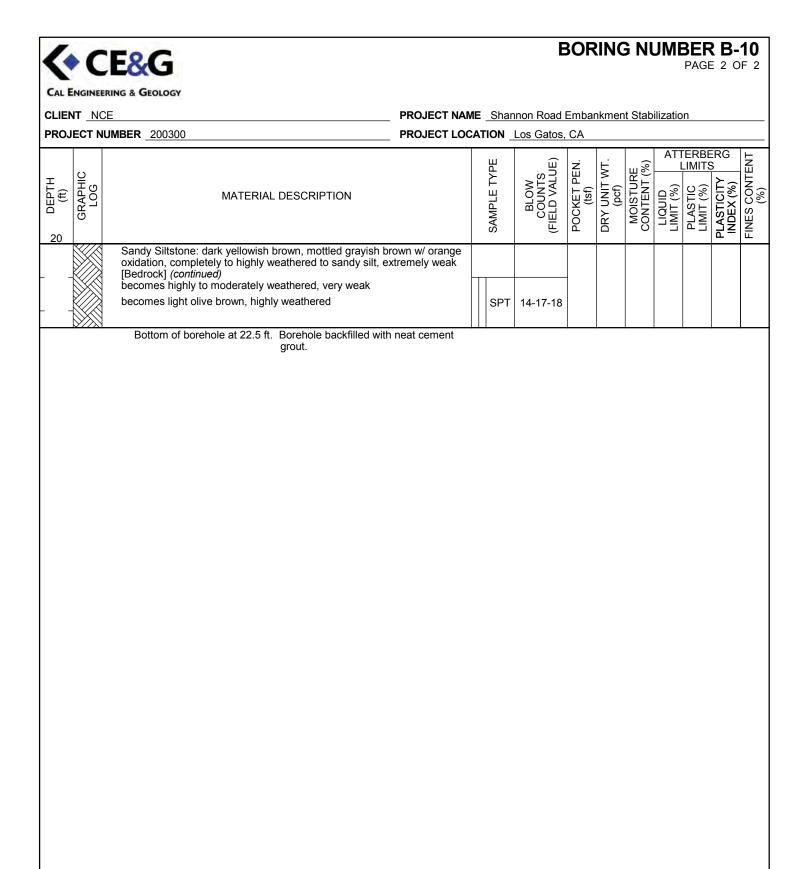
<• C	E&G			E	BOR	RINC	g Ni	JME	BER PAGE	
CAL ENGINEE	RING & GEOLOGY									
	E	PROJECT NAM	E Shar	non Road	Embai	nkmer	nt Stab	ilizatio	n	
PROJECT N	UMBER _200300	PROJECT LOC	ATION _	Los Gatos,	CA					
	TED _6/30/2020 COMPLETED _6/30/2020									
	ONTRACTOR Cenozoic Exploration, LLC.									693
	IG/METHOD Simco 2400/ 6-in. Solid Flight Auger								ntered	
	K. Loeb CHECKED BY P. Sorci									
HAMMERIN	/PE _140 lb hammer with 30 in. cathead	GROUNDWA				N/ <i>F</i>	\		ERBE	
o DEPTH (ft) GRAPHIC LOG	MATERIAL DESCRIPTION		SAMPLE TYPE	BLOW COUNTS (FIELD VALUE)	POCKET PEN. (tsf)	DRY UNIT WT. (pcf)	MOISTURE CONTENT (%)	LIQUID LIMIT (%)	PLASTIC NIMIT (%)	FINES CONTENT (%)
	Asphalt Pavement (approximately 2')									
	Silty GRAVEL w/ Sand (SM): olive, dry, medium dense, f sand, angular to subangular gravel up to 1.75" [Embankment Fill]	ine to coarse	СМ	20-17-10						
	Sandy SILT (ML): very dark brown, dry, loose, fine to me trace roots [Colluvium]	 dium sand,	SPT	5-4-4						
	Sandy SILT w/ Gravel (ML): dark brown, dry, medium der gravel up to 2", fine to coarse sand	nse, angular	СМ	10-12-7		69	29			
	Interbedded Diotomaceous Siltstone, Sandy Siltstone, ar yellow siltstone to dark yellowish brown mudstone, dry, w moderately to slightly weathered, oxidized [Bedrock]	nd Mudstone: ery weak,	SPT	11-8-11						
		-	SPT	8-11-11						
	Sandy Mudstone: dark yellowish brown, moist, extremely moderately weathered	 weak,	SPT	11-17-21						
	Bottom of borehole at 17.5 ft. Borehole backfilled with grout.	neat cement								

(• C	E&G			E	BOR	RING	G NI	JME		R B- E 1 0	
	RING & GEOLOGY										
CLIENT NO	E	PROJECT NAME	Shar	inon Road	Embar	nkmen	t Stab	ilizatio	n		
	UMBER _200300	PROJECT LOCA									
DATE STAR	COMPLETED 6/30/2020	GROUND ELEVA		<u>652 ft</u>	ATUM	NA\	/D88	н	OLE S	SIZE _	6" in.
DRILLING C	ONTRACTOR Cenozoic Exploration, LLC.	COORDINATES:	LATI	TUDE	7.2231	22	LONG	ITUDE	1	21.927	7357
DRILLING R	G/METHOD Simco 2400/ 6-in. Solid Flight Auger	GROUNDWA	TER AT	TIME OF D	RILLI	NG	Not	Encou	nterec		
LOGGED BY	K. Loeb CHECKED BY P. Sorci	GROUNDWAT	TER AT	END OF D	RILLIN	IG	- N/A				
HAMMER TY	'PE 140 lb hammer with 30 in. cathead	GROUNDWAT	TER AF		ING _	N/A	۱ <u> </u>				
DEPTH (ft) GRAPHIC LOG	MATERIAL DESCRIPTION		SAMPLE TYPE	BLOW COUNTS (FIELD VALUE)	POCKET PEN. (tsf)	DRY UNIT WT. (pcf)	MOISTURE CONTENT (%)	L	PLASTIC [%] ELASTIC [%] ELASTIC [%] PLASTIC [%]	3 >	FINES CONTENT (%)
0	Asphalt Pavement (approximately 1.8')										LL.
	Sandy SILT w/ Gravel (ML): olive, dry, medium dense, fir sand, angular to subangular gravel	ne to coarse									
	_ [Embankment Fill] Sandy Lean CLAY (CL): very dark brown, dry, hard, fine	to medium sand	СМ	9-10-12	-						
			SPT	5-7-9	_						
	Sandy Lean CLAY (CL): very dark brown, dry, hard, high fine to medium sand [Colluvium]	dry strength,	СМ	11-17-21	>4.5 >4.5						
	Sandy Lean CLAY w/ Gravel (CL): dark brown, dry, hard, strength, fine to medium sand, angular gravel	, high dry	SPT	6-8-12	>4.5						
			SPT	6-9-13	-						
	Sandy Siltstone: yellowish brown, extremely weak to wea moderately weathered, friable [Bedrock]	k, highly to		0-9-13	-						
	becomes dark gray to oxidized yellowish brown, very wea weathered	ak, moderately	SPT	8-18-22	-						
20	(Continued Next Page)										

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		RING & GEOLOGY											
	NT NC		PROJECT NAM					nkmen	t Stab	oilizatio	n		
PROJ	ECT N	UMBER 200300	PROJECT LOC			Los Gatos,	CA	1	1				
05 DEPTH (ft)	GRAPHIC LOG	MATERIAL DESCRIPTION			SAMPLE TYPE	BLOW COUNTS (FIELD VALUE)	POCKET PEN. (tsf)	DRY UNIT WT. (pcf)	MOISTURE CONTENT (%)	LIQUID LIMIT (%)	PLASTIC FIMIT (%)	PLASTICITY	FINES CONTENT
		Sandy Siltstone: yellowish brown, extremely weak to weak moderately weathered, friable [Bedrock] <i>(continued)</i>	<, highly to										
-				\square			-						
-					SPT	14-23-32							
	<u>K///A</u>	Bottom of borehole at 24.5 ft. Borehole backfilled with grout.	neat cement										

<• C	E&G			E	BOR	RINC	g Ni	UME		R B- ≣ 1 0	
the second second second	ERING & GEOLOGY										
	CE	PROJECT NAM	IE Shar	non Road	Embai	nkmer	t Stab	oilizatic	n		
PROJECT N	IUMBER _200300	PROJECT LOC	ATION _	Los Gatos,	CA						
DATE STAR	COMPLETED 6/30/2020	GROUND ELE	ATION	<u>667 ft</u>	ATUN	NA\	/D88	H	IOLE S	SIZE _	<u>6" in.</u>
	CONTRACTOR Cenozoic Exploration, LLC.		S: LATI	TUDE _ 37	7.2233	8	LONG	SITUDE	E	21.927	778
	RIG/METHOD Simco 2400/ 6-in. Solid Flight Auger										
	Y K. Loeb CHECKED BY P. Sorci										
HAMMER T	YPE _140 lb hammer with 30 in. cathead	GROUNDW	ATER AF		ING _	N/A	\				
표 위망			ТҮРЕ	W VTS ALUE)	FEN.	IT WT.	URE \T (%)			3 _	NTENT
DEPTH (ft) GRAPHIC LOG	MATERIAL DESCRIPTION		SAMPLE TYPE	BLOW COUNTS (FIELD VALUE)	POCKET PEN. (tsf)	DRY UNIT WT. (pcf)	MOISTURE CONTENT (%)	LIQUID LIMIT (%)	PLASTIC LIMIT (%)	PLASTICIT INDEX (%)	FINES CONTENT (%)
0	Asphalt Pavement (approximately 1.5')				-					<u> </u>	Ē
	Sandy SILT w/ Gravel (ML): olive, dry, medium dense, fir sand, angular to subangular gravel [Embankment Fill]	ne to coarse									
	Sandy SILT (ML): very dark brown, dry, loose, fine to coa fine gravel	rse sand, trace	СМ	5-5-6	-	66	27				
_5	[Colluvium]		SPT	3-3-5							
					-						
	Sandy Siltstone and Mudstone: dark yellowish brown, mo				-						
10	moderately weathered, extremely weak, friable to sandy s [Bedrock]	silt	СМ	10-21-39	-						
15	becomes oxidized, rock structure still visible		SPT	11-15-18	-						
	Bottom of borehole at 15.5 ft. Borehole backfilled with grout.	neat cement									
	g, cut										

Service and the service of the servi	CE&G			E	BOR	RINC	3 NI			R B- ≣ 1 0	
	EERING & GEOLOGY ICE NUMBER _200300	PROJECT NAM				nkmer	it Stab	ilizatio	n		
DRILLING DRILLING LOGGED	RTED _7/1/2020 COMPLETED _7/1/2020 CONTRACTOR _Cenozoic Exploration, LLC. RIG/METHOD _Simco 2400/ 6-in. Solid Flight Auger BY _K. Loeb CHECKED BY _P. Sorci FYPE _140 lb hammer with 30 in. cathead	COORDINATES GROUNDW/	S: LATI ^T ATER AT ATER AT	TUDE <u>37</u> TIME OF I END OF D	7.2234 DRILLI RILLIN	2 NG NG	LONG Not - N/A	Encou	E <u>-1</u> 2	21.928	
o DEPTH (ft) GRAPHIC			SAMPLE TYPE	BLOW COUNTS (FIELD VALUE)	POCKET PEN. (tsf)			LIQUID LIMIT (%)	PLASTIC BE LIMIT (%)		FINES CONTENT (%)
	Asphalt Pavement (approximately 1.5')										
	Silty SAND w/ Gravel (SM): very dark brown, moist, medi becomes olive, increase in gravel	 um dense	СМ	7-8-8	-						
	Lean CLAY w/ Sand (CL): very dark brown, dry, hard, low sand [Colluvium]	/ plasticity, fine	SPT	5-5-7	_						
	contains slope stabilization foam, slight increase in plasti in sand	city, decrease	СМ	8-12-15	_						
	SILT w/ Sand (ML): dark yellowish brown, moist, low plas dense, very fine sand [Colluvium/Residual Soil]	ticity, medium	SPT	4-8-10	3.0						
	Sandy Siltstone: dark yellowish brown, mottled grayish br oxidation, completely to highly weathered to sandy silt, ex [Bedrock]	own w/ orange ktremely weak									
			SPT	5-7-10	_						
	becomes highly weathered		SPT	9-12-25	_						
	becomes olive yellow, increase in sand, oxidized, extrem- weak	ely weak to			-						
20 📈	(Continued Next Page)										L



				E	BOR	RINC	g Ni	JME		₹ B- ≣ 1 0	
LIENT NCE		PROJECT NAM				nkmer	<u>nt Stab</u>	ilizatio	n		
	COMPLETED 7/1/2020		_				VD88	н		SIZE	<u>6" in</u>
	zoic Exploration, LLC.										
RILLING RIG/METHOD Simco	2400/ 6-in. Solid Flight Auger	GROUNDWA	ATER AT		RILLI	NG	Not	Encou	ntered	1	
OGGED BY K. Loeb	CHECKED BY P. Sorci	GROUNDWA	ATER AT	END OF D	RILLIN	IG	- N/A				
AMMER TYPE 140 lb hammer	with 30 in. cathead	GROUNDWA	ATER AF	TER DRILL	ING _	N/A	4				
GRAPHIC LOG	MATERIAL DESCRIPTION		SAMPLE TYPE	BLOW COUNTS (FIELD VALUE)	POCKET PEN. (tsf)	DRY UNIT WT. (pcf)	MOISTURE CONTENT (%)	LIMIT (%)	PLASTIC NUMIT (%)	3 >	FINES CONTENT
0 Asphalt Pavemen	nt (approximately 2')		05							로드	E
Silty SAND w/ Gr angular to suban [Embankment Fil	ravel (SM): olive, moist, loose to mediu gular gravel up to 2", fine to coarse sa I]	um dense, nd	СМ	5-6-7	-						
	L): very dark brown, moist, hard/loose dium sand, trace roots	to medium	SPT	4-5-6	>4.5						
plasticity, fine to	and (CL): very dark brown, moist, very medium sand ırk grayish brown, some carbonate vei		CM SPT	5-11-18 5-8-11	3.0 2.75 3.75	89	29				
15 trace angular gra	vel up to 0.5"		CM SPT	11-11-25 6-9-11	>4.5 4.25 4.25	83	30				
Sandy Siltstone a moist, highly wea [Bedrock]	and Mudstone: dark yellowish brown m thered, extremely weak to weak, oxidi	nottled light gray, zed	SPT	11-18-22							

(Continued Next Page)

	C	E&G			E	BOR	INC	9 NI	UME		R B- 2 0	
CAL E	Enginee	ring & Geology EP	ROJECT NAM				nkmen	it Stab	oilizatic	n		
PROJ		JMBER 200300 PI	ROJECT LOC	ation _	Los Gatos,	CA		1				
05 DEPTH (ft) (ft)	GRAPHIC LOG	MATERIAL DESCRIPTION		SAMPLE TYPE	BLOW COUNTS (FIELD VALUE)	POCKET PEN. (tsf)	DRY UNIT WT. (pcf)	MOISTURE CONTENT (%)	LIQUID LIMIT (%)	PLASTIC PLASTIC NIMIT (%)	PLASTICITY 0	FINES CONTENT (%)
		Sandy Siltstone and Mudstone: dark yellowish brown mottled moist, highly weathered, extremely weak to weak, oxidized [Bedrock] <i>(continued)</i>	l light gray,									
 _ <u>25</u>		becomes brownish yellow, extremely weak, highly oxidized	-	SPT	7-13-12	-						
		becomes very dark grayish brown, near vertical bedding, mo slightly weathered, weak	derately to	CM SPT	20-25-46 16-26- 50/4"	-						
		Bottom of borehole at 31.0 ft. Borehole backfilled with nea grout.	at cement			-						

	E&G			E	BOR	RINC	g Ni	JME		₹ B- ≣ 1 C	
CLIENT NC PROJECT NI DATE START DRILLING CO DRILLING RI LOGGED BY	UMBER 200300 TED 7/1/2020 COMPLETED 7/1/2020	GROUNDWA	ATION ATION : LA TER A TER A	Los Gatos, 680 ft C TITUDE 37 T TIME OF D	CA DATUN 7.2237 DRILLI RILLIN	1 <u>NA'</u> <u>3</u> NG NG	VD88 LONG Not N/A	H SITUDE Encou	IOLE \$ E1	21.928	
DEPTH (ft) (ft) (ft) LOG	MATERIAL DESCRIPTION	GROUNDWA	SAMPLE TYPE	BLOW BLOW COUNTS IELD VALUE)		- WT.		<u> </u>		5	FINES CONTENT (%)
O GRA GRA	Asphalt Pavement (approximately 1.3')		SAMPL	(FIELD COL	POCKET (tsf)	DRY U (p	MOIS	LIQUID LIMIT (%)	PLASTIC LIMIT (%)	PLASTICITY INDEX (%)	FINES C
	Silty SAND w/ Gravel (SM): olive, dry, medium dense, fine sand, angular to subangular gravel up to 1.5" [Embankment Fill]	to coarse	CN	1 14-18-16	-		4				
	Sandy SILT (ML): dark yellowish brown, dry, medium dense trace roots	e, fine sand,	SP	T 7-6-7	-						
	Lean CLAY w/ Sand (CL): very dark brown, dry, hard, high fine sand [Colluvium] trace angular gravel	dry strength,	CN	1 8-17-25	>4.5 >4.5		20	47	28	19	
		-	SP	T 8-14-17	-						
	becomes dark yellowish brown, moist, fine to medium sand	some	CN	1 17-28-38	-						
	carbonate veins		SP	T 8-14-18	-						
	Interbedded Siltstone and Mudstone: yellowish brown and brown, dry, extremely weak, completely to highly weathered	dark yellowish	SP	T 7-10-12	-						
	[Bedrock]				_						
20							1				1

<	C	E&G			E	BOR	RING	3 NI	JM	BEF PAGE	R B- 2 0	12 F 2
		RING & GEOLOGY										
CLIER	NT <u>NC</u>	CE PROJEC		E Shar	non Road	Embar	nkmen	t Stab	ilizatio	n		
PROJ	ECT N	UMBER 200300 PROJEC			Los Gatos,	CA						
DEPTH (ft)	GRAPHIC LOG	MATERIAL DESCRIPTION		SAMPLE TYPE	BLOW COUNTS (FIELD VALUE)	POCKET PEN. (tsf)	DRY UNIT WT. (pcf)	MOISTURE CONTENT (%)	LIQUID LIMIT (%)	PLASTIC PLASTIC (%) LIMIT (%)	PLASTICITY	FINES CONTENT (%)
		Interbedded Siltstone and Mudstone: yellowish brown and dark yello brown, dry, extremely weak, completely to highly weathered [Bedrock] (continued)	owish									
		some oxidation		SPT	11-14-18							
25		Sandy Siltstone: dark yellowish brown and olive gray, weak, modera to slightly weathered, thinnly bedded	ately									
			-		10.00.42							
				SPT	16-29-43							
		grout.										

(• C	E&G			E	BOR	RING	3 NI	JME		R B- ≣ 1 C	
CLIENT NCE						nkmen	it Stab	ilizatio	'n		
DATE STARTI DRILLING CO DRILLING RIG LOGGED BY	MBER 200300 ED 7/1/2020 COMPLETED 7/1/2020 NTRACTOR Cenozoic Exploration, LLC. METHOD Simco 2400/ 6-in. Solid Flight Auger K. Loeb CHECKED BY P. Sorci Image: Solid Flight Auger	COORDINATES GROUNDWA GROUNDWA	ATION	<u>676 ft</u> C TUDE <u>37</u> TIME OF C END OF D	0ATUN 7.2235 DRILLI RILLIN	<u>6</u> NG	LONG Not - N/A	Encou	E <u>-1</u> :	21.928	
DEPTH (ft) GRAPHIC LOG	E 140 lb hammer with 30 in. cathead	_ GROUNDWA	SAMPLE TYPE	BLOW COUNTS (FIELD VALUE)	POCKET PEN. (tsf)	DRY UNIT WT. (pcf)	MOISTURE CONTENT (%)	LIQUID LIMIT (%)	PLASTIC %) PLASTIC %)		FINES CONTENT
0	Asphalt Pavement (approximately 1.3')										
5	Silty SAND w/ Gravel (SM): very dark brown, moist, med [Embankment Fill] Lean CLAY w/ Sand (CL): very dark brown, dry, hard, hig some slope stabilization foam at 4'		CM SPT	8-12-18 5-8-11	-		21				80
	becomes very stiff		СМ	9-15-21	3.5 4.5						
5	Sandy Siltstone: light olive brown, moist, highly to compl extremely weak [Bedrock]	etely weathered,	SPT	7-9-11	-						
20	becomes highly weathered Bottom of borehole at 20.0 ft. Borehole backfilled with		SPT	9-12-15	-						

Appendix B. Laboratory Testing



SUMMARY OF LABORATORY RESULTS

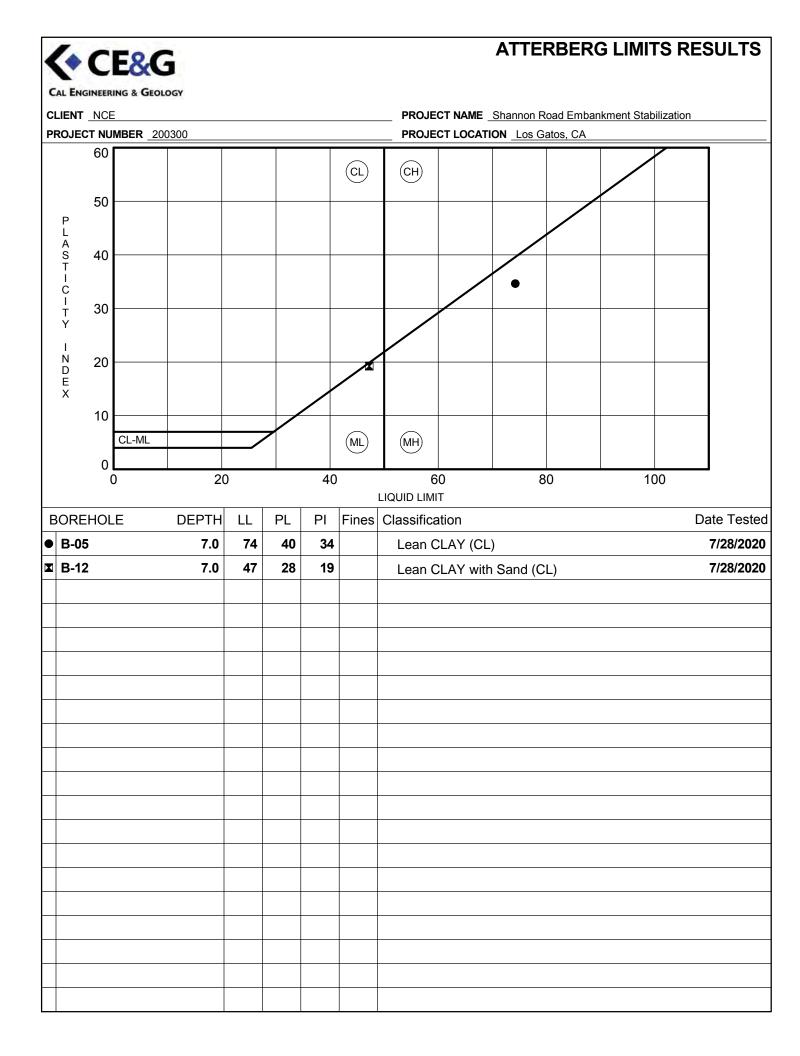
PAGE 1 OF 1

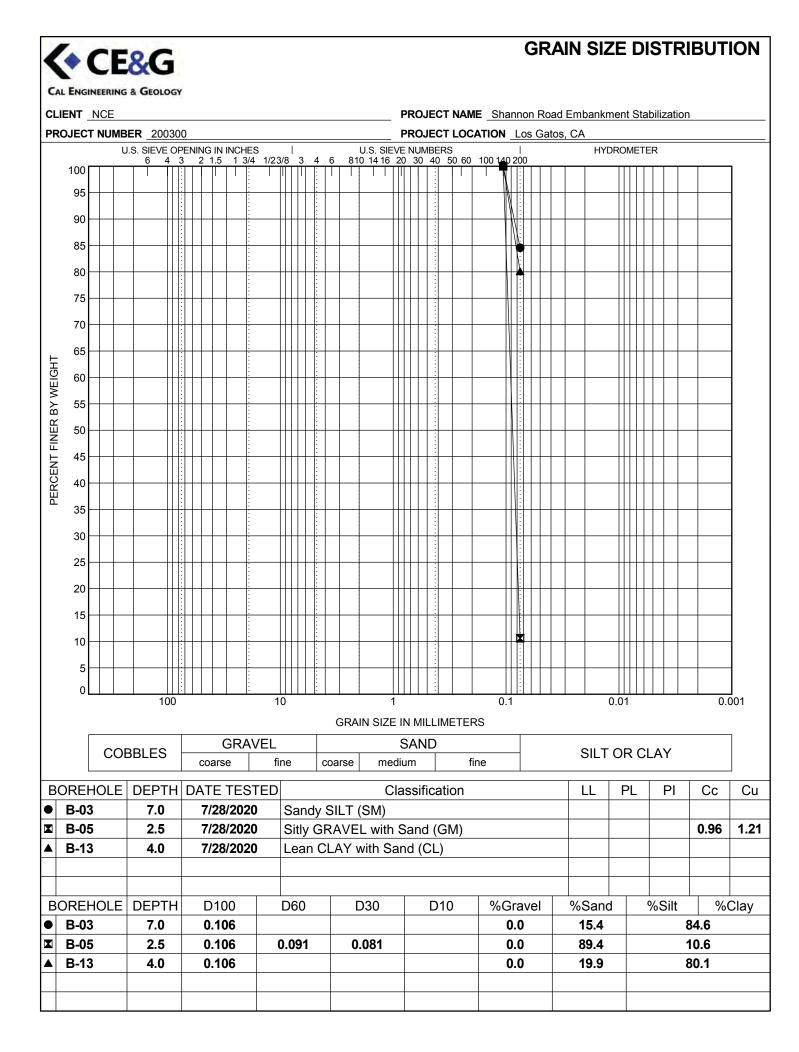
CAL ENGINEERING & GEOLOGY

CLIENT NCE

PROJECT NAME Shannon Road Embankment Stabilization

PROJECT NUMBER _200300 PROJECT LOCATION _Lo												
Borehole	Depth	Date Tested	Liquid Limit	Plastic Limit	Plasticity Index	Maximum Screen Size (mm)	%<#200 Sieve	Class- ification	Water Content (%)	Dry Density (pcf)	Satur- ation (%)	Void Ratio
B-01	7.0	7/28/2020						ML	27.7	81.9		
B-03	7.0	7/28/2020				0.106	85	SM	28.6	74.6		
B-04	7.0	7/28/2020						CL	13.3	88.4		
B-04	18.0	7/28/2020						BR	43.6	65.8		
B-05	2.5	7/28/2020				0.106	11	GM	2.9			
B-05	7.0	7/28/2020	74	40	34			CL	27.0	89.8		
B-07	7.0	7/28/2020						ML	28.6	69.5		
B-09	4.0	7/28/2020						ML	26.7	66.4		
B-11	9.0	7/28/2020						CL	28.9	89.3		
B-11	13.5	7/28/2020						CL	29.7	82.8		
B-12	2.5	7/28/2020						SM	3.9			
B-12	7.0	7/28/2020	47	28	19			CL	20.3			
B-13	4.0	7/28/2020				0.106	80	CL	20.8			





Appendix C. Conceptual Cost Estimate

Assumptions	Item	Caltrans Code	U	nit Cost	Unit	Quantity		Total	Notes
				MSE Wal					
525 LF	Maintain Traffic / Traffic Control		\$	2,000	Day	40	\$	80,000	
8' High	Excavation	192001	\$	60	CY	3200	\$	192,000	8'x20'x525'
	Block Wall	475010	\$	90	SQFT	4200	\$	378,000	8'x525'
	Reinforcement - geogrid	198215	\$	10	SQYD	3200	\$	32,000	20'x525'x8 strips
	Backfill	193013	\$	130	CY	3200	\$	416,000	Same as excavation
	Permeable Material	682001	\$	200	CY	250	\$	50,000	8'x525'x1.5'
	Pavement Section		\$	100	SY	1170	\$	117,000	(20'x525') / 9 sf per sy
		Soldier	Bea	am and L	agging	Wall			
775 LF	Maintain Traffic / Traffic Control		\$	2,000	Day	30	\$	60,000	
15' High	CIDH (24" dia / 20 feet deep)	490603	\$	320	LF	1950	\$	624,000	25'x78
10' spacing	Steel Beam	490316	\$	90	LF	2800	\$	252,000	35'x78
78 beams	Wood Lagging	575004	\$	30	SQFT	11700	\$	351,000	15'x775'
	Tie back Anchors	500050	\$	6,600	EA	78	\$	514,800	10' spacing
	Structure Concrete (Whaler)	510050	\$	1,470	CY	200	\$	294,000	2.5'x2.5'x775'
	Permeable Material	682001	\$	200	CY	450	\$	90,000	15'x775'x1'
	Pavement Section	390130	\$	100	SY	1750	\$	175,000	(20'x775') / 9 sf per sy
						Subtotal	\$	3,625,800	
	Mobilization			7%	of Sul	ototal	\$	253,806	
	Staging/Stockpiling				of Sul		\$	108,774	
	Water Pollution Control				of Sul		ې د	108,774	
	Contingency				of Sul		ې د	1,087,740	
	contingency					tion Estimate	\$		(Rounded)
							Ŷ	2,100,000	(
	Design			10%	of Co	nstruction	\$	518,000	
	Admin & Construction Management			10%	of Co	nstruction	\$	518,000	
				Altern	ative 1	Project Total	\$	6,216,000	

Alternative 1: Combined MSE and Soldier Beam and Lagging Walls

Soldier Beam and Lagging Wall - Tie Back Sections 775 LF Maintain Traffic / Traffic Control \$ 2,000 Day 30 \$ 60,000 15' High CIDH (24" dia / 20 feet deep) 490603 \$ 320 LF 1950 \$ 624,000 20'x78 10' spacing Steel Beam 490316 \$ 90 LF 2800 \$ 252,000 35'x78 78 beams Wood Lagging 575004 \$ 30 SQFT 11700 \$ 351,000 15'x775 Tie back Anchors 500050 \$ 6,600 EA 78 \$ 514,800 10' space Structure Concrete (Whaler) 510050 \$ 1,470 CY 200 \$ 294,000 2.5'x2.5 Permeable Material 682001 \$ 200 CY 450 \$ 90,000 15'x775		Item	Caltrans Code	Un	it Cost	Unit	Quantity	IOT	al	Notes			
10' High CIDH (24" dia / 20 feet deep) 490603 \$ 320 LF 1000 \$ 320,000 20'x48 10' spacing Steel Beam 490316 \$ 90 LF 1500 \$ 135,000 20'x48 48 beams Wood Lagging 575004 \$ 30 SQFT 5000 \$ 150,000 10'x475 Permeable Material 682001 \$ 200 CY 220 \$ 44,000 8'x475' Pavement Section 390130 \$ 100 SY 1050 \$ 105,000 (20'x47) Soldier Beam and Lagging Wall - Tie Back Sections Tre Maintain Traffic / Traffic Control \$ 2,000 Day 30 \$ 60,000 15' High CIDH (24" dia / 20 feet deep) 490603 \$ 320 LF 1950 \$ 624,000 20'x78 10' spacing Steel Beam 490316 \$ 90 LF 2800 \$ 252,000 35'x78 78 beams Wood Lagging 575004 \$ 30 SQFT 11700 \$ 351,000 15'x775 Tie back Anchors 500050 \$ 6,600 EA 78 \$ 514,800 10'spac <		Soldier Beam and Lagging Wall - No Tie Back											
10' spacing Steel Beam 490316 \$ 90 LF 1500 \$ 135,000 30'x48 48 beams Wood Lagging 575004 \$ 30 SQFT 5000 \$ 150,000 10'x475 Permeable Material 682001 \$ 200 CY 220 \$ 44,000 8'x475' Pavement Section 390130 \$ 100 SY 1050 \$ 105,000 (20'x47) Soldier Beam and Lagging Wall - Tie Back Sections 775 LF Maintain Traffic / Traffic Control \$ 2,000 Day 30 \$ 60,000 15'r89 10' spacing Steel Beam 490316 \$ 90 LF 2800 \$ 252,000 35'x78 78 beams Wood Lagging 575004 \$ 30 SQFT 11700 \$ 351,000 15'x775 Tie back Anchors 500050 \$ 6,600 EA 78 \$ 514,800 10' space Structure Concrete (Whaler) 510050 \$ 1,470 CY 200 </td <td>175 LF</td> <td>Maintain Traffic / Traffic Control</td> <td></td> <td>\$</td> <td>2,000</td> <td>Day</td> <td>20</td> <td>\$</td> <td>40,000</td> <td></td>	175 LF	Maintain Traffic / Traffic Control		\$	2,000	Day	20	\$	40,000				
48 beams Wood Lagging 575004 \$ 30 SQFT 5000 \$ 150,000 10'x475 Permeable Material 682001 \$ 200 CY 220 \$ 44,000 8'x475' Pavement Section 390130 \$ 100 SY 1050 \$ 105,000 (20'x47 Soldier Beam and Lagging Wall - Tie Back Sections 775 LF Maintain Traffic / Traffic Control \$ 2,000 Day 30 \$ 60,000 15' High CIDH (24" dia / 20 feet deep) 490603 \$ 320 LF 1950 \$ 624,000 20'x78 10' spacing Steel Beam 490316 \$ 90 LF 2800 \$ 252,000 35'x78 78 beams Wood Lagging 575004 \$ 30 SQFT 11700 \$ 351,000 15'x775 Tie back Anchors 50050 \$ 6,600 EA 78 \$ 514,800 10' space Structure Concrete (Whaler) 51050 \$ 1,470 CY 200 \$	LO' High	CIDH (24" dia / 20 feet deep)	490603	\$	320	LF	1000	\$	320,000	20'x48			
Permeable Material 682001 \$ 200 CY 220 \$ 44,000 8'x475's Pavement Section 390130 \$ 100 SY 105.00 \$ 105,000 \$ 20'x47 Soldier Beam and Lagging Wall - Tie Back Sections 775 LF Maintain Traffic / Traffic Control \$ 2,000 Day 30 \$ 60,000 15' High CIDH (24" dia / 20 feet deep) 490603 \$ 320 LF 1950 \$ 624,000 20'x78 10' spacing Steel Beam 490316 \$ 90 LF 2800 \$ 252,000 35'x78 78 beams Wood Lagging 575004 \$ 30 SQFT 11700 \$ 351,000 15'x775 Tie back Anchors 500050 \$ 6,600 EA 78 \$ 514,800 10' spac Structure Concrete (Whaler) 510050 \$ 1,470 CY 200 \$ 294,000 2.5'x2.5	LO' spacing	Steel Beam	490316	\$	90	LF	1500	\$	135,000	30'x48			
Pavement Section 390130 \$ 100 SY 1050 \$ 105,000 (20'x47) Soldier Beam and Lagging Wall - Tie Back Sections 775 LF Maintain Traffic / Traffic Control \$ 2,000 Day 30 \$ 60,000 15' High CIDH (24" dia / 20 feet deep) 490603 \$ 320 LF 1950 \$ 624,000 20'x78 10' spacing Steel Beam 490316 \$ 90 LF 2800 \$ 252,000 35'x78 78 beams Wood Lagging 575004 \$ 30 SQFT 11700 \$ 351,000 15'x775 Tie back Anchors 500050 \$ 6,600 EA 78 \$ 514,800 10' space Structure Concrete (Whaler) 510050 \$ 1,470 CY 200 \$ 294,000 2.5'x2.5 Permeable Material 682001 \$ 200 CY 450 \$ 90,000 15'x775 Pavement Section 390130 \$ 100 SY 175,000 \$ 20'	18 beams	Wood Lagging	575004	\$	30	SQFT	5000	\$	150,000	10'x475'			
Soldier Beam and Lagging Wall - Tie Back Sections 775 LF Maintain Traffic / Traffic Control \$ 2,000 Day 30 \$ 60,000 15' High CIDH (24" dia / 20 feet deep) 490603 \$ 320 LF 1950 \$ 624,000 20'x78 10' spacing Steel Beam 490316 \$ 90 LF 2800 \$ 252,000 35'x78 78 beams Wood Lagging 575004 \$ 30 SQFT 11700 \$ 351,000 15'x775 Tie back Anchors 500050 \$ 6,600 EA 78 \$ 514,800 10' space Structure Concrete (Whaler) 510050 \$ 1,470 CY 200 \$ 294,000 2.5'x2.5 Permeable Material 682001 \$ 200 CY 450 \$ 90,000 15'x775 Pavement Section 390130 \$ 100 SY 1750 \$ 175,000 (20'x77) Subtotal \$ 220,836 Mobilization 7% of Subtotal \$ 94,644		Permeable Material	682001	\$	200	CY	220	\$	44,000	8'x475'x1.5'			
775 LF Maintain Traffic / Traffic Control \$ 2,000 Day 30 \$ 60,000 15' High CIDH (24" dia / 20 feet deep) 490603 \$ 320 LF 1950 \$ 624,000 20'x78 10' spacing Steel Beam 490316 \$ 90 LF 2800 \$ 252,000 35'x78 78 beams Wood Lagging 575004 \$ 30 SQFT 11700 \$ 351,000 15'x775 Tie back Anchors 500050 \$ 6,600 EA 78 \$ 514,800 10' space Structure Concrete (Whaler) 510050 \$ 1,470 CY 200 \$ 294,000 2.5'x2.5 Permeable Material 682001 \$ 200 CY 450 \$ 90,000 15'x775 Pavement Section 390130 \$ 100 SY 1750 \$ 175,000 (20'x77 Subtotal \$ 220,836 Mobilization 7% of Subtotal \$ 220,836 Staging/Stockpiling 3% of Subtotal \$ 94,644		Pavement Section	390130	\$	100	SY	1050	\$	105,000	(20'x475') / 9 sf per sy			
15' High CIDH (24" dia / 20 feet deep) 490603 \$ 320 LF 1950 \$ 624,000 20'x78 10' spacing Steel Beam 490316 \$ 90 LF 2800 \$ 252,000 35'x78 78 beams Wood Lagging 575004 \$ 30 SQFT 11700 \$ 351,000 15'x775 Tie back Anchors 500500 \$ 6,600 EA 78 \$ 514,800 10' space Structure Concrete (Whaler) 510050 \$ 1,470 CY 200 \$ 294,000 2.5'x2.5 Permeable Material 682001 \$ 200 CY 450 \$ 90,000 15'x775 Pavement Section 390130 \$ 100 SY 1750 \$ 175,000 (20'x77 Mobilization 7% of Subtotal \$ 220,836 \$ 94,644 \$ 94,644			Soldier Beam an	d La	gging Wa	all - Tie	Back Sections	5					
10' spacing Steel Beam 490316 \$ 90 LF 2800 \$ 252,000 35'x78 78 beams Wood Lagging 575004 \$ 30 SQFT 11700 \$ 351,000 15'x775 Tie back Anchors 500050 \$ 6,600 EA 78 \$ 514,800 10' space Structure Concrete (Whaler) 510050 \$ 1,470 CY 200 \$ 294,000 2.5'x2.5 Permeable Material 682001 \$ 200 CY 450 \$ 90,000 15'x775 Pavement Section 390130 \$ 100 SY 1750 \$ 175,000 (20'x77) Mobilization 7% of Subtotal \$ 220,836 Staging/Stockpiling 3% of Subtotal \$ 94,644	775 LF	Maintain Traffic / Traffic Control		\$	2,000	Day	30	\$	60,000				
78 beams Wood Lagging 575004 \$ 30 SQFT 11700 \$ 351,000 15'x775 Tie back Anchors 500050 \$ 6,600 EA 78 \$ 514,800 10' space Structure Concrete (Whaler) 510050 \$ 1,470 CY 200 \$ 294,000 2.5'x2.5 Permeable Material 682001 \$ 200 CY 450 \$ 90,000 15'x775 Pavement Section 390130 \$ 100 SY 1750 \$ 175,000 (20'x77 Mobilization 7% of Subtotal \$ 220,836 \$ 94,644	L5' High	CIDH (24" dia / 20 feet deep)	490603	\$	320	LF	1950	\$	624,000	20'x78			
Tie back Anchors 500050 \$ 6,600 EA 78 \$ 514,800 10' space Structure Concrete (Whaler) 510050 \$ 1,470 CY 200 \$ 294,000 2.5'x2.5 Permeable Material 682001 \$ 200 CY 450 \$ 90,000 15'x775 Pavement Section 390130 \$ 100 SY 175 \$ 175,000 (20'x77) Subtotal \$ 3,154,800 Mobilization 7% of Subtotal \$ 220,836 Staging/Stockpiling 3% of Subtotal \$ 94,644	LO' spacing	Steel Beam	490316	\$	90	LF	2800	\$	252,000	35'x78			
Structure Concrete (Whaler) 510050 \$ 1,470 CY 200 \$ 294,000 2.5'x2.5 Permeable Material 682001 \$ 200 CY 450 \$ 90,000 15'x775 Pavement Section 390130 \$ 100 SY 1750 \$ 175,000 (20'x77 Subtotal \$ 3,154,800 220,836 Mobilization 7% of Subtotal \$ 220,836 Staging/Stockpiling 3% of Subtotal \$ 94,644	78 beams	Wood Lagging	575004	\$	30	SQFT	11700	\$	351,000	15'x775'			
Permeable Material 682001 \$ 200 CY 450 \$ 90,000 15'x775 Pavement Section 390130 \$ 100 SY 1750 \$ 175,000 (20'x77 Subtotal \$ 3,154,800 3 Mobilization 7% of Subtotal \$ 220,836 Staging/Stockpiling 3% of Subtotal \$ 94,644		Tie back Anchors	500050	\$	6,600	EA	78	\$	514,800	10' spacing			
Pavement Section 390130 \$ 100 SY 1750 \$ 175,000 (20'x77 Subtotal \$ 3,154,800 Mobilization 7% of Subtotal \$ 220,836 Staging/Stockpiling 3% of Subtotal \$ 94,644		Structure Concrete (Whaler)	510050	\$	1,470	CY	200	\$	294,000	2.5'x2.5'x775'			
Subtotal\$3,154,800Mobilization7% of Subtotal\$220,836Staging/Stockpiling3% of Subtotal\$94,644		Permeable Material	682001	\$	200	CY	450	\$	90,000	15'x775'x1'			
Mobilization7% of Subtotal\$ 220,836Staging/Stockpiling3% of Subtotal\$ 94,644		Pavement Section	390130	\$	100	SY	1750	\$	175,000	(20'x775') / 9 sf per sy			
Staging/Stockpiling3% of Subtotal\$94,644							Subtotal	\$	3,154,800				
Staging/Stockpiling3% of Subtotal\$94,644		Mobilization			70/	of Sub	total	ć	220 026				
					-								
								ې د	,				
								ې د					
Contingency 30% of Subtotal \$ 946,440 Total Construction Estimate \$ 4,510,000 (Round		Contingency						Ŧ	,	(Poundad)			
Total Construction Estimate \$ 4,510,000 (Round						instruct	ion Estimate	Ş	4,510,000	(noullaed)			
Design 10% of Construction \$ 451,000		Design			10%	of Con	struction	\$	451,000				
Admin & Construction Management 10% of Construction \$ 451,000					4.00/	- 6		~	454 000				

Appendix D. Site Photos



Road Condition at Boring B-1 (June 22, 2020)



Road Condition at Boring B-4 (June 22, 2020)



Exposed Bedrock Near Boring B-2 (June 25, 2020)



Exposed Bedrock Near Boring B-2 (June 25, 2020)



6455 Almaden Expwy Suite 100 San Jose, CA 95120 Phone (408) 440-4542

200300

SHANNON ROAD EMBANKMENT STABILIZATION PROJECT SHANNON ROAD LOS GATOS, CALIFORNIA

SITE PHOTOS

FIGURE D-1



Road Condition at Boring B-12 (June 25, 2020)



Road Condition During Drilling at Boring B-11 (July 1, 2020)



6455 Almaden Expwy Suite 100 San Jose, CA 95120 Phone (408) 440-4542 SHANNON ROAD EMBANKMENT STABILIZATION PROJECT SHANNON ROAD LOS GATOS, CALIFORNIA

SITE PHOTOS

FIGURE D-2

200300

NOVEMBER 2020