

MEMBERS OF COUNCIL

HON. KIM REYNOLDS  
GOVERNOR

HON. PAUL D. PATE  
SECRETARY OF STATE

HON. ROB SAND  
AUDITOR OF STATE

HON. ROBY SMITH  
TREASURER OF STATE

HON. MIKE NAIG  
SECRETARY OF AGRICULTURE



# Executive Council of Iowa

CAPITOL BUILDING  
DES MOINES, IOWA 50319  
PHONE: 515 281-5368

July 15, 2024

Accounting Department  
Office of the Treasurer  
Lucas Building  
321 E 12<sup>th</sup> Street  
Des Moines, IA, 50319

The Executive Council, in a meeting held on this date, approved payment of the following cost item:

Department of Corrections - Anamosa State Penitentiary .....\$ 46,150.76  
On March 5, 2023, a lightning strike cause damage to various systems. Request was to cover repair costs.

This represents partial payment.

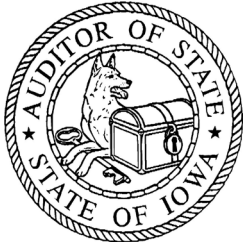
EXECUTIVE COUNCIL OF IOWA

*Kristi Onstot*

Kristi Onstot  
Executive Secretary

cc: Beth Skinner, Director, Department of Corrections  
Bradley Hoenig, Interim Warden, Iowa State Penitentiary  
Jean Hall, Budget Analyst 2, Anamosa State Penitentiary  
Heather Hackbarth, Department of Management  
Matt Bender, Department of Management

AOS Claim ID 3459  
TOS Job # \_\_\_\_\_



OFFICE OF AUDITOR OF STATE  
STATE OF IOWA

Rob Sand  
Auditor of State

State Capitol Building  
Des Moines, Iowa 50319-0004

Telephone (515) 281-5834 Facsimile (515) 281-6518

July 3, 2024

Kristi Onstot  
Executive Council  
L O C A L

Subject: Damage to Various Systems due to Lightning Strike on March 5, 2023  
Anamosa State Penitentiary – Department of Corrections  
Claim Dated June 19, 2023  
AOS Claim ID: 3459

In accordance with Executive Council policy, we have examined the invoices and supporting documentation for partial payment related to the damages and have found the items to be in order as shown below:

Documented request		<u>\$ 46,150.76</u>
Executive Council Allocation		\$ 153,389.20
Less:		
Previous payments	\$ 83,115.18	
This payment	<u>46,150.76</u>	
Total		<u>\$ 129,265.94</u>
Remaining Executive Council allocation		<u>\$ 24,123.26</u>

We recommend reimbursement be made in the amount of \$46,150.76. This represents partial payment of the loss.

Sincerely,

Brian R. Brustkern, CPA  
Deputy Auditor of State

cc: Beth Skinner, Director, Department of Corrections  
Bradley Hoenig, Interim Warden, Anamosa State Penitentiary  
Jean Hall, Budget Analyst 2, Anamosa State Penitentiary

Date: June 4, 2024

To: Tammy Hollingsworth, Auditor of State  
Victoria Newton, Treasurer of State  
Executive Council

From: Jean Hall, Budget Analyst 2  
Anamosa State Penitentiary  
Department of Corrections

**RE: REIMBURSEMENT REQUEST – 29C.20 Claim**

Claim #	3459
Event	Electrical Storm
Event Date	3/5/23
Summary	Previous payment of \$83,115.18 for this claim received 8/18/23 on CDE 654202308152312
Amount Requested	\$46,150.76

The Anamosa State Penitentiary paid Johnson Controls for work and materials thru 2/15/24. We are currently seeking an allocation of \$46,150.76 for those funds under 20C.20 Contingency Fund – Disaster Aid. Please use accounting string: 0001-243-A45-1231-2512.

We anticipate another invoice from the company for \$24,123.26 to complete the project, and I will submit the final reimbursement request for such after its completion.

If you have any questions or need additional information, please do not hesitate to contact me.

Thank you,



Jean Hall, Budget Analyst 2  
Anamosa State Penitentiary  
[jean.hall@iowa.gov](mailto:jean.hall@iowa.gov)  
319-462-3504, ext. 2217



Anamosa State Penitentiary  
 29C.20 Claim  
 AOS Claim #3459  
 Electrical Storm 3/5/2023

Expenses incurred									
Vendor	Description	Amount	Document	US Bank	Paid Date	Warrant #	Coding		
FY23	Control Installations of Iowa	\$ 599.24	M4231898		3/29/2023	85861836	0001-243-1231-2479		
FY23	Cummins	\$ 8,939.57	P4231990		4/10/2023	72438863	0001-243-1231-2513		
FY23	Grainger	\$ 431.25	M4231967	B4231881	4/6/2023	85869794	0001-243-1231-2222		
FY23	Graybar	\$ 849.36	M4232372	B4232278	6/9/2023	85923760	0001-243-1231-2230		
FY23	Johnson Controls	\$ 70,000.00	M4232314		7/5/2023	72575516	0001-243-1231-2512		
FY23	Johnson Controls	\$ 1,908.00	M4232185		4/10/2023	72438862	0001-243-1231-2513		
FY23	Theisen's	\$ 387.76	B4232507		6/2/2023	72522484	0001-243-1474-K9-2299		
<b>Total of FY23 expenses</b>		<b>\$ 83,115.18</b>							
FY24	Johnson Controls	\$ 46,150.76	M4232314X		2/26/2024	72933419	0001-243-1231-2512		
FY24	Johnson Controls	\$ 24,123.26	M4232314XX				0001-243-1231-2512		

**Total of FY24 expenses \$ 70,274.02**

**Total Cost of Event \$ 153,389.20**

FY23 Reimbursement \$ 83,115.18  
 Amt of 6/4/24 Reimbursement Request \$ 46,150.76  
 Remaining Expenses \$ 24,123.26  
**\$ 153,389.20**

REIMBURSEMENTS  
 8/18/23-CDE 654202308152312 \$ 83,115.18

FY24	
Coding Breakdown	
0001-243-1231-2222	\$ -
0001-243-1231-2230	\$ -
0001-243-1231-2479	\$ -
0001-243-1231-2512	\$ 46,150.76
0001-243-1231-2513	\$ -
0001-243-1474-K9-2299	\$ -
	<b>\$ 46,150.76</b>



RUN SORT: 243  
 REPORT ID J6251126-REG  
 SYSTEM IFAS

S6251126000000033  
 THE FOLLOWING REGULAR WARRANTS  
 WERE ISSUED 02/26/2024

FEB 29 2024

PAGE 33  
 DATE OF RUN 02/26/2024.057  
 TIME OF RUN 21.10.24

NAME	DOCUMENT NUMBER	ACCOUNT NUMBER	REFERENCE NUMBER	WARRANT NUMBER	WARRANT AMOUNT
HOYA OPTICAL LABS OF AM	H4241687	2242	00003000585	72933418	15.60
HOYA OPTICAL LABS OF AM	H4241687	2242	00003000585	72933418	15.50
HOYA OPTICAL LABS OF AM	H4241687	2242	00003000585	72933418	15.60
HOYA OPTICAL LABS OF AM	H4241687	2242	00003000585	72933418	15.50
HOYA OPTICAL LABS OF AM	H4241687	2242	00003000585	72933418	18.72
HOYA OPTICAL LABS OF AM	H4241687	2242	00003000585	72933418	15.50
HOYA OPTICAL LABS OF AM	H4241687	2242	00003000585	72933418	96.42*
JOHNSON CONTROLS FIRE P	M4232314X	2512	00002139022	72933419	46,150.76*
CRESCENT PARTS & EQUIPM	M4241782	2230	00003173831	72933420	766.00
CRESCENT PARTS & EQUIPM	M4241782	2230	00003173831	72933420	233.44
PREMIER BIOTECH INC	S4241805	2245	00003050868	72933421	999.44*
MIDWEST SALT LLC	P4241857	2504	00003078918	86152843	1,350.00*
					5,303.25*
TOTAL FOR ORGN			RM24	\$	999.44
TOTAL FOR ORGN			1231	\$	51,454.01
TOTAL FOR ORGN			1348	\$	96.42
TOTAL FOR ORGN			1474	\$	1,350.00
TOTAL FOR APPR				\$	53,899.87
TOTAL FOR FUND			0001	\$	53,899.87
TOTAL FOR AGENCY			243	\$	53,899.87

Mailed 3/1/24







FEB 26 2024

PRC 243

M4232314X 1

PAGE: 1 of 2

STATE OF IOWA  
PAYMENT REQUEST - COMMODITY BASED

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BFY:                    FY:                    PERIOD:

CREATION DATE: 02-26-2024  
DOCUMENT TOTAL: \$46,150.76

DOCUMENT DESCRIPTION:

Johnson Controls Fire Protection LP, SimplexGrinnell LP

Quote CPQ-393221

JCFP reference 650393221

ENTERED BY:    kglydew

LAST USER:     kglydew

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PRC 243

M4232314X 1

PAGE: 2 of 2

STATE OF IOWA
PAYMENT REQUEST - COMMODITY BASED

VNDR LN: 1 VENDOR#: 00002139022 DISB TYPE: Check AMOUNT: \$46,150.76
Johnson Controls Fire Protection LP
SimplexGrinnell LP

Dept CH 10320
Palatine, IL 60055-0320
OVERRIDE ADDRESS:

INV#: VND INV DT: TRACKING DT: 02-26-2024

COMM LN: 3 COMM#: 990 TYPE: Item RECEIVED SERVICE
FROM: 02-15-2024 TO: 02-15-2024
QTY: 1.00000 UNIT: EA UNIT PRICE: 46,150.760000 TOTAL: \$46,150.76
DISC UNIT PRICE: 46,150.760000 CONTRACT AMT:

REF DOC: DO 243 M4232314X REF VNDR LN: 1 REF COMM LN: 3 REF TYPE: FINAL

COMMODITY
SECURITY, FIRE, SAFETY, EMERGENCY SVCS (DISASTER RECOVERY)

CL DESCRIPTION:
Security & Fire Protection Services

ACCT 1 BFY: 2024 FY: PERIOD: EVENT TYPE: AP01 LINE AMOUNT: \$46,150.76

REF DOC: DO 243 M4232314X REF VNDR LN: 1 REF ACTG LN: 1 REF TYPE: FINAL

CHECK DESCR:

Invoice #: 41706986; Anamosa State Penitentiary

FUND DEPT ORGN / SUB APPR OBJIT / SUB REV / SUB
0001 243 1231 A45 2512





D-U-N-S 09-4738007  
FED. ID 58-2608861

DES MOINES  
11318 Aurora Ave  
URBANDALE IA 503220000  
Phone: (515) 278-4100

Johnson Controls Fire Protection LP

<b>INVOICE NO.</b> 41706986	<b>INVOICE DATE</b> 02/16/2024	<b>CUSTOMER PO</b> M4232314
<b>TERMS</b> NET30		<b>INVOICE TYPE</b> Schedule of Values



FEB 28 2024

BILL TO: 320-054753601  
State of Iowa Corr Anamosa  
406 N High St  
ANAMOSA, IA 52205-0010

PROJECT: 320-650393221  
State of Iowa Corr Anamosa  
406 N High St  
ANAMOSA IA 52205-0010

**INVOICE SUMMARY**

TOTAL P.O. -	\$140,274.02	INVOICE SUBTOTAL -	\$46,150.76
INVOICED TO DATE -	\$116,150.76	LESS RETAINAGE -	\$0.00
DUE THIS INVOICE -	\$46,150.76	SUBTOTAL -	\$46,150.76
REMAINING TO INVOICE	\$24,123.26	SALES TAX -	\$0.00
		TOTAL INVOICE -	\$46,150.76

Please direct inquiries to our local branch office listed above.

**PAY THIS AMOUNT**



**\$46,150.76**

**PROGRESS BILLING FORMS FOLLOW:**

Comments

REMITTANCE COPY



PLEASE TEAR OFF AND RETURN THIS PORTION WITH YOUR PAYMENT - WRITE INVOICE NO. ON YOUR CHECK.

INVOICE AMOUNT  
\$46,150.76

BILL TO: 320-054753601 State of Iowa Corr Anamosa

INVOICE NUMBER 41706986

SHIP TO 320-054753601 State of Iowa Corr Anamosa

INVOICE DATE 02/16/2024

CUSTOMER P.O. M4232314

SEND TO Johnson Controls Fire Protection LP  
Dept. CH 10320  
Palatine, IL 60055-0320

1004615076541706986



## TERMS AND CONDITIONS OF SALE

1. **Acknowledgement.** Customer acknowledges and agrees that equipment or services provided pursuant to this invoice shall be provided pursuant to the terms and conditions hereof, unless otherwise provided pursuant to an executed agreement between the parties.
2. **Payment.** Payment shall be made in accordance with the terms designated on this invoice. In the event payment is not received when due, Company may, at its discretion, assess late fees at the rate of 1.5% per month or the maximum rate allowed by law. Customer agrees to pay all costs of collection, including without limitation costs, fees, and attorneys' fees.
3. **Security Interest.** Customer grants to Johnson Controls Fire Protection LP ("Company") and Company retains a security interest in all equipment shipped pursuant to this invoice and proceeds thereof until Customer shall have made full payment. In the event of Customer's failure to make payment of any amount when due, the entire balance shall become due and payable immediately. In case of default, Company shall have the right to take possession of the equipment immediately, wherever it may be found, and remove it with or without process of law and may retain all money paid hereunder as liquidated damages and rental for said equipment. Customer shall not sell (except in the ordinary course of business), mortgage, pledge or lease said equipment without prior permission of Company.
4. **Limited Warranty.** COMPANY WARRANTS THAT ITS WORKMANSHIP AND MATERIAL FURNISHED UNDER THIS AGREEMENT WILL BE FREE FROM DEFECTS FOR A PERIOD OF NINETY (90) DAYS FROM THE DATE OF FURNISHING. Company's liability shall be limited to repair or replacement of equipment that Company's inspection discloses is defective. Where Company provides product or equipment of others, Company will warrant the product or equipment only to the extent warranted by such third party. EXCEPT AS EXPRESSLY SET FORTH HEREIN, COMPANY DISCLAIMS ALL WARRANTIES, EXPRESS OR IMPLIED, INCLUDING BUT NOT LIMITED TO ANY IMPLIED WARRANTIES OF MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE WITH RESPECT TO THE SERVICES PERFORMED OR THE PRODUCTS, SYSTEMS OR EQUIPMENT, IF ANY, SUPPORTED HEREUNDER. IN NO EVENT SHALL COMPANY BE LIABLE FOR SPECIAL, INCIDENTAL OR CONSEQUENTIAL DAMAGES OF ANY KIND WHATSOEVER. This warranty does not apply to equipment that has been subjected to abuse, mishandling, improper use or installation by any third party.
5. **No Acceptance.** Issuance of this invoice shall not be construed as an acceptance of the terms or conditions of any Customer purchase order or like document, or any certification of any kind by Company.
6. **Taxes.** Customer shall pay any tax, however designated, levied or based.
7. **General.** If any provision of this invoice is held by any court or other competent authority to be void or unenforceable in whole or in part, this invoice will continue to be valid as to the other provisions and the remainder of the affected provision. It is agreed that no suit, or cause of action or other proceeding shall be brought against Company more than one (1) year after the accrual of the cause of action or one (1) year after the claim arises, whichever is shorter, whether known or unknown when the claim arises or whether based on tort, contract, or any other legal theory. The laws of Massachusetts shall govern the validity, enforceability, and interpretation of this invoice.





FEB 23 2024

# APPLICATION AND CERTIFICATE FOR PAYMENT

## To Owner :

State of Iowa Corri Anamosa  
406 N High St  
ANAMOSA IA 52205-0010

## From Contractor:

DES MOINES  
11318 Aurora Ave  
URBANDALE IA 503220000  
Phone: (515) 278-4100

PROJECT NAME  
Anamosa State Penitentiary

PROJECT NO.  
650393221

APPLICATION NO.  
00002

CUSTOMER P.O.  
M4232314

INVOICE NO.  
41706986

INVOICE DATE  
02/16/2024

PERIOD TO:  
02/15/2024

DISTRIBUTION LIST:	
<input type="checkbox"/>	Owner
<input type="checkbox"/>	Architect
<input type="checkbox"/>	Contractor
<input type="checkbox"/>	

## CONTRACTOR'S APPLICATION FOR PAYMENT

Application is made for Payment, as shown above, in connection with the Contract. Continuation Sheet is attached.

1. Original Contract Sum	\$140,274.02
2. Net Change by Change Orders	\$0.00
3. Contract Sum to Date	\$140,274.02
4. Total Completed & Stored to Date	\$116,150.76
5. RETAINAGE of Work Complete	\$0.00
6. Total Earned Less RETAINAGE	\$116,150.76
7. Less Previous Certificates	\$70,000.00
8. Current Payment before Sales Tax	\$46,150.76
Sales Tax	\$0.00
Total Current Payment Due Including Sales Tax	\$46,150.76
9. Balance to Finish, Not Including Sales Tax	\$24,123.26

The undersigned Contractor certifies that to the best of the Contractor's knowledge, information and belief the work covered by this Application for Payment has been completed in accordance with the Contract Documents, that all amounts have been paid by the Contractor for Work for which previous Certificates For Payment were issued and payments received from the Owner, and that current payment shown herein is now due.

**CONTRACTOR: Johnson Controls Fire Protection LP**

By: Kelley Staged Date: 2/16/24

State of: IA County of: Worth

Subscribed and sworn to before me this 16 Day of February,

Notary Public: Maggie J Kuehl

My Commission expires: 10/24/2025

CHANGE ORDER SUMMARY	ADDITIONS	DEDUCTIONS
TOTAL CHANGES APPROVED IN PREVIOUS MONTH BY OWNER:	\$0.00	\$0.00
TOTALS APPROVED THIS MONTH:	\$0.00	\$0.00
TOTALS:	\$0.00	\$0.00
NET CHANGES BY CHANGE ORDER:	\$0.00	\$0.00

## ARCHITECT'S CERTIFICATE FOR PAYMENT

In accordance with the Contract Documents, based on on-site observations and the data comprising the above application, the Architect certifies to the Owner that to the best of the Architect's knowledge, information and belief the Work has progressed as indicated, the quality of the Work is in accordance with the Contract Documents, and the Contractor is entitled to payment of the AMOUNT CERTIFIED.

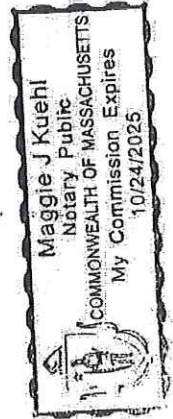
## AMOUNT CERTIFIED

Attach explanation if amount certified differs from the amount applied for.

## ARCHITECT:

By: \_\_\_\_\_ Date: \_\_\_\_\_

This Certificate is not negotiable. The AMOUNT CERTIFIED is payable only to the Contractor named herein. Issuance, payment and acceptance of payment are without prejudice to any rights of the Owner or Contractor under this Contract.







**APPLICATION AND CERTIFICATE FOR PAYMENT DETAIL PAGE**

**To Owner:**

State of Iowa Corr Anamosa  
 406 N High St  
 ANAMOSA IA 52205-0010

PROJECT NAME	PROJECT NO.	APPLICATION NO.
Anamosa State Penitentiary	650393221	00002

CUSTOMER P.O.	INVOICE NO.	INVOICE DATE
M4232314	41706986	02/16/2024

**From Contractor:**

DES MOINES  
 11318 Aurora Ave  
 URBANDALE IA 503220000  
 Phone: (515) 278-4100

PERIOD TO:
02/15/2024

A ITEM NO	B DESCRIPTION OF WORK	C SCHEDULED VALUE	D PREVIOUS APPLICATION	E THIS PERIOD	F MATERIAL STORED	G TOTAL COMPLETED AND STORED	H %	I BALANCE TO FINISH	J RETAINAGE TO DATE
00001	FIRE ALARM Material	\$75,506.85	\$70,000.00	\$5,506.85	\$0.00	\$75,506.85	100.00	\$0.00	\$0.00
00002	FIRE ALARM Commissioning Labor	\$24,776.28	\$0.00	\$19,821.02	\$0.00	\$19,821.02	80.00%	\$4,955.26	\$0.00
00003	FIRE ALARM Preparation Labor	\$1,712.15	\$0.00	\$1,369.72	\$0.00	\$1,369.72	80.00%	\$342.43	\$0.00
00004	FIRE ALARM Project Management	\$6,781.30	\$0.00	\$3,390.65	\$0.00	\$3,390.65	50.00%	\$3,390.65	\$0.00
00005	FIRE ALARM Electrical Install	\$22,659.37	\$0.00	\$11,329.69	\$0.00	\$11,329.69	50.00%	\$11,329.68	\$0.00
00006	FIRE ALARM State of Iowa Permit	\$627.60	\$0.00	\$627.60	\$0.00	\$627.60	100.00	\$0.00	\$0.00
00007	FIRE ALARM Electrical Install	\$8,210.47	\$0.00	\$4,105.23	\$0.00	\$4,105.23	50.00%	\$4,105.24	\$0.00
	<b>SUBTOTAL:</b>	<b>\$140,274.02</b>	<b>\$70,000.00</b>	<b>\$46,150.76</b>	<b>\$0.00</b>	<b>\$116,150.76</b>	<b>82.80%</b>	<b>\$24,123.26</b>	<b>0.00</b>





STATE OF IOWA  
DELIVERY ORDER

*Partial*

DO 243

FY24  
M4232314 X

MA# 005 20003A

FISCAL YEAR: 2023

DATE: 05/02/2023

PAGE: 1 of 2

ABOVE ORDER NUMBER MUST APPEAR ON ALL INVOICES, BILLS OF LADING, PACKAGES AND CORRESPONDENCE.

ALL GOODS & SERVICES ORDERED ON THIS PURCHASE ORDER DOCUMENT MUST BE RECEIVED BY THE RECEIVING AGENCY NO LATER THAN JUNE 30 AND ALL INVOICES AGAINST THESE DELIVERIES MUST BE RECEIVED BY THE RECEIVING AGENCY AS SOON AS POSSIBLE (AFTER DELIVERY) SO THAT WE MAY CLOSE OUT OUR FISCAL YEAR IN A TIMELY MANNER. THANK YOU.

**VENDOR:**

Johnson Controls Fire Protection LP  
SimplexGrinnell LP

Dept CH 10320  
Palatine, IL 60055-0320

ADDR ID: AD001

VENDOR CONTACT:

DEANNA BAUER

PHONE: 402-334-5635

EXT:

EMAIL:

VENDOR #: 00002139022

ISSUER:

JILL KENNEBECK

Jill.Kennebeck@iowa.gov

319-462-3504

*2/15/24 jrb*

DESCRIPTION OF ITEMS CONTRACTED

Maintenance/Huffman

Quote CPQ-393221  
JCFP reference 650393221

*29C*

*46,150.76*  
~~*10,214.02*~~

TOTAL \$140,274.02

VENDOR:

APPROVED BY:

This Delivery Order is subject to the terms and conditions agreed to in the Master Agreement referenced above.

*1231-2512*





STATE OF IOWA  
DELIVERY ORDER

DO 243

M4232314

MA# 005

20003A

FISCAL YEAR:

2023

DATE:

05/02/2023

PAGE:

2 of 2

ABOVE ORDER NUMBER MUST APPEAR ON ALL INVOICES, BILLS OF LADING, PACKAGES AND CORRESPONDENCE.

BILL TO: ANAMOSA ST PENITENTIARY

SHIP TO: ANAMOSA ST PENITENTIARY

406 N HIGH ST

406 N HIGH ST

ANAMOSA, IA 52205

ANAMOSA, IA 52205

US

US

FOB: FOB Dest, Freight Prepaid

DELIVERY DATE:

DELIVERY TYPE:

LINE NO.	LINE TYPE \ SERVICE DATES	COMMODITY / DESCRIPTION	QUANTITY	UNIT	UNIT COST / DISCOUNTED UNIT COST / LIST COST	ITEM TOTAL AMT / CONTRACT AMT
----------	---------------------------	-------------------------	----------	------	--	-------------------------------

1	Item	990	1.00000	EA	\$ 140,274.020000 \$ 140,274.020000 \$ 0.000000	<del>\$ 140,274.02</del> \$ 0.00
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~~70,274.02~~

REF DOC:

REF VNDR LN: 0

REF COMM LN: 0

REF TYPE: PARTIAL

SECURITY, FIRE, SAFETY, EMERGENCY SVCS (DISASTER RECOVERY)

Security & Fire Protection Services

The Johnson Controls Reference number listed on the project quote should be included on the DO.  
Replace fire alarm wiring damaged by lightning strike on March 5, 2023 per scope of work under quote #CPQ-293221.

*panel*

*46,150.76*

