

ARTICLE VIII. - ETHICS CODE

Sec. 1-80. - Definitions.

As used in this chapter unless the context clearly requires otherwise:

Commission means the Missouri Ethics Commission.

Dependent child or *dependent child in his custody* means all children, stepchildren, foster children and wards under the age of eighteen (18) residing in his household and who receive in excess of fifty (50) percent of their support from him.

Public document means a state tax return or a document or other record maintained for public inspection without limitation of the right of access to it and a document filed in juvenile court proceeding.

Substantial interest means ownership by the individual, his spouse or his dependent children, whether singularly or collectively, directly or indirectly of ten (10) percent or more of any business entity, or of an interest having a value of ten thousand dollars (\$10,000.00) or more, or the receipt by an individual, his spouse or his dependent children, whether singularly or collectively, or a salary, gratuity or other compensation or remuneration of five thousand dollars (\$5,000.00), or more, per year from individual, partnership, organization or association within any calendar year.

Substantial personal or private interest in any measure, bill, order or ordinance means any interest in a measure, bill, order or ordinance which results from a substantial interest in a business entity.

(Ord. No. 3812, § 2, 2-1-99)

Sec. 1-81. - Substantial personal or private interest—Report required.

Any member of the governing body of the city who has a substantial personal or private interest in any measure, bill, order or ordinance proposed or pending before such governing body shall, before he passes on the measure, bill, order or ordinance, file a written report of the nature of the interest with the city clerk and such statement shall be recorded in the appropriate journal or other record of proceedings of the city.

(Ord. No. 3812, § 2, 2-1-99)

Sec. 1-82. - Compliance.

Any member of the governing body of the city shall be deemed to have complied with the requirements of section 1-81 if he has filed, at any time before he passes on such measure, bill, order or ordinance, a financial interest statement pursuant to section 1-85 which discloses the basis for his substantial personal

or private interest or interests that he may have therein. Any such person may amend his financial interest statement to disclose any subsequently acquired substantial interest at any time before he passes on any measure, bill, order or ordinance and shall be relieved of the provisions of section 1-81.

(Ord. No. 3812, § 2, 2-1-99)

Sec. 1-83. - Effect on other laws.

Nothing in sections 1-80 through 1-86 shall be interpreted as exempting any individual from applicable provisions of any other laws of this state or the provisions of any charter or ordinance of other political subdivisions in the state.

(Ord. No. 3812, § 2, 2-1-99)

Sec. 1-84. - Persons required to file a financial interest statement.

Each elected official, the chief administrative officer and the chief purchasing officer of the city, and each official or employee of the city who is authorized by the governing body of the city to promulgate rules and regulations with the force of law or to vote on the adoption of rules and regulations with the force of law shall be required to file a financial interest statement as required by section 1-85.

(Ord. No. 3812, § 2, 2-1-99)

Sec. 1-85. - Financial interest statement requirements.

The following requirements with respect to disclosure of substantial interests must be met:

- (1) Disclosure in writing of the following described transactions, if any such transactions were engaged in during the calendar year:
 - a. For such person, and all persons within the first degree of consanguinity or affinity of such person, the date and the identities of the parties to each transaction with a total value in excess of five hundred dollars (\$500.00), if any, that such person had with the city other than compensation received as an employee or payment of any tax, fee or penalty due to the city and other than transfers for no consideration to the city.
 - b. The date and the identities of the parties to each transaction known to the person with a total value in excess of five hundred dollars (\$500.00), if any, that any business entity in which such person had a substantial interest had with the city, other than payment of any tax, fee or penalty due to the city or transactions involving payment for providing utility service to the city, and other than transfer for no consideration to the city.
- (2) The chief administrative officer and chief purchasing officer of the city shall disclose in writing the following information:

- a. The name and address of each of the employers of such person from whom income of one thousand dollars (\$1,000.00) or more was received during the year covered by the statement.
 - b. The name and address of each sole proprietorship which he owned; the name, address and the general nature of the business conducted of each general partnership and joint venture in which he was a partner or participant; the name and address of each partner or co-participant for each partnership or joint venture unless such names and addresses are filed by the partnership or joint venture with the secretary of state; the name, address and general nature of the business conducted of any closely held corporation or limited partnership in which the person owned ten (10) percent or more of any class of the outstanding stock or limited partners' units; and the name of any publicly traded corporation or limited partnership which is listed on a regulated stock exchange or automated quotation system in which the person owned two (2) percent or more of any class of outstanding stock, limited partnership units or other equity interests.
 - c. The name and address of each corporation for which such person served in the capacity of a director, officer or receiver.
- (3) Duplicate disclosure reports made pursuant to this section shall be filed with the commission and the city clerk. The city clerk shall maintain such disclosure reports available for public inspection and copying during normal business hours.

(Ord. No. 3812, § 2, 2-1-99)

Sec. 1-86. - Time for filing.

The financial interest statements shall be filed at the following times, but no person is required to file more than one (1) financial interest statement in any calendar year:

- (1) Each candidate for elective office shall file a financial interest statement no later than the forty-fifth day after the last day for filing for the election at which he seeks nomination or election, and the statement shall be for the twelve (12) months prior to the closing date, except that in the event an individual does not become a candidate until later than the forty-fifth day after the last day for filing for the election, the statement shall be filed within ten (10) days of his becoming a candidate. An individual required to file a financial interest statement because of his candidacy for office prior to a primary election in accordance with this section is also required to amend such statement within seven (7) days prior to the following general election to reflect any changes in financial interest during the interim. The appropriate elections authority shall provide to the candidate at the time of filing for election written notice of the candidates' obligation to file under this article and the candidate shall sign a statement acknowledging receipt of such notice.

- (2) Each person appointed to office and each official or employee described in section 1-84 who is not otherwise covered in this section shall file the statement within thirty (30) days of such appointment or employment.
- (3) Every other person required by this chapter to file a financial interest statement shall file the statement annually not later than the first day of May and the statement shall cover the calendar year ending the immediately preceding December 31; provided that any member of the governing body of the city may supplement his financial interest statement to report additional interests acquired after December 31 of the covered year until the date of filing of the financial interest statement.
- (4) The deadline for filing any statement required by this chapter shall be 5:00 p.m. of the last day designated for filing the statement. When the last day of filing falls on a Saturday or Sunday or on an official state holiday, the deadline for filing is extended to 5:00 p.m. on the next day which is not a Saturday or Sunday or official holiday. Any statement required within specified time shall be deemed to be timely filed if it is postmarked not later than midnight of the day previous to the date designated for filing the statement.

(Ord. No. 3812, § 2, 2-1-99)