

City of Independence Personnel Policy Handbook

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City of Independence

Employee Handbook

Table of Contents

Introd	uction (Section 1)	Page Number
1.1	Welcome Statement, Applicability Statement,	
	Disclaimer, Employee Acknowledgment	1
1.2	General Employee Conduct	
Employ	yment Policies (Section 2)	
2.1	Hiring and Orientation Policies	<u>1</u>
2.2	Orientation Period	6
2.3	Proof of Employability	6
2.4	Physical Examinations	6
2.5	Employment of Relatives	7
2.6	Residency Requirement	7
2.7	Employee Access to Personnel Files	<mark>7</mark>
2.8	References	9
2.9	Job Posting	
2.10	Termination of Employment	10
2.11	Performance Review	10
2.12	Inclement Weather	11
Compe	ensation Policies (Section 3)	
3.1	Definition of Employee Status	11
3.2	Hours of Work	
3.3	Longevity Pay	
Time C	Off Benefits (Section 4)	
4.1	Holidays	<mark>15</mark>
4.2	Vacation	<mark>17</mark>
4.3	Casual Days	<mark>19</mark>
4.4	Family and Medical Leave	<mark>20</mark>
4.5	Military Leave	<mark>25</mark>
4.6	Bereavement Leave	
4.7	Jury and Witness Duty	
4.8	Voting Leave	<mark>28</mark>
4.9	Unpaid Leaves of Absence	
4.10	Sick Leave Benefit	<mark>29</mark>
<u>4.11</u>	Pregnancy Workers Fairness Act and Lactation Policy	

Group Health Insurance and Related Benefits (Section 5)

5.1	Group Health and Dental Insurance Benefits	<mark>32</mark>
5.2	Continuation of Medical Coverage	
5.3	Worker's Compensation	
5.4	Voluntary Payroll Deductions	
5.5	Social Media Policy	
Work	Rules, Drug and Alcohol Policies, Complaint Resolution Procedure (Se	ection 6)
6.1	Work Rules and Discipline	<mark>42</mark>
6.2	Substance Abuse Policy	<mark>44</mark>
6.3	Complaint Resolution Procedure	<mark>50</mark>
6.4	Office of the Ombudsman	<mark>51</mark>
Addit	cional Provisions (Section 7)	
7.1	Political Activity	<mark>51</mark>
7.2	Solicitation	
7.3	Equipment Usage	<mark>52</mark>
7.4	Tobacco Products & E-Cigarettes	
7.5	Facial Hair	
7.6	Safety	<mark>56</mark>
7.7	Conflicts of Interest	<mark>57</mark>
7.8	Gifts	<mark>58</mark>
7.9	Supplemental Employment	<mark>58</mark>
7.10	Expense Reimbursement Requests	<mark>58</mark>
7.11	Credit Card Policy	
7.12	Professional Membership/Endorsements/Licenses	<mark>61</mark>
7.13	Cell Phone Policy	<mark>61</mark>
7.14	Workplace Non-Violence Policy	<mark>64</mark>
7.15	Break Time for Nursing Mothers	<mark>65</mark>
<mark>Appe</mark>	<mark>ndix</mark>	
	rved Behavior Reasonable Suspicion Record	66
Offer	of Transitional Work	68
Emplo	oyee Acknowledgement of Receipt of Handbook	69

Notice of Disclaimer: At-Will Employment

Your employment with the City is on an "at-will" basis. This means your employment may be terminated, voluntarily or involuntarily, at any time, with or without notice or cause. Nothing in the employee handbook or any other City document should be understood as creating guaranteed or continued employment, a right to termination only "for cause," or of any other guarantee of continued benefits or employment. Only the hiring authority, the City Manager, has the authority to make promises or negotiate with regard to guaranteed or continued employment, and any such promises are only effective if placed in writing and signed by the hiring authority.

1.1 Welcome Statement, Applicability Statement, Disclaimer, and Employment Acknowledgment

Welcome to the City of Independence, Iowa. This handbook was written to describe and outline some of the City's policies, procedures, employment benefits, and other matters concerning your employment with the City. Some of the policies and benefits described in this handbook, such as the group health insurance plan, are covered in greater detail in official policy documents from the insurance carrier. You should refer to those documents for more information.

All City employees are covered by the policies in this Employee Handbook even if they do not qualify for benefits. For employees covered under a collective bargaining agreement, if this Employee Handbook conflicts with any provisions of the collective bargaining agreement, the collective bargaining agreement shall supersede the Employee Handbook.

1.2 General Employee Conduct

Public Relations

It is the responsibility of each employee when dealing with members of the public to act in a courteous, responsive, and prompt manner.

General Appearance

Employees are expected to maintain personal appearance and a level of personal hygiene and grooming that is considerate of other employees and projects an image which inspires the confidence of citizens and others with whom the employee must associate in the course of work. Employees are expected to begin each work day with a clean body and clean clothing. If a uniform is supplied by the City, the employee is required to wear the uniform.

2.1 Hiring and Orientation Policies

Equal Employment Opportunity/Non-Discrimination

The City is dedicated to equal employment and advancement opportunities. It is the City's policy to hire and promote individuals on the basis of their qualifications, interest and aptitude, and without unlawful regard to race, religion, creed, color, sex, gender, gender identity, sexual orientation, pregnancy, age, national origin, disability, genetic information, or any other characteristic protected by local, state or federal law. This policy applies to all terms, conditions, and privileges of employment, including but not limited to recruiting, hiring, training, transfers, promotions and benefits.

The City will not tolerate discrimination by or against any employee on the basis of race, religion, creed, color, sex, gender, gender identity, sexual orientation, pregnancy, age, national origin, disability, genetic information, or any other characteristic protected by law. Employees of the City shall be free to join or refrain from joining any employee union or association. The City will not tolerate retaliation against any employee who, in good faith, reports discrimination or in good faith participates in an investigation regarding discrimination.

If an employee believes that he or she is subject to discrimination or retaliation, the employee should use the complaint procedure outlined in the City's policy in this Section below.

Policy Against Workplace Harassment/Sexual Harassment

Harassment, retaliation, coercion, interference, or intimidation of any employee because of that employee's race, religion, creed, color, sex, gender, gender identity, sexual orientation, pregnancy, age, national origin, disability, genetic information, or any other characteristic protected by local, state, or federal law ("harassing conduct"), is illegal and is strictly forbidden. Harassing conduct in the workplace includes, but is not limited to: epithets, slurs, or negative stereotyping; threatening, intimidating or hostile acts or words; and written or printed material that denigrates or shows hostility toward an individual or group made or posted in the workplace or in the course of employment for the City. Such harassing conduct is a prohibited form of discrimination under state and federal employment laws and is also considered misconduct subject to disciplinary action. The City will not tolerate harassment against any employee. The City will not tolerate retaliation against any employee who, in good faith, reports discrimination or in good faith participates in an investigation regarding discrimination.

If an employee believes that he or she is subject to harassment or retaliation, the employee should use the complaint procedure outlined in the City's policy in this Section, below.

This policy also includes sexual harassment, a form of harassment. Sexual harassment is illegal discrimination on the basis of sex. It can consist of unwelcome sexual advances, requests for sexual favors, or other physical or verbal conduct of a sexual or harassing nature by supervisors, managers, co-workers, or others in the workplace. Sexual harassment exists when:

- 1. Submission to such conduct is made either explicitly or implicitly a term or condition of the employee's employment;
- 2. Submission to or rejection of the conduct is used as the basis for decisions affecting the employee's employment; or
- 3. The conduct has the purpose or effect of creating an intimidating, hostile, or offensive working environment.

Sexual harassment may consist of a variety of behaviors, including, but not limited to the following examples:

- 1. Verbal conduct such as sexual innuendo, suggestive comments, jokes of a sexual nature, sexual propositions, or threats;
- 2. Nonverbal or visual materials such as derogatory posters, photography, graffiti, cartoons, drawings, or gestures;
- 3. Physical conduct such as unwelcome touching, hugging, kissing, coerced sexual contact, or assault;
- 4. Threats or demands to submit to sexual requests in order to keep the employee's job or receive some job-related benefit; or
- 5. Retaliation for reporting or threatening to report harassment.

The City is committed to maintaining a workplace that is free of any such harassment, sexual or otherwise, and will not tolerate discrimination against employees, customers or guests. Harassment is prohibited under local, state and federal employment laws and is also considered misconduct subject to disciplinary action, up to and including termination. Immediate action will be taken to resolve complaints about discrimination and harassment. See the complaint procedure in this Section below.

Retaliation

Employees who make good faith claims of discrimination or harassment shall not be subjected to retaliation. Witnesses who, in good faith, participate in any investigation regarding discrimination or harassment, shall not be subjected to retaliation. Retaliation is punishing an employee by demoting them, terminating them, or changing their work conditions in a material way. The City shall not tolerate retaliation. Claims of retaliation should be brought to the attention of the employee's department head and/or the City Manager pursuant to the Complaint procedures below. If an employee reports retaliation to his or her supervisor, the supervisor shall immediately notify the City Manager.

Investigation of a complaint normally will include interviewing the parties involved and any named or apparent witnesses. All employees are expected to cooperate with an investigation. All employees shall be protected from coercion, intimidation, retaliation, interference, or discrimination for filing a complaint under this policy, participating in an investigation, or filing a complaint with a state or federal agency.

Any employee determined after investigation to have retaliated against another employee will be subject to appropriate disciplinary procedures depending upon the severity of the behavior, up to and including termination.

Complaint Procedure for Claims of Discrimination, Harassment, and Retaliation

Any employee who feels he or she is being subjected to unlawful discrimination, harassment and/or retaliation should immediately contact one of the persons listed

below with whom the employee feels the most comfortable. Complaints may be made orally or in writing to:

- 1. Employee's Supervisor
- 2. City Manager
- 3. Mayor

The employee should be prepared to provide the following information:

- 1. Employee's name, department and position title.
- 2. Name of the person or persons committing the unlawful conduct.
- 3. Date(s) and approximate time(s) of the unlawful conduct.
- 4. The specific nature of the unlawful conduct, how long it has gone on, and any employment action (demotion, failure to promote, termination, refusal to hire, transfer, etc.) taken against the employee as a result of the harassment, or any other threats made against him/her as a result of the harassment.
- 5. Witnesses to the unlawful conduct, if any.
- 6. Whether the employee has previously reported such unlawful conduct and, if so, when and to whom.

After receiving a complaint about unlawful discrimination, harassment and/or retaliation, the person receiving the complaint shall document the complaint in writing. The employee shall sign the written complaint attesting to the accuracy and truthfulness of the incident. All information disclosed in the complaint procedure will be held and will be disclosed only on a need-to-know basis in order to investigate and resolve the matter.

Investigation of Claims of Discrimination

It is the City Manager's responsibility to coordinate the investigation of unlawful discrimination, harassment and/or retaliation complaints. If the City Manager is the subject of the complaint, the Mayor shall coordinate the investigation. The following procedures shall apply to the investigation of such complaints:

- 1. The person to whom the complaint is made shall immediately present it in writing to the City Manager;
- 2. The City Manager shall name an impartial investigator who shall promptly begin the investigation;
- 3. The investigator shall meet with the complainant and the respondent, as well as any witnesses who may assist in the investigation;
- 4. The investigator shall notify the respondent of the allegations against them unless immediate notification would jeopardize the investigation or result in a safety concern:
- 5. The respondent shall be given appropriate opportunity to refute the allegation and present information and/or witnesses on their behalf.

- 6. The investigator shall make and keep a written record of the investigation, including notes of verbal responses made to the investigator by all persons interviewed about the unlawful conduct;
- 7. Based upon the investigative report, the City Manager shall determine whether the respondent's conduct constituted unlawful discrimination, harassment and/or retaliation. In making that determination, the City Manager shall look at the record as a whole and the totality of circumstances, including the nature of the conduct in question, the context in which the conduct, if any, occurred. The City Manager shall use the preponderance of the evidence standard in determining whether the complaint about the unlawful conduct is substantiated or not substantiated;
- 8. If the City Manager determines the complaint is substantiated, he or she shall determine the appropriate disciplinary measures depending upon the nature and severity of the behavior, up to and including termination of employment. The City Manager shall take appropriate measures intended to not only discipline the offender, but which are reasonably calculated to prevent further discrimination, harassment or retaliation in the future.
- 9. This determination shall include whether a supervisory relationship exists and any other factors the City Manager believes relate to fair and efficient administration of the City, including the effect of the offense on employee morale, public perception of the offense, and the light in which it casts the City. Upon the conclusion of the investigation, the City Manager shall notify the complainant and respondent of the determination (substantiated or not substantiated.) If any disciplinary measures are implemented, they are confidential personnel matters which shall not be disclosed to any employees. The City Manager shall notify the complainant and respondent that retaliation will not be tolerated and that if the complainant experiences retaliatory conduct, he or she should report it to the City Manager or their supervisor.
- 10. Upon the conclusion of the investigation, the City Manager shall notify the witnesses that the matter has concluded, and that if they experience retaliatory conduct to promptly report it to the City Manager or their supervisor.
- 11. If the City Manager determines after reviewing the investigation report that the complainant did not make the complaint in good faith or otherwise falsified the complaint, the City Manager shall determine the appropriate disciplinary measures depending upon the nature and severity of the behavior, up to and including termination of employment.

Disability Accommodation

If an applicant or employee has or believes he or she has a disability as defined by the Americans with Disabilities Act as Amended (ADAAA), and the disability requires a reasonable accommodation for the applicant or employee to perform his or her essential job functions, the applicant or employee should notify the City with that information. In compliance with the ADAAA, the City will engage in the interactive process with qualified applicants or employees with disabilities to determine if a reasonable accommodation

exists that will allow the applicant/employee to perform his or her essential job functions. The City encourages applicants or employees to raise any issues implicating the ADAAA with his or her immediate Supervisor or the City Manager. All information regarding employees' disabilities and/or medical information shall be kept in a separate confidential medical file for the employee.

2.2 Orientation Period

The orientation period shall be regarded as an integral part of the examination process to observe the employee's work and to secure the most effective adjustment of the new employee to his or her position.

The initial orientation period shall extend for 180 days.

An employee must obtain an overall evaluation rating of satisfactory or better at the completion of the orientation period in order to obtain regular employment status.

2.3 Proof of Employability

To comply with the Immigration Reform and Control Act of 1986, the City requires all employees to present documented proof of identity and eligibility to work in the United States. As a condition of employment, each new employee must properly complete, sign, and date the first section of the Immigration and Naturalization Service Form I-9. Before commencing work, newly re-hired employees must also complete the form if the employee has not previously filed an I-9 with the City, or if their previous I-9 is more than three years old or is no longer valid. At the time the form is completed, employees must show the original copies of two forms of legal identification such as driver's license, Social Security card, Birth Certificate, or an Immigration and Naturalization "green" card.

2.4 Physical Examinations

The City may require an applicant to submit to a job-related physical examination by a physician designated by the employer and at the employer's expense, or the prospective employee's physician at the prospective employee's expense if the employee requests to see his or her own physician. The physical examination shall occur after the applicant has been offered a position, but before the applicant starts work if the physical examination is required of all entering employees in the classification. The results of the examination will be kept in a confidential separate medical file.

Additionally, the City may require that an employee be examined by a qualified and licensed physician or other appropriate medical professional selected by the City if there is any question concerning an employee's fitness for duty or fitness to return to duty following a layoff or leave of absence. Following the examination, the employee shall provide a written statement from the physician indicating that the employee is capable

of performing the essential functions of the employee's job with or without reasonable accommodations or is capable of performing the essential functions of another job, which is open/available and for which the employee is qualified, with or without reasonable accommodations.

2.5 Employment of Relatives

It is the City's policy to hire the best-qualified person available for each position. Relatives of current employees are eligible for employment with the City subject to limitations of state law governing the employment of relatives of public officials and employees and the terms of this policy. To avoid the appearance of favoritism and difficulties in administering discipline, the City will not hire, appoint, transfer, promote, or otherwise place an individual in a position that involves the direct supervision of or by a family member. For purposes of this policy, "family member" includes the individual's spouse, child, step-child, foster child, mother, father, sister, brother, father-in-law, mother-in-law, sister-in-law, brother-in-law, step-mother, step-father, foster parent, step-sister, step-brother, grandparent, grandchild, great-grandchild, niece, nephew, aunt, uncle, great aunt, or great uncle.

If a supervisory relationship between family members is created by the marriage of two employees, the two employees will be given the option of deciding who will transfer, if possible, or who will terminate employment. If the decision cannot be made by the two employees in a timely manner, length of service in the department will be the deciding factor and the least senior employee will be transferred if possible. Otherwise, the employment of the least senior employee will be terminated.

This policy applies to all City departments and all categories of employment, including full-time, part-time, and temporary classifications.

2.6 VACANT

2.7 Employee Access to Personnel Files

Personnel files are property of the City. The City Clerk, or his or her designee, shall maintain personnel files in a secure location on each employee. These files contain job-related information including performance appraisals, disciplinary records, and some beneficiary designations. Any information contained in the files will be released only as required by law, if the employee makes a written request for the City to release the information, or to a Councilmember, City Manager, or Department Head in accordance with this policy. The City will release information relating to dates of employment, job description, salary, and such other information as may be required pursuant to lowa Code Chapter 22. The City will release any information relating to the results of federally required drug tests in accordance with the applicable law.

To assure that City records are current, employees should notify their department head whenever there are any changes in the employee's home address, telephone number, marital status, emergency contact, beneficiary designations, and number of dependents. As stated in Section 91B.1 of the Iowa Code, an employee shall have access to and shall be permitted to obtain a copy of the employee's personnel file maintained by the City, including but not limited to performance evaluations, disciplinary records, and other information concerning employer-employee relations.

However, an employee's access to a personnel file is subject to all of the following:

- 1. The City Manager and employee shall agree on the time the employee may have access to the employee's personnel file and a representative of the City may be present.
- 2. An employee shall not have access to employment references written for the employee.
- 3. The City may charge a reasonable fee for each page of a copy made for an employee of an item in the employee's personnel file. For purposes of this subsection, "reasonable fee" means an amount equivalent to an amount charged per page for copies made by a commercial copying business.

In the event the City Manager is unavailable to respond to a request for access to personnel files and such absence is reasonably anticipated to exist for 48 hours or more the City Clerk shall, consistent with the terms of this policy, have authority to take action in the place of the City Manager. In the event the City Clerk shall take action in the place of the City Manager pursuant to the terms of this policy, the City Clerk shall take the following additional actions:

- 1. The City Clerk shall file with the Mayor and City Council written notice of the date and time that action has been taken in the place of the City Manager. Such notice shall state the circumstance of the absence of the City Manager.
- The City Clerk shall prepare a summary report to the City Manager indicating who requested access to the personnel files and what files were provided to the request.

Department Heads may obtain information from the personnel files only on employees within their respective departments. The City Council may request personnel records be provided for review by the entire Council without the consent of the employee when it is a business necessity and for legitimate employment-related reasons. Care shall be taken to protect the privacy rights of all persons mentioned in the records at any meeting, whether closed or public. Any Councilmember or Department Head seeking to review a confidential personnel record of a City employee shall provide reasonable prior written notice of the request to the City Manager. Upon providing such notice to the City Manager, the Councilmember or Department Head shall be permitted, during normal business hours, to review the confidential personnel records of the City employee listed on the written request. The City Manager may be present during the review of personnel

records and shall inventory the file prior to any authorized review of the file. Confidential personnel records or any portion of confidential personnel records shall not be removed from City Hall. Copies of confidential personnel records shall not be made by anyone, except for an employee obtaining information from his or her own file, or except for use by the City in a matter involving the employee. A record of the time and date of the authorized review of the confidential personnel file shall be maintained by the City Manager.

2.8 References

The City will release only non-confidential public information as defined by Iowa Code Section 22.7. The City will release any information relating to the results of federally required drug tests in accordance with the applicable law.

2.9 Job Posting

Whenever a position becomes available, a notice of such opening will be posted at each Department's Employee Bulletin Board for at least ten (10) days before the deadline for submitting applications for the position. Notice shall also be posted externally including, but not limited to, Iowa Workforce Development. The notice will contain the position title, a brief job description, and minimum hiring specifications.

Application forms are available from City Hall and shall be submitted to the appropriate individual outlined in the job posting. Applicants, including current employees, shall be considered on the basis of ability, performance, experience, training, aptitude, length of service, veteran status, and other job-related qualifications.

2.10 Termination of Employment

An employee shall provide written notice to the City Manager or Mayor at least two (2) weeks before the effective date of an employee's resignation/retirement. Employees giving notice of a resignation/retirement may be granted vacation leave during his or her final two (2) weeks of employment at the discretion of the Department Head/City Manager/Library Board. An employee who fails to give two weeks' notice of retirement/resignation shall not be permitted to use his or her vacation leave during the final two-week period. An employee who utilizes sick leave during his or her final two (2) weeks of employment shall be required to provide satisfactory proof of illness, which may include a physician's statement or other evidence.

Employment records will reflect the last day worked as the date of termination regardless of the amount of notice approved. The employee will receive pay through the last day worked. Employees shall report to City Hall during the final two weeks for exit processing, benefit plan continuation, or other related items. City property issued to an employee must be returned at the time the employee terminates employment.

If an employee resigns or is otherwise terminated after completing one year of service and has given his or her two (2) week notice, he or she will be paid for unused, earned vacation.

Employer paid health, dental, and life insurance coverage shall terminate the last day of the month worked. When applicable, the option to continue health insurance coverage under C.O.B.R.A. shall be offered.

2.11 Performance Reviews

All full time employees and permanent part-time employees shall receive, at least once per year, a performance review which will objectively assess their performance and accomplishments relative to the job description. Performance reviews for each employee shall be completed by the Department Head. Annual reviews may occur either by the employee's anniversary date or in January. The timing of the reviews is at the discretion of the Department Head.

Standardized forms will be used to record all formal performance reviews. These records will be used to help determine potential salary increases and other personnel actions.

All employees must be given the opportunity to review and make copies of performance reviews. Employees are encouraged to include written comments on the review, if appropriate. Employees who disagree with reviews are encouraged to discuss areas of disagreement with their Department Head. Employees must sign and date their performance review after all comments have been noted.

Performance reviews become a permanent part of the employee's personnel file. Performance reviews shall be confidential and may only be released to a third party with the prior, written approval of the employee.

2.12 Inclement Weather

In the event of inclement weather, employees who choose not to report to work shall be required to provide notice to their immediate supervisor prior to the start of their scheduled shift and shall be required to use vacation, compensatory, or casual leave for work missed. If the employee has no vacation, compensatory, or casual leave available, the time off shall be taken as unpaid leave.

3.1 Definition of Employee Status

<u>"Full-time employee"</u> means an employee hired to work the City's normal full-time forty (40) hour work week on a regular basis. Such employees may be exempt or nonexempt under the Fair Labor Standards Act (FLSA) as described below.

<u>"Permanent Part-time employee"</u> means an employee hired to work fewer than thirty (30) hours per week on a regular basis. Such employees may be exempt or nonexempt under the Fair Labor Standards Act (FLSA) as defined below. Part-time employees are not eligible for any benefits described in this handbook, unless otherwise indicated in this handbook or required by law.¹

"Seasonal Part-time employee" means an employee hired to work fewer than thirty (30) hours per week on a temporary basis who continues to work for the City at the completion of a specific assignment on a part-time basis. Such employees may be exempt or nonexempt under the Fair Labor Standards Act (FLSA) as defined below. Part-time employees are not eligible for any benefits described in this handbook, unless otherwise indicated in this handbook or required by law.²

<u>"Temporary or seasonal employee"</u> means an employee engaged to work full-time or part-time on the City payroll with the understanding that his or her employment will be terminated no later than upon completion of a specific assignment. Temporary or seasonal employees are not eligible for any benefits described in this handbook, unless otherwise indicated in this handbook or required by law.

¹ The City does not employ any employees who are regularly scheduled to work between 31 and 39 hours in a week, however, the City reserves the right to assign any employee to work those hours on occasion when operational needs require.

² The City does not employ any employees who are regularly scheduled to work between 31 and 39 hours in a week, however, the City reserves the right to assign any employee to work those hours on occasion when operational needs require.

<u>"Exempt employee"</u> means an employee who is not eligible to receive overtime in accordance with the Fair Labor Standards Act (FLSA) for work performed beyond forty (40) hours in a work week.

<u>"Nonexempt employee"</u> means an employee who is required to be paid at the rate of time and one-half (1.5) his or her regular rate for all hours worked beyond forty (40) hours in a work week in accordance with the FLSA.

3.2 Hours of Work

Work Hours

Full-time nonexempt employees normally work forty (40) hours per week. Full-time nonexempt employees normally receive an unpaid meal period during the middle of the workday.

When a Public Works employee works four (4) hours beyond the normal workday, the employee shall be entitled to a one-half (1/2) hour lunch break, which shall be taken during the additional four (4) hours work, and which one-half (1/2) hour shall be paid by the City at the employee's overtime rate, however, the employee shall bear the cost of his or her meal.

Daily and weekly work schedules are set by Department Heads and should generally follow the employee's job description. The employees daily and weekly work schedules may be changed at the discretion of the City to meet varying conditions and workload. Changes in work schedules will be announced as far in advance as possible.

Recording Work Hours

Employees must accurately record his or her hours worked for each pay period on a form or platform provided by the City. This form must be given to the appropriate Department Head or Supervisor who will submit it to City Hall at the end of each pay period. If an employee fails to record or submit his or her time, the employee shall be subject to discipline up to and including termination.

<u>Payday</u>

The work week for payroll purposes shall begin at 12:00 A.M. (midnight) on Sunday and end at 11:59 P.M. on the following Saturday or when the shift for that Saturday ends if after 11:59 p.m.

Employees are normally paid by the beginning of business hours every other Friday. If a regular payday falls on a holiday, employees will be paid on the first business day prior to the holiday.

All required deductions, including those for state and federal taxes and all authorized voluntary deductions, including health insurance contributions, will be automatically withheld from an employee's paycheck.

All nonexempt employees (See Definition of Employee Status), will receive compensation for approved overtime worked at the rate of time and one-half (1.5) for each hour worked over forty (40) hours in the work week. The fire department will receive compensation for approved overtime worked at the rate of time and one-half (1.5) for each hour worked over one hundred six (106) hours in a two-week period. The employee will need to note on his or her time sheet if he or she wants overtime pay or compensatory time.

Paid leaves, holidays, or any other paid non-work time shall not be counted as work time for purposes of determining overtime.

All overtime must be approved by the appropriate Department Head/Supervisor and/or the City Manager, except in emergency situations. If an employee works overtime in a non-emergency situation without authorization, he or she may be disciplined up to and including termination.

Call Out

Full-time nonexempt employees, excluding employees of the fire department, who return to work outside of his or her scheduled shift shall be paid a minimum of two hours at the rate of time and one-half (1.5), except on Sundays in which it shall be double (2) time. The employee will need to note on his or her timesheet if he or she wants overtime pay or compensatory time. However, if an employee is on site and asked to begin work up to one half hour early, the two-hour minimum shall not apply. A weekend begins for all employees outside of the regularly scheduled hours. While work outside of an employee's scheduled shift is not overtime until the employee meets the overtime hours threshold outlined above, the employee will be entitled to take compensatory time for any work outside of the employee's scheduled shift at the same rate he or she is entitled to receive as pay for said work. Once the employee has met the overtime hours threshold outlined above, the regular overtime rules apply.

Compensatory time may be accumulated to a maximum of forty (40) hours for full-time nonexempt employees. Compensatory time for full-time fire department employees may accumulated to a maximum of forty-eight (48) hours. Hours worked in excess of these maximum will be paid.

An employee shall be permitted to use compensatory time at his or her discretion as such use does not unduly disrupt the operations of the City as long as the increments chosen meets with departmental approval.

Payment for accrued compensatory time upon termination of employment shall be calculated at the final regular rate of pay received by the employee.

3.3 Longevity Pay

Full-time employees shall be paid longevity on the basis of the following chart after completion of 4 years of service. This longevity pay is in addition to the employee's regular wages and will be effective and paid commencing with the first pay period following entitlement based upon the full-time employee's individual anniversary date of full-time employment. Longevity rates shall be applied as follows and will be paid biweekly. (Monthly amount multiplied by twelve. That amount is then divided by the number of pay periods for the year. This amount is what will be paid bi-weekly.)

On Date of Anniversary	Monthly Amount		
4 Years	\$25.00		
8 Years	\$40.00		
12 Years	\$55.00		
16 Years	\$70.00		
20 Years	\$85.00		
24 Years	\$100.00		
28 Years	\$115.00		
32 Years	\$130.00		

4.1 Holidays

Holidays Observed

The City, with the exception of library employees, will observe the following holiday schedule:

New Year's Day	8 Hours	Veterans' Day	8 Hours
Presidents' Day	8 Hours	Thanksgiving Day	8 Hours
Good Friday	8 Hours	Friday after Thanksgiving Day	8 Hours
Memorial Day	8 Hours	Christmas Eve Day	8 Hours
Fourth of July	8 Hours	Christmas Day	8 Hours
Labor Day	8 Hours	One-half day, December 31	4 Hours

<u>Library</u> – Library employees will observe the following holiday schedule:

New Year's Day	8 Hours	Veterans' Day	8 Hours
Presidents' Day	8 Hours	Thanksgiving Day	8 Hours
Good Friday	8 Hours	Friday after Thanksgiving Day	8 Hours
Memorial Day	8 Hours	Christmas Eve Day	8 Hours
Fourth of July	8 Hours	Christmas Day	8 Hours
Labor Day	8 Hours	One-half day, December 31	4 Hours

Full-time library staff will receive 1 days (8 hours) of floating holiday.

Pay for Holidays Not Worked

Full-time employees who do not work on a holiday listed above will receive "holiday pay" computed at one time his or her hourly wage rate. "Holiday pay" is the amount a full-

time employee would have received for working on the holiday, not an amount in addition to regular pay.

Pay for Holidays Worked

Depending on the services provided by an employee's department, he or she may be required to work on a holiday. Full-time employees who work on a holiday, except for the floating holiday, will receive double (2) time the employee's hourly wage rate for hours worked on the holiday and holiday pay.

Part-time employees who work on a holiday will receive straight time for the hours worked and no holiday pay.

Holidays falling on a Saturday or Sunday

Holidays falling on a Saturday will be observed on the preceding Friday. Holidays falling on a Sunday will be observed on the following Monday. However, employees whose normal schedule includes weekends will observe the actual holiday.

Eligibility for Holiday Pay

To be eligible for holiday pay, he or she must work his or her regularly scheduled hours or be on approved paid leave (including FMLA leave running concurrent with another paid leave), the workday preceding and the workday following the holiday.

Excused Absence Before or After Holiday

If an employee is absent due to jury service on the day before or after a holiday, you must present proof of jury service satisfactory to the City in order to be eligible for holiday pay.

Holidays During Paid Leave

If a holiday falls during your vacation or any period of approved paid leave, it will be counted as a holiday and will not be deducted from his or her accumulated vacation or other accumulated paid leave.

Holidays During Unpaid Leave

Employees on an unpaid leave of absence at the time a holiday occurs will not be paid for the holiday.

Fire Department

Full-time nonexempt firefighters will receive four (4) floating holidays (4 x 24=96 Hours) as they are required to work on the following listed holidays:

New Year's Day Veterans' Day Presidents' Day Thanksgiving Day

Good Friday Friday after Thanksgiving

Memorial Day Christmas Eve Day Fourth of July Christmas Day

Labor Day One-half day, December 31

Easter Sunday

He or she will be paid double time for hours worked if he or she works the actual holiday listed. It will be his or her choice if he or she is paid out or if he or she will bank half of his or her time worked (example: work 16 hours – paid for 16 hours and 16 hours is put in compensatory time or 16 hours double time the hourly rate). The employee will need to mark this on his or her timesheet.

All Holidays and floating holidays, for all departments are given by calendar year and must be used in a calendar year.

4.2 Vacation

The City of Independence values our staff and their well-being. To that end, we require that every employee takes a minimum of one week of vacation per year (40 hours) to prevent burnout. This time away does not need to be consecutive.

Accrual Rates

Vacation leave shall be earned and accrued from the most recent day of employment per the charts below. All requests for vacation leave are subject to supervisor approval. Employees will accrue vacation leave during their first six (6) months of employment; however, they are not permitted to use any during this time. Subsequently, if the employee terminates his or her employment with the City before the completion of the first six (6) months of employment, he or she will not be paid for any accrued vacation leave.

Full-time employees (Non-Union) shall accrue annual leave in the following manner:

Per Pay Period (26 Pay Periods Per Year)	Per Year
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Years of Service	Hours	Days	Hours	Days
1st – 4th Year (Group 1)*	3.08	0.385	80.08	10.01
5th – 9th Year (Group 2)	4.00	0.500	104.00	13.000
10th – 14th Year (Group 3)	4.62	0.5775	120.12	15.015
15th – 19th Year (Group 4)	5.54	0.6925	144.04	18.005
20th – 24th Year (Group 5)	6.16	0.77	160.16	20.02
25th Year & Beyond (Group 6)	7.70	0.9625	200.20	25.025

^{*} Denotes that during the first 6 months of employment, vacation will be accrued, but none may be used by the employee.

Full-time firefighter employees (Non-Union) shall accrue annual leave in the following manner:

	Per Pay Period (26 Pay Periods Per Year)		Per Year	
Years of Service	Hours	Days	Hours	Days
1st – 4th Year (Group 1)*	4.62	0.19250	120.12	5.00500
5th – 9th Year (Group 2)	5.54	0.23083	144.04	6.00166
10th – 14th Year (Group 3)	6.47	0.26958	168.22	7.00917
15th – 19th Year (Group 4)	7.39	0.30792	192.14	8.00583
20th – 24th Year (Group 5)	9.24	0.38500	240.24	10.01000
25th Year & Beyond (Group 6)	11.08	0.46167	288.08	12.00333

^{*} Denotes that during the first 6 months of employment, vacation will be accrued, but none may be used by the employee.

Union Employees shall accrue vacation according to their collective bargaining agreement.

For purposes of this policy, "length of continuous service" shall mean an employee's length of continuous service with the City of Independence since the employee's most recent full-time date of hire.

Scheduling Vacation

Vacations may be taken as weekly periods or as individual days, as long as the increments chosen meet with the supervisor's approval. Vacation requests for five (5) days or more must be

communicated to the Department Head and/or City Manager at least forty-eight (48) hours before the start of the vacation period. Vacation requests for less than five (5) days must be communicated to the Department Head and/or City Manager as soon as possible. Vacation preferences are subject to staffing requirements as determined by the City. All vacation requests may be denied by the Department Head and/or City Manager based on workload demands or other work-related reasons.

Carryover

Carryover from the prior calendar year shall be limited to the maximum accrual amount that the employee is eligible for each year – based on the groups identified in the accrual charts above, minus the required vacation use outlined at the beginning of Section 4.2. For example, a Full-Time employee currently in Group 3 would be eligible to carry over 80.12 hours. A Full-Time Firefighter currently in Group 3 would be eligible to carry over 128.22 hours. If extenuating circumstances exist, the City Manager may allow for more carryover, but the employee must make an effort to utilize any excess vacation carried over during the first three months of the following year.

Vacation Pay Upon Termination

If employment with the City is terminated, either voluntarily or involuntarily, for any reason during the first six months of employment, no vacation is due upon termination. If employment is terminated for any reason after the first six months of service, the employee will be paid for any unused earned vacation at their current pay rate.

Accrual of Vacation During Unpaid Leaves

Vacation will not accrue during unpaid leaves of absence of thirty (30) calendar days or longer.

4.3 Casual Days

Eligibility

Full-time employees who have completed their Orientation Period (180 days) and are actively employed are eligible for two (2) paid casual days (16 hours) per anniversary year. Full-time nonexempt firefighters will receive two (2) paid casual days (48 hours) per anniversary year.

Scheduling Casual Days

Requests for casual days are subject to staffing requirements as determined by the City as long as the increments chosen meets with departmental approval. All casual day

requests may be denied by the immediate Department Head/Supervisor and/or City Manager based upon workload demands or other work-related reasons.

Carryover

All casual days must be taken during the employee's anniversary year. There is no carryover of unused casual days from one year to the next. If you do not use your casual days for the year, they are forfeited and unpaid.

Pay Upon Termination

If employment with the City is terminated for any reason, either voluntary or involuntary, after the Orientation Period, the employee shall not be compensated for any accrued, unused casual days.

4.4 Family and Medical Leave of Absence Policy

It is the City's policy to provide unpaid family and medical leave in accordance with the federal Family and Medical Leave Act (FMLA). This policy provides general information about which employers are covered by the FMLA, when employees are eligible and entitled to take FMLA leave, and what rules apply when employees take FMLA leave. Whether a particular situation is covered by the FMLA depends on whether the law's requirements have been met, not on whether an employee actually requests FMLA leave. The City will designate leave as FMLA leave if the employee is eligible for FMLA leave and if the law's other requirements are satisfied, even if the employee has not requested FMLA leave. If it is found that any provision of this policy conflicts with state or federal law, including federal FMLA law, the law shall supersede this policy.

General Provisions

For purposes of this policy:

- "Child" means son or daughter under 18 years of age, or a child 18 years of age or older who is incapable of self-care because of a mental or physical disability. An employee's child is one for whom the employee has actual daily responsibility for care and includes a biological, adopted, foster or step-child.
- "Parent" does not include parents-in-law.
- "Serious health condition" means an illness, injury, impairment or physical or mental condition that involves:
 - 1. an overnight stay in a hospital, hospice, or residential medical care facility;
 - a period of incapacity that requires absence from work for more than three consecutive calendar days AND involves either two or more treatments by a healthcare provider, or at least one treatment by a healthcare provider plus a regimen of continuing treatment;

- 3. any period of incapacity due to pregnancy or for prenatal care;
- 4. a chronic serious health condition that results in periods of incapacity and sometimes requires treatment;
- 5. permanent or long-term conditions which require medical supervision; or
- 6. multiple treatments and recovery therefrom.
- "Spouse" means a husband or wife in a marriage or in a common law marriage. Spouse does not include an unmarried domestic partner.
- The "12-month period" during which the leave entitlement occurs is designated as the 12-month period measured forward from the first date of the leave.

Married employees:

If an employee and his or her spouse are both employed by the City, and are both eligible for family and medical leave, the employee and his or her spouse will be limited to a combined total of twelve weeks of family and medical leave a year taken for any one or all of the following reasons: birth of a child or to care for the child after birth; placement of a child with the employee for adoption or foster care, or to care for the child after placement; or to care for the employee's parent with a serious health condition. This limitation does not apply in cases of leave to care for the serious health condition of an employee's spouse or child, or because of an employee's own serious health condition.

Qualifying Uses for FMLA:

Eligible employees may use FMLA for one or more of the following reasons:

- 1. Birth of a child, to care for a newborn child, and/or placement of a child with the employee for adoption or foster care.
- 2. To care for an immediate family member (spouse, child, or parent) with a serious health condition.
- 3. To care for their own serious health condition (such a condition makes the employee unable to perform essential job functions).
- 4. Qualifying Exigency Leave: Eligible employees may take up to 12 weeks of FMLA leave to handle exigencies related to a family member's active duty military service or call to active duty, which means leave to deal with child care, financial or legal arrangements due to deployment, leave to address issues arising from the death of his or her covered service member, or leave to spend time with a covered service member who is on short-term temporary rest and recuperation leave during deployment, among other things; and
- 5. Covered Service Member Family Leave: Eligible employees may take up to 26 weeks of FMLA leave to care for a spouse, son, daughter, parent or next of kin who has a serious injury or illness incurred in the line of active duty in the United States Armed Forces.

Eligibility Requirements:

To be eligible for family and medical leave, you must have (1) worked for the City for at least twelve (12) months, (2) worked for at least 1,250 hours during the twelve (12) months immediately preceding the start of the leave; and (3) worked at a location where the City has at least fifty (50) employees within seventy-five (75) miles. Only eligible employees are entitled to take FMLA leave.

How and When Leave May Be Taken:

Family and medical leave is taken either in consecutive workweeks; intermittently in separate blocks of time; or by reducing the number of days you work per week, or hours per day.

Duration of FMLA: Eligible employees may receive up to twelve (12) weeks of FMLA within a rolling twelve-month period, measuring backward from the date leave is requested. Spouses working for the City are entitled to a combined twelve weeks in a twelve-month period, unless the leave is for a serious health condition of either spouse. FMLA for the birth or placement of a child for adoption or foster care must be concluded within twelve months of the birth or placement of the child.

Intermittent/Reduced Schedule Leave: Intermittent or reduced schedule leave may be taken when medically necessary to care for your spouse, child, or parent with a serious health condition, or because of your own serious health condition. Intermittent or reduced schedule leave may be taken in as small as one-quarter hour increments. You must provide the City with medical certification of the need for intermittent or reduced schedule leave and must attempt to schedule your intermittent or reduced schedule leave so as not to disrupt City operations. You may be transferred temporarily to an alternative position or schedule, with equivalent pay and benefits to the employee's current position, which better accommodates the intermittent leave or reduced schedule.

Notice Requirements:

If an employee's leave is foreseeable, the employee must notify the City Clerk Staff at least thirty (30) days in advance in writing using the proper form to request family medical leave. If circumstances require that the leave begin in less than 30 days, you must notify the City Clerk Staff as soon as is practicable.

Any leave for a serious health condition, whether for the employee or for the employee's child, spouse, parent, or covered service member, will require medical certification. Medical certification forms are available from the City Clerk Staff. Certification will include the date of onset, the probable duration, type of treatment, and other appropriate medical facts concerning the condition. If you are seeking leave for your own health condition, the certification must also state that you are unable to perform the functions of your position. For leave to care for a family member, the certification must state that you are needed to care for the family member, and an estimate of the amount of time

you will be needing. Other certification requirements apply in the case of intermittent or reduced schedule leave. The City may request a second or third opinion of a medical certification at the City's expense. Periodic re-certification at the company's expense may also be required. Medical certifications, if requested, must be provided within fifteen (15) calendar days of the request, unless special permission is received from the City Clerk Staff.

Employees will be required to periodically check in with the City Manager or his or her designee during their leave to keep the City apprised of their status and intent to return to work.

Confidentiality regarding an employee's request for FMLA will be maintained except for return-to-work information or required information to ensure safety. FMLA files are considered medical records and will be kept separate from the personnel file. Certification will include the date of onset, the probable duration, type of treatment and other appropriate medical facts concerning the condition. If an employee is seeking leave for his or her own health condition, the certification must also state that the employee is unable to perform the essential functions of his or her position. For leave to care for a family member, the certification must state that the employee is needed to care for the family member, and an estimate of the amount of leave time the employee will need. Other certification requirements apply in the case of intermittent or reduced schedule leave.

The City reserves the right to require an employee to provide recertification for the need for leave, depending on the amount of leave required.

Fitness for duty medical certification may also be required when an employee is returning to work after leave for his or her own serious health condition.

The City reserves the right to require a copy of the covered service member's active duty orders or other documentation issued by the military which indicates the service member is on active duty or called to active duty status and the dates of the covered service member's active duty service. This information need only be provided to the City once.

Use of Paid Leave (as amended February 11, 2019):

The City requires employees to use paid leave concurrently with their family and medical leave as follows: An employee must use any accrued but unused sick leave, vacation, and personal days for as much of the portion of the twelve-week FMLA leave as employee's accrued paid leave allows. When an employee has used all required paid leave, the balance of the FMLA leave will be without pay.

An employee may request to use his or her balance of compensatory time for a FMLA reason. If the City permits the compensatory time to be used, the absence which is paid

from the employee's accrued compensatory time account will not be counted against the employee's FMLA entitlement

Rights and Benefits during Leave:

Longevity, sick leave and vacation will accrue only during periods of paid leave. The City Manager may make an exception in writing to this section for a leave not exceeding ten workdays.

All benefits which an employee had accrued before taking leave will be retained after returning from an approved FMLA leave, if not depleted during the leave. While an employee is on family or medical leave, paid or unpaid, the City will maintain your group health insurance coverage at the same level and under the same conditions that coverage would have been provided had you continued working. You will be required to continue to pay your contribution to the premium on the same schedule as payments are made under COBRA. It is the employee's responsibility to make arrangements with the City to ensure that the employee's portion of the health insurance premium is paid. Loss of insurance coverage may occur if the employee is more than thirty days late with payment of the premium. If the City pays any of the employee's share of the premium while the employee is on leave the employee shall be required to reimburse the City. The City shall be authorized to withhold the amount of the insurance premium owed to the City from the employee's paycheck pursuant to lowa Code Chapter 91A.

If an employee does not return from FMLA, the City reserves the right, in its discretion, to recover the employer portion of premiums it has paid to maintain the employee's health coverage.

Return from FMLA: Employees using FMLA for their own serious health condition will be required to provide medical certification of release to full duty before they will be permitted to return to work. If the employee can perform the essential functions of his or her job, the employee will be restored to his or her former position, if that position is vacant, or one with equivalent pay, benefits and conditions of employment, provided the employee has complied with the requirements of this policy. If an employee's healthcare provider states that the employee may return to work, but that he or she has certain restrictions which limit the employee's ability to perform certain essential job functions, then such work restrictions shall be analyzed with respect to the essential functions in order to determine whether or not a reasonable accommodation is possible.

Exhaustion of FMLA: If an employee fails to return to work after exhaustion of his or her 12 weeks of FMLA, that staff person's employment may be terminated. If any employee informs the City that he or she does not intend to return after FMLA that will be considered that employee's resignation.

Other Provisions: Salaried executive, administrative, and professional employees of covered employers, who meet the Fair Labor Standards Act (FLSA) criteria for exemption

from minimum wage and overtime under the FLSA regulations, do not lose their FLSA-exempt status by using any unpaid FMLA leave. This special exemption to the "salary basis" requirements for FLSA's exemption extends only to an eligible employee's use of FMLA leave.

Dishonesty: Any deliberate misrepresentation resulting in the misuse of FMLA leave will subject employees to disciplinary action, up to and including termination.

Enforcement: It is unlawful for any employer to interfere with, restrain, or deny the exercise of or the attempt to exercise any right provided by the FMLA. It is also unlawful for an employer to discharge or discriminate against any individual for opposing any practice, or because of involvement in any proceeding, related to FMLA.

The Wage and Hour Division is responsible for administering and enforcing the FMLA for most employees. Most federal and certain congressional employees are also covered by the law but are subject to the jurisdiction of the U.S. Office of Personnel Management or Congress. If you believe that your rights under the FMLA have been violated, you may file a complaint with the Wage and Hour Division or file a private lawsuit against your employer in court.

For additional information, visit the Federal Wage and Hour Division Website: http://www.wagehour.dol.gov and/or call the toll-free information and helpline, available 8 a.m. to 5 p.m. in your time zone, 1-866-4-USWAGE (1-866-487-9243).

This publication is for general information and is not to be considered in the same light as official statements of position contained in the regulations.

4.5 Military Leave (USERRA)

The City will grant leaves of absence for military service to full-time and part-time regular employees with applicable state and federal laws. A Full-time or part-time regular employee who is a member of the uniformed services, when ordered by proper authority to serve in the uniformed services, shall be granted leave for the period of service. This leave shall be without loss of pay for the first thirty (30) calendar days of the leave. You are entitled to thirty (30) calendar days of paid leave for military service per calendar year. Any amount of military leave taken during any part of an employee's scheduled workday, regardless of the number of hours taken, shall count as one day toward the thirty (30) calendar days without loss of pay. Absences required for military service that exceed thirty (30) calendar days shall be granted in accordance with the City's policies on vacation, compensatory time, or unpaid leave, and with applicable state and federal law.

Your eligibility for re-employment with the City after you complete military service will be determined in accordance with applicable state and federal law. Conditions for reemployment are briefly explained as follows:

- You, or an appropriate officer of the uniformed service in which you serve, must give advance written or verbal notice of your service to your immediate supervisor, unless military necessity prevents you from giving notice or it is otherwise impossible or unreasonable;
- 2. The cumulative length of the absence and all previous absences from your employment with the City for reason of military service must not exceed five (5) years, except in certain instances as required by law;
- 3. Your discharge from military service must be honorable; and
- 4. When you return from military service, you must report to work or submit a timely application for re-employment according to the following schedule:

For service of less than 31 days you must report to work by the beginning of your first regularly scheduled work day that would fall eight hours after you return home, however you shall be permitted travel time and an eight-hour rest period. For service of 31 to 180 days you must apply for reemployment within 14 days after completing service. For service of 181 days or more you must apply for reemployment no later than 90 days after completing service.

Employees on leave for military service and any of their dependents entitled to coverage under the City's health insurance plan are entitled to coverage as follows:

- 1. An employee that leaves employment for less than 31 days is entitled to continued health insurance coverage and will not be required to pay more than what an active employee would pay for coverage.
- 2. An employee that leaves employment for more than 30 days is allowed to elect to receive continued coverage under the City's health insurance plan for up to 24 months following separation from employment or until the employee's reemployment rights expire, whichever event occurs first. The City may require the employee to pay up to 102% of the premium under this circumstance.

4.6 Bereavement Leave

Paid Leave

Full-time employees will be granted a leave with pay in the event of a death in the family. IPERS eligible employees will be granted a leave with pay in the event of a death in the family that reflects their scheduled work hours when on leave. The following guidelines apply:

- Up to five (5) working days (40 hours) in the event of the death of the employee's or spouses', spouse, father, mother, step-parent, child, step-child, brother, sister, grandchild, or grandparent.
- Up to one (1) working day (8 hours) in the event of the death of the employee's step-sister, step-brother, foster child, foster parent, niece, nephew, aunt or uncle or for any funeral in which the employee is serving as pallbearer (not honorary).

Fire Department

Full-time nonexempt employees will be granted a leave with pay in the event of a death in the family according to the following guidelines:

- Up to two (2) working days (48 hours) in the event of the death of the employee's
 or spouses', spouse, father, mother, step-parent, child, step-child, brother, sister,
 grandchild, or grandparent.
- Up to one (1) working day (24 hours) in the event of the death of the employee's, step-sister, step-brother, foster child, foster parent, niece, nephew, aunt, or uncle or for any funeral in which the employee is serving as pallbearer (not honorary).

Part-time employees will be granted a leave with pay in the event of a death in the family that reflects their scheduled work hours when on leave.

If a death in an employee's family occurs, the employee is asked to notify his or her Department Head/Supervisor and/or the City Manager as to the expected length of his or her absence. Funeral leave is not compensable when the employee is on approved leave of absence including but not limited to sick leave, vacation, compensatory time, or layoff.

Unpaid Leave

Any employee may request an unpaid leave to attend the funeral of a relative or friend, subject to the approval of the Department Head/Supervisor and/or City Manager.

4.7 Jury and Witness Duty

All employees required to report for jury duty during his or her regularly scheduled work hours shall receive a paid leave of absence for the time spent on jury duty.

Employees subpoenaed to appear as a witness in a civil or criminal proceeding in which that employee is not directly involved as a plaintiff or defendant shall be granted a leave without pay. Employees who must appear as a witness in a civil or criminal proceeding in which he or she is directly involved as a plaintiff or defendant may be eligible for an unpaid leave of absence under Section 4.9 of this handbook. Employees involved in legal action adverse to the City shall not receive witness duty pay.

Hours spent by any employee in any job-related legal proceeding at the direction of the City shall be considered to be work time. Prior approval to attend the job-related legal proceeding must be received from the City Manager or Mayor. If a disagreement arises between the employee and the City Manager or Mayor, the decision of the City Manager is final.

All employees summoned to jury duty will submit a copy of the summons to his or her Supervisor within two working days after receiving the summons. All employees on jury duty are expected to promptly return to work when released from service, either temporarily or permanently, if more than two (2) hours of his or her regularly scheduled work shift remains.

When leave for jury duty is paid leave, the City will continue an employee's regular salary, but he or she must submit certification of the number of hours spent in jury service and assign any compensation he or she receives in connection with such duty, less any reimbursement for travel or meal expenses, to the City.

4.8 Voting Leave

The City encourages all employees to fulfill his or her civic responsibilities and to vote in all official public elections. Generally, an employee's working hours are such that he or she will have ample time to cast his or her vote before or after working hours. However, if he or she does not have three consecutive nonworking hours between the opening and closing of polls in which to vote, he or she may submit a written request to his or her Department Head/Supervisor and/or City Manager as soon as possible before the election for paid time off of up to three hours to vote. An employee's supervisor will designate and notify him/her of the time he or she will be allowed to vote.

4.9 Unpaid Leaves of Absence

Unpaid leaves of absence may be granted in certain circumstances. If an employee has exhausted all vacation, sick, casual days and compensatory time, he or she may request an unpaid leave of absence. Approval of unpaid leave is at the discretion of the City except for bereavement leave. Generally, the City shall not allow an unpaid leave to be longer than 90 days as such a leave would cause the City an undue hardship, however, the City will review any requests for unpaid leave as a reasonable accommodation under the Americans with Disabilities Act as Amended on a case-by-case basis.

During an unpaid leave granted under this section, an employee does not receive compensation and does not accrue seniority or vacation (see Section 4.2). The City does not make contributions to retirement programs for the duration of the leave.

If an employee participates in the City's group health insurance program, the City will continue to pay its portion for the first week of unpaid leave in a calendar year. For unpaid leaves in excess of one week (5 working days) in a calendar year, if he or she is eligible to participate in the City's group health insurance program, he or she may continue to participate during an unpaid leave under this section by paying the full cost of the premium by the 25th of the month for the following month's coverage. Failure to pay the premium in a timely manner will result in termination of coverage.

An employee's coverage for the Life Insurance & Accidental Death & Dismemberment and Long-Term Disability will continue until the end of the following month in which the leave of absence began.

If an employee plans to return to work following an unpaid leave taken under this section, he or she must notify his or her Department Head/Supervisor and/or the City Manager before the end of his or her leave. The City will attempt to restore him/her to the position he or she held at the start of the leave, or in a comparable position, if possible. If no such position is available, his or her employment will be terminated.

4.10 Sick Leave Benefit

Eligibility

All eligible full-time employees may accrue paid sick leave for periods of temporary absences due to illnesses or injuries. Eligible full-time employees may use sick leave benefits for an absence due to the following:

the employee's own illness or injury,

the illness or injury of a dependent family member,

the illness or injury of a parent,

the illness or injury of a family member that resides in the employee's household.

Use of Sick Leave Benefits

Sick leave increments chosen will need to meet with departmental approval. Sick leave is in no way to be construed as additional vacation time. Sick leave shall not be granted unless the Department Head/Supervisor, City Manager, or the Mayor has been notified. Failure to provide such notification will result in the employee being considered absent without leave. Leave without pay will not be granted for illness or injury if the employee has any sick leave credit. Provided accrued sick leave benefits have been exhausted, earned vacation time, casual days, and earned compensation time may be used for sick leave absence.

When Coverage Begins

Sick leave benefits for an eligible full-time employee are effective on the first day of the month following the date of hire.

When Coverage Ends

Sick leave benefits are intended solely to provide protection in the event of illness or injury and may not be used for any other absence. Unused sick leave benefits will not be paid to employees while he or she is employed or upon either voluntary or involuntary termination of employment.

Accrual

Eligible full-time employees will accrue sick leave benefits at the rate of twelve (12) days (96 hours) per year (1 day for every full month of service) for the first year of service. Eligible full-time employees will accrue sick leave benefits at the rate of twenty-four (24) days (192 hours) per year (2 days for every full month of service) for each year of service thereafter. Full-time firefighters will accrue sick leave benefits at the rate of twelve (12) days (288 hours) per year (1 day for every full month of service) for the first year of service. Eligible full-time firefighters will accrue sick leave benefits at the rate of twenty-four (24) days (576 hours) per year (2 days for every full month of service) for each year of service thereafter. Part-time employees shall not be eligible for sick leave benefits. Sick leave shall not accrue while an employee is utilizing one (1) of the following leaves for more than thirty (30) consecutive calendar days: an unpaid leave of absence, a work-related leave for which the employee is being compensated by the City's worker's compensation insurance company or for any unpaid disciplinary suspension.

Pay for Sick Leave

In the event of sickness or injury, the employee will receive straight time pay for each work day that he or she is sick to the extent of his or her earned sick leave credit, but no more sick benefit per week than employee's normal work week.

Unused Sick Leave

Unused sick leave benefits will be allowed to accumulate until the employee has accrued a total of one hundred fifty (150) days (1200 hours) worth of sick leave benefits. If the employee benefit reaches this maximum, further accrual of sick leave benefits will be suspended until the employee has reduced the balance below the limit.

Return to Work from Sick Leave

In order for an employee who has been on sick leave for three (3) consecutive days to return to work, a doctor's certificate may, upon the Department Head/Supervisor's and/or City Managers request, be required.

Termination of Employment

Employees whose employment is terminated with the City, either voluntarily or involuntarily, shall forfeit all accrued and unused sick leave hours.

All City employees upon reaching retirement age and in good standing, will be reimbursed ten percent (10%) of his or her unused accumulated sick leave at the employee's current straight time rate upon retirement.

4.11 Pregnancy Workers Fairness Act

Pregnancy Accommodations: The City will provide reasonable accommodations to a qualified employee's known limitations related to pregnancy, childbirth, or related medical conditions, unless the accommodation will cause the employer an undue hardship. Employees seeking an accommodation shall notify their supervisor of the known condition the employee seeks an accommodation for. The employee shall then meet with their supervisor to engage in an interactive process regarding possible reasonable accommodations. All accommodations shall be determined through the interactive process. Employees shall not be required to take leave, paid or unpaid, if another reasonable accommodation exists. Temporary assignments and/or light duty may be provided if available. Temporary assignments and/or light duty assignments may be assigned outside of the employee's regular department and may result in change of schedule and work hours, but will not exceed employee's regularly scheduled hours within a pay period. A pregnant employee shall not be involuntarily transferred to a temporary modified-duty assignment. The City will not discriminate against any qualified employee or job applicant with respect to any terms, privileges or conditions of employment because of that pregnancy, childbirth, or related medical condition.

Pregnancy/Maternity/Paternity Leaves: As required by the Iowa Civil Rights Act, an employee who is disabled because of the employee's pregnancy, childbirth, or related medical conditions will be granted an unpaid leave of absence during the period of the disability up to a maximum of eight weeks. There is no minimum service requirement to use this leave. If there is a reasonable accommodation available other than leave that allows the employee to perform the employee's essential job functions, the City shall not require the employee to take leave.

An employee who wishes to use this leave must provide timely notice of the period of leave requested. Leave must be approved by the City in order for it to qualify as pregnancy leave. The City shall require medical certification verifying that the employee's disability results from pregnancy and that the employee is not able to reasonably perform the duties of employment.

An employee on an approved pregnancy or childbirth leave of absence may use accumulated sick leave, personal leave, vacation leave, holiday leave during the leave. Employees may elect to use compensatory time if they wish to do so. If short term disability benefits are available to the employee, the employee may supplement such leave with vacation or personal time. After accumulated sick and vacation leave has been used, the balance of the employee's absence shall be without pay. If an employee's leave is unpaid the employee shall make arrangements with the City to pay the employee's portion of the employee's insurance premiums.

Employees seeking time off for a serious health condition related to pregnancy and/or birth and bonding following the birth, placement or adoption of a child should refer to the City's FMLA policy. If an employee's use of pregnancy leave also qualifies for the Family and Medical Leave, as described above, the leave will be counted against the employee's entitlement for both purposes.

5.1 Group Health and Dental Insurance Benefits

Eligibility of Full-Time Employees

All eligible full-time employees may participate in the City's group health and/or dental insurance programs. The eligible employee electing to participate will be required to make a contribution to the cost of the health and/or dental insurance program(s). Eligible dependents of full-time employees may also participate in the City's group health and/or dental insurance program(s). Employees who desire to cover his or her dependent(s) under the plan(s) will be required to pay a portion of the cost for the dependent premium(s).

Employee Single Contribution shall be 10% of the discounted group health insurance premium rate, increase not to exceed \$10.00 monthly per year.

Employee family contribution shall be 10% of the total discounted group health insurance premium rate, increase not to exceed \$20.00 monthly per year and total payment not to be less than \$140.00 per month.

Employee's contribution to be deducted from wage payment preceding the premium due date.

When Coverage Begins

Coverage for eligible employees and his or her dependents is effective on the first day of the month following the employee's date of hire. The insurance program(s), coverage and eligibility will be subject to all terms and conditions of the contracts with the insurance carriers selected by the City. Details of the plans are explained in the City group health and dental insurance plan booklets.

When Coverage Ends

Insurance coverage terminates the last day of the month of the last day worked. Please review the policy set forth in this handbook regarding continuing health insurance benefits after termination of employment.

<u>Life Insurance & Accidental Death & Dismemberment</u>

The City shall maintain a group term life insurance policy in the face amount of twenty-five thousand dollars (\$25,000) for all full-time employees. Beneficiary for such policy shall be as named by the employee.

Long-Term Disability

The City shall maintain a Long-Term Disability Income Protection Insurance policy for all full-time employees.

5.2 Continuation of Medical Coverage

Continuation Coverage Under Iowa Code, Chapter 509A

Employees who retire from City employment before age 65 are eligible to continue in the City's health insurance plan up to age 65. Coverage must be continuous meaning the employee must elect the same coverage at retirement and the retired employee must pay the full cost of the premium. For purposes of this section, a retired employee is one who has applied for and is receiving a retirement allowance.

Continuation Coverage Under Iowa Code, Chapter 509B

If an employee resigns or his or her employment is otherwise terminated, or if he or she is on a permanent or temporary layoff or approved leave of absence, and consequently the employee or his or her dependents are no longer eligible to participate in the group health insurance plans offered by the City, the employee and his or her eligible dependents may have the right to continue to participate for up to eighteen (18) months at the employee's (or his or her dependents') expense.

If the employee or his or her eligible dependents elect to continue in the group health insurance plan, he or she will be charged the applicable premium. Failure to make timely payments may result in termination of coverage.

City Hall will contact the employee concerning these options at the time of termination, layoff, or an approved leave of absence occurs. City Hall will contact the employee's qualified beneficiaries in the event of an employee's death. However, in the event that an employee becomes divorced or an employee's marriage is annulled, the employee and/or his or her dependents are responsible for contacting City Hall to discuss continuation of rights.

5.3 Worker's Compensation

To provide for payment of your medical expenses and partial salary continuation in the event of a work-related injury or illness, you are covered by workers' compensation insurance. The amount and duration of benefits payable depend on the nature of your injury or illness. If you are injured on the job, you must notify your Department Head and the Safety Director immediately. Within twenty-four (24) hours of the injury, you, your

Department Head, and/or the Safety Director must report the injury to the City Clerk so that injury reports required by state law can be completed. Failure to report such an injury shall result in discipline up to and including termination. An employee injured at work may be requested to consult with a physician approved by the City.

An employee shall return to work when the physician states he or she is able to do so. Prior to being allowed to return to work, the employee shall provide a written statement from a physician indicating that the employee is released to return to work and is capable of performing all the duties of his or her position.

During work related injury leave as validated, the City shall pay the employee's group and life insurance premiums provided by the employer and sick leave shall continue to accrue for a maximum of six months or when declared by competent medical authority, approved by the City Manager, to be unable to work or permanently disabled. Upon such a declaration, the employee shall be entitled to be paid for accrued sick leave and vacation leave.

At the employee's written request, the City may supplement lowa Workmen's Compensation payments. The goal of this policy is to allow employees receiving workmen's compensation to be paid a supplemental amount to bring their total compensation as close as reasonably possible to the regular time take home pay they would have earned on the job. All supplemental payments will be charged against the employees' accumulated sick or vacation leave, first using the employee's sick leave and then the employee's vacation leave. This supplemental payment will be made once, after the employee returns to work in order to reduce the extra bookwork associated with this process. In a situation where the employee will be off of work for an extended period of time, the City Manager may allow exceptions to the one-time supplemental payment policy.

Light Duty Policy

Definitions:

Light duty: limited and/or modified duty assignments which excuse an employee from performing some or all of the essential job functions in their position or in another position with or without a reasonable accommodation for a temporary period of time in order to permit the employee to continue working and earning pay during their period of recovery/recuperation from a mental or physical impairment (including pregnancy and/or child birth related conditions). Light duty shall not continue indefinitely. Light duty shall not be provided for permanent impairments which impact the employee's ability to perform some or all of the essential functions of his or her job with or without a reasonable accommodation. Instead, when an impairment becomes permanent, the City and the employee shall discuss, through the interactive process, whether the employee's permanent impairment is a disability as defined by the Iowa Civil Rights Act or the Americans

- with Disabilities Act as amended and whether reasonable accommodations that do not present an undue burden can be implemented.
- <u>Temporary disability</u>: a mental or physical impairment or impairments (including pregnancy and/or child birth related conditions) which results in temporary physical limitations/restrictions certified by the employee's health care provider which temporarily impact the employee's ability to perform the essential functions of his or her position as set forth in the job description.
- <u>Temporary</u>: Lasting for a limited period of time. An interim measure, which is not permanent. However, this term will be defined on a case by case basis depending upon the availability of light duty, the anticipated length of time needed for the light duty, and the creation of any undue burden on the City's operations and its employees.

Policy:

The City is committed to encouraging employees to return to work when their health care provider certifies that they are physically and mentally able to perform some or all of the essential job functions of their position or in another position. This permits the employee to continue working and earning pay; accruing benefits; avoiding loss of sick leave; and avoiding expiration of any applicable leaves of absence.

Accordingly, if an employee with a temporary disability as defined above requests light duty and if light duty is available within the employee's limitations and restrictions certified by his or her health care provider, the City shall offer temporary light duty to the employee. The City shall provide the light duty offer using the City's form found in Attachment A.

Light duty shall extend only for the temporary period the light duty is available and the temporary period during which the employee's health care provider certifies the need. Light duty is not applicable to permanent impairments (see definitions). Employees shall remain in regular communication with the City regarding their status and need for light duty. Employees shall provide all health care provider status updates or changes to the City in writing.

All temporary light duty requests shall be made in writing, using the form in Attachment A and attaching the requesting employee's health care provider's written certification of the need for temporary light duty with an express and detailed explanation of the limitations/restrictions on employee's mental or physical abilities, and in relation to employee's essential job functions and the time period for the light duty if known. Employees shall deliver the light duty request to their supervisor with a copy to the City Manager. The City shall provide the written offer of light duty to employee and his or her supervisor.

If an employee believes that an offer of light duty does not comply with the employee's job restrictions, the employee shall notify the City using the Attachment A. The Employer shall then evaluate the employee's concerns and either (1) modify the offer of light duty;

(2) revoke the offer of light duty; or (3) communicate to the employee that the offer stands unchanged because it complies with the employee's work restrictions. If an employee fails to report to work when the employee has been ordered to return to a light duty position that complies with the employee's work restrictions, the employee shall be disciplined up to and including termination.

All materials related to requests for light duty, health care providers' written communications and the offer of light duty shall be kept in the employee's confidential medical file.

5.4 Voluntary Payroll Deductions

Employees interested in setting up a payroll deduction shall inform City Hall in writing. The employee must give his or her signed authorization pursuant to lowa Code Chapter 91A prior to any authorizations being allowed.

Eligible employees interested in participating in the additional 457 (b) retirement plan will receive a City match of up to one thousand dollars (\$1,000) each calendar year.

5.5 Social Media Policy

The City of Independence understands that social media can be a fun and rewarding way to share an employee's life and opinions with family, friends, and co-workers around the world. However, use of social media also presents certain risks and carries with it certain responsibilities. To assist in making responsible decisions about an employee's use of social media, the City has established these guidelines for appropriate use of social media. This policy applies to ALL employees who work for the City of Independence.

Definitions:

- <u>Posting</u>: any writing, image, video, download, audio file, and hyperlink to other websites, or media which is downloaded, referenced, inserted, or placed upon any social media site.
- <u>Social media or site</u>: includes, but is not limited to, electronic communication through which users create online communities to share information, ideas, personal messages, photographs, videos, and other content. Examples of the types of social networking sites covered by this policy include, but are not limited to: blogs, LinkedIn, Facebook, Google+, Twitter, YouTube, Instagram, Pinterest, Snapchat, YikYak, photo and video sharing sites, micro-blogging, podcasts, wikis, news sites, as well as viewable comments posted on Internet sites. This policy is not meant to address only certain forms of social media, but rather social media

in general as advances in technology will occur and new tools will emerge that are also expected to be used in accordance with this policy.

<u>Guidelines</u>

All City employees are expected to use City computers, tablets, mobile phones, computer applications and programs, internet resources and network communications in a responsible, professional, ethical, and lawful manner always. This includes use of all social media utilizing these devices. Employees should be aware that all content, including social media, on these devices is not private and the employer could access any information saved to, accessed by, created on, transmitted on, downloaded to, exchanged over, or discussed on these devices, including social media, at any time. Consequently, employees have no reasonable expectation of privacy when engaging in these activities and employees should use common sense in all communications, particularly on a website or social networking site accessible to anyone.

In addition, employees are expected to follow all other City policies with regard to their use of social media. Any employee who violates this policy may be subject to disciplinary action up to and including termination.

The same principles and guidelines found in City policies and these basic beliefs apply to an employee's activities online. Ultimately, an employee is solely responsible for what he or she posts online. The employee may be personally responsible for any litigation that may arise should he or she make unlawful defamatory, slanderous, or libelous statements.

Know and Follow the Rules

Carefully read this handbook in its entirety and ensure an employee's postings are consistent with these polices. Postings that include unlawful discriminatory remarks, harassment (as defined by our discrimination/harassment/retaliation policies), and threats of violence or other unlawful conduct will not be tolerated and may subject an employee to disciplinary action up to and including termination.

City-Sponsored Sites:

- The City's social media are limited public forums. The sites are not an editorial page or blog for visitors and they are subject to the commenting restrictions listed below in this policy. The City does not intend by its social media sites to create or allow the creation of an unlimited public forum for the public to post comments of any kind.
- The establishment and use by any City department of City social media sites are subject to approval by the City Manager. At the time such site is approved, the City Manager must determine who will be responsible for developing this site including establishing an administrative profile, designating who will have

- authority for speaking on behalf of the City, and who will keep the site up to date, including answering questions in a timely manner.
- City social media accounts will only become affiliated with (i.e., "like," "follow," etc.) another social media page if it is related to official City business, services, and events. The City Manager shall have the final determination if another social media page is related to official City business, services, and events.
- Wherever possible, City social media sites should link back to the official City website for forms, documents, online services, and other information necessary to conduct business with the City.
 - The City Manager or his or her designee will monitor the City's social media accounts to ensure that the social media cites further the City's policies, interests, and goals.
 - Comments containing any of the following inappropriate forms of content will not be allowed on the City's social media sites and are subject to removal by the City:
 - Comments unrelated to the original topic;
 - Comments that are obscene, vulgar, or profane;
 - Content that promotes, fosters or perpetuates discrimination of any protected class under local, state, or federal law;
 - Defamatory or personal attacks;
 - Threats to any person or organization;
 - Comments in support of, or in opposition to, any political campaigns or ballot measures;
 - Solicitation of commerce, including but not limited to advertising of any business or product for sale;
 - Conduct in violation of any federal, state or local law;
 - Encouragement of illegal activity;
 - Information that may tend to compromise the safety or security of the public or public systems; or
 - Content that violates a legal ownership interest, such as a copyright.
 - Comments that are threatening in nature will be forwarded as appropriate to law enforcement.
 - The City reserves the right to restrict or remove any content that is deemed in violation of City policy, including this policy, or applicable law. Any content removed based on these guidelines must be retained by the City Manager or his or her designee for a minimum of 90 days, including the time, date, and identity of the poster, when available.
 - Comments posted by the public on the City's social media site express the opinions of the commentators or posters. Such comments do not necessarily reflect the opinions or policies of the City, and the publication of such comments does not imply endorsement or agreement by the City.
 - The City is not responsible for and has no control over the accuracy, subject matter, content, information or graphics when viewing links attached to its social media sites. The City also does not endorse any organizations sponsoring linked websites or the views or products they offer.

- The City is not liable for the content of postings by individuals employed by the City or third parties not affiliated with the City on any City social media sites.
- The City reserves the right to deny access to its social media site for any individual who violates the City's policies or the law at any time and without prior notice. The City reserves the right to restrict or remove any content that is deemed in violation of this policy or any applicable local, state or federal law.
- The City's website at http://www.independenceia.gov will remain the City's primary and predominant Internet presence.
- Employees representing the City via social media accounts must conduct themselves at all times as representatives of the City and must identify themselves as representatives of the City when doing so. Employees that fail to identify themselves and/or conduct themselves in an appropriate manner shall be subject to discipline up to and including dismissal.

Employees' Personal Use of Social Media:

- Employees should limit their use of social media during working hours or on equipment provide by the City unless such use is work-related or authorized by a supervisor. Employees shall not use City-provided e-mail addresses to register on social networks, blogs or other websites for personal use. Employees should note that this provision is not meant to prohibit employees from engaging in concerted protected activity where prohibited by law.
- Employees may not, unless expressly authorized in writing, make statements on behalf of the City on the employee's social media accounts. If an employee wishes to make a work-related statement on his or her social media, the employee should consider including a disclaimer indicating that the opinions are the employee's personal opinions not the opinion of the City.
- Employees shall not use City-provided email accounts to sign up for or access social media unless expressly authorized to do so by the employee's supervisor.
- Employees shall have no expectation of privacy if they access their social media using City e-mail, City networks, City servers, City devices, and/or any other City resources when accessing social media.
- Employees shall not post, transmit, or otherwise disseminate any information to
 which they have access as a result of their employment with the City unless
 expressly authorized. In addition, employees are expected to respect the privacy
 of their co-workers and citizens and must take steps to protect the privacy and
 confidentiality of others.
- Employees are not to use the City's intellectual property such as trademarks, logos, letterhead, etc. when posting on social media or in any other actions, unless expressly authorized in writing. This includes posting pictures of yourself or others wearing City uniforms or other apparel bearing the City's name or logo.
- Employees are not allowed to use photographs or other depictions related to City business, including as discussed in the paragraph above, unless expressly authorized in writing. This includes, but is not limited to posting, transmitting,

- and/or disseminating any photographs or videos of City training, activities, or work-related assignments.
- Employees shall not post material that is abusive, obscene, libelous, threatening, profane or otherwise inappropriate about the City, its employees, or citizens.
- Employees shall not post material that may be construed to be discriminatory, harassing, or retaliatory under local, state, or federal law about the City, its employees, or citizens.
- Nothing in this policy is intended to infringe upon any employee's legitimate First Amendment rights and employees are free to express themselves as private citizens on social media sites. The intent of this policy is to prevent employees from engaging in unlawful speech, improperly impairing the working relationships of this City, impeding the performance of City duties and/or negatively affecting the public perception of the City. As public employees, employees are cautioned that speech made pursuant to an employee's official duties is not protected speech under the First Amendment and may form the basis for discipline.

Honesty and Accuracy

An employee should understand that honesty and accuracy are important when posting information or news, especially such information or news concerning the City, and that it is good practice to correct a mistake quickly, an employee may want to be open about any previous posts he or she has altered. Remember that the Internet archives almost everything; therefore, even deleted postings often can be searched.

Respect of Transparency

Respect copyright, trademark, and similar laws and use such protected information in compliance with applicable legal standards.

Media Contacts

All media inquiries for official City responses should be directed to the City Manager. Employees should not speak to the media for official responses on the City's behalf without contacting the City Manager.

Open Records

The City's social media sites may be considered public records under Iowa Public Records laws. If requested, the City may be compelled to disclose public records to third party requestors. The City in its sole discretion shall determine whether postings on its social media websites are public records and whether exemptions from disclosure apply.

For More Information

If an employee has questions or needs further guidance, please contact the City Manager.

Nothing in this policy is designed to interfere with, restrain, or prevent employee communications regarding wages, hours, or other terms and conditions of employment, or to restrain employees in exercising any other right protected by law. Employees have the right to engage in or refrain from such activities.

Employees who violate this policy shall be subject to discipline up to and including termination.

6.1 Work Rules and Discipline

In order to maintain safe, efficient, and harmonious operations, and to continue to provide the highest standard of public service, the City has adopted the following rules. Each rule reflects a common understanding of what behavior is acceptable in the workplace.

These rules can be modified by the City as changing conditions warrant. The City may take whatever disciplinary action it deems appropriate in response to an offense, even if it is not included in the following list. An employee must understand that any offense, whether or not it is included in these work rules, may result in disciplinary action, up to and including discharge, without prior warning.

The City expects an employee's complete cooperation in observing these rules which have been designed for the City's common protection and benefit.

Work Rules

While it is not possible to list all the offenses for which an employee will be disciplined, the following are examples of inappropriate, unacceptable conduct:

- Unsatisfactory work performance (i.e., inefficiency, misuse of company time, incompetence, negligence)
- Dishonesty and/or lying, including falsifying employment or other job-related records.
- Violating the City's policy against workplace harassment, discrimination, or retaliation of any kind.
- Establishing an unacceptable pattern of tardiness or absenteeism.
- Engaging in excessive, unnecessary, or unauthorized use of City property or supplies, particularly for personal use.
- Consuming, having unauthorized possession of, or being under the influence of intoxicants, narcotics, controlled substances, or other non-medically prescribed drugs during the work day, including lunch or break periods, on or off City premises.
- Illegally manufacturing, possessing, using, selling, distributing, or transporting drugs.

- Bringing or using alcoholic beverages on City property or using alcoholic beverages while engaged in City business off of City premises.
- Fighting or using obscene, abusive, or threatening language.
- Stealing, willfully destroying or unauthorized use or alteration of property of coworkers, customers, clients, or the City.
- Disregarding smoking, safety, or security regulations.
- Being non-cooperative with assigned employees, co-workers, supervisors, or managers.
- Failing to follow City job instruction or to perform work requested by a supervisor or manager.
- Violating a City safety rule or practice or creating or contributing to unsafe, unhealthy, or unsanitary conditions.
- Failing to maintain confidentiality of City, employee, client, patient, or customer information.
- Having unauthorized weapons, knives, explosives, or firearms on City premises or while on City business.
- Violation of any employee requirements in the Employee Handbook.
- Unauthorized copying of City records.
- Refusal to work without good reason when called in for emergency situations.
- Sleeping, or giving the impression of sleeping during work hours.
- Fighting, physically assaulting an individual, or disorderly, subversive, immoral, or indecent conduct.
- Failing to notify an employee's supervisor in advance of an absence from work, including arriving late or leaving early.
- Making untruthful or malicious statements about fellow employees.
- Threatening, coercing, or intimidating fellow employees or others.
- Working on personal projects or carrying on secondary employment, including sales, on company time.
- Modifying another employee's timecard without authorization from the appropriate supervisor or asking another employee to modify your timecard.
- Gambling on City property.
- Soliciting monetary contributions or distributing non-work materials without proper permission of the City Manager.
- Discourteous treatment of any kind to members of the public.
- Failure to report an occupational injury.
- Failure to keep necessary certifications and credentials.
- Failure to maintain necessary licenses and insurability, either from accidents both on and/or off the job.

All violations of the above stated work rules, or other City policies, rules, procedures or expectations, must be reported to the employee's Department Head/Supervisor or to the City Manager.

<u>Discipline</u>

If the employee's performance, work habits, attitude, or demeanor becomes unsatisfactory in the judgment of the City, based on violations of either the rules listed above, or other City policies, rules, procedures, or expectations, an employee will be subject to disciplinary action, up to and including termination. Certain offenses can be corrected using progressive discipline. Situations that the City believes will respond to corrective discipline will normally be handled as follows:

- 1. *Counseling:* The employee's Department Head/Supervisor will normally give the employee a verbal warning.
- 2. Written Warning: If the unsatisfactory conduct continues, the employee's Department Head/Supervisor will normally issue a written warning. The written warning will state the reasons for the warning and the consequences of continued action. The employee shall acknowledge receiving the written warning by his or her signature on the written warning. A copy shall be given to the employee and a copy shall be placed in the employee's personnel file.
- 3. Suspension: If sufficient improvement has not been made or if the conduct continues, the employee may be suspended without pay. The suspension shall be documented and state the reasons for the suspension and the consequences of continued action. The employee shall acknowledge receiving documentation of the suspension by his or her signature on the documentation. A copy of the documentation shall be given to the employee and a copy shall be placed in the employee's personnel file.
- 4. *Termination:* If the conduct continues, the City may terminate the employment of the employee.

The City reserves the right to use whatever discipline it decides is appropriate in any situation, up to and including discharge, without regard to the progressive discipline guidelines explained above.

If the City takes disciplinary action against an employee that may result in information considered as part of resignation in lieu of termination, discharge, or demotion being placed in the employee's personnel file, the employee shall be notified in writing that the information placed in the employee's personnel file as a result of the disciplinary action may become a public record.

6.2 Substance Abuse Policy

Purpose

The City is committed to ensuring that its employees work in a safe, drug-free environment. It is well recognized that individuals who use illicit drugs or use alcohol are more likely to have workplace accidents and perform their work in an inefficient and substandard manner.

To effectuate this commitment, the City has determined that it must take the necessary steps to ensure that City employees are free from the influence of drugs and/or alcohol while performing their duties. The City has developed the following Drug and Alcohol Testing Policy which covers all City employees not otherwise affected by state or federal drug testing laws. This policy is applicable to all applicants for city positions and all city employees at any time they are actually performing, preparing to perform, or immediately available to perform any paid function as designated by the City.

All employees in positions requiring Commercial Drivers Licenses are subject to the federal and state laws requiring drug and alcohol testing, and where those laws conflict with this policy, those laws shall supersede such provisions of this policy. The Federal Transit Administration has adopted drug and alcohol testing procedures covering safety-sensitive employees engaged in mass transit and those laws also supersede the provisions of this policy. For purpose of DOT/FMCSA testing, the City Manager or his or her designee will serve as the City's designated representative (DER).

Definitions:

- Safety Sensitive Employee: A safety sensitive employee is an employee working in a position wherein an accident or an error could cause the loss of human life, serious bodily injury, or significant property or environmental damage, including a position with duties that include immediate supervision of a person in a job that meet the requirements of this paragraph. However, the City reserves the right to add or remove positions from its list of safety sensitive positions at any time. This includes part-time safety sensitive employees.
- Reasonable Suspicion Drug and Alcohol Test: Drug or alcohol tests based upon evidence that an employee is using or has used alcohol or other drugs in violation of this written policy. Evidence in support of such a violation is drawn from specific objectives, articulable facts, and reasonable inferences drawn from those facts in light of training and experience. For the purposes of this paragraph, facts and inferences may be based upon, but are not limited to, any of the following:
 - Observations while at work, such as direct observation of alcohol or drug use or abuse, or physical symptoms or manifestations of being impaired due to alcohol or drug use as described in the educational materials provided to employees.
 - 2. Abnormal conduct or erratic behavior while at work or a significant deterioration in work performance.
 - 3. A credible source's report of alcohol use or the use of drugs. The City Manager will have the final determination of who is a credible source.
 - 4. Evidence that an individual has tampered with any drug or alcohol test during the individual's employment with the City.
 - 5. Evidence that an employee has caused an accident while at work which resulted in an injury to a person or an accident that resulted in damage to property, including equipment, in an amount reasonably estimated at the time of the accident to exceed One Thousand Dollars (\$1,000.00).

- 6. Evidence that an employee has manufactured, sold, distributed/solicited, possessed, used or transferred drugs while on the employer's premises, or while operating the employer's vehicle, machinery, or equipment.
- 7. The employee's statement or admissions of drug use while he or she is a City employee.
- <u>Positive Test:</u> An employee tests positive for drugs if any trace of an illegal substance is detected following a drug test. An employee tests positive for alcohol if he or she has a blood alcohol concentration equal to 0.04 or greater.
- <u>Illegal Drugs/Substances</u>: Any substance that is illegal by law has not been legally obtained, or which cannot be legally obtained. This includes prescription medication for which the employee does not have a prescription and/or is not taken according to the prescription.
- <u>City Official:</u> Elected officers of the City including the Mayor and City Council members.

Policy Statement/Procedures

1. Prohibited Activity:

- A. No employee shall illegally use, sell, transfer, purchase, or possess drugs, alcohol, controlled substances, or drug paraphernalia, or any combination thereof while in a City facility, vehicle, vessel, or aircraft or while performing City business, including business conducted in the employee's own home.
- B. No employee shall report for work while under the influence of illegal drugs or alcohol. Furthermore, no employee shall report to work within four (4) hours of consuming alcohol even if the employee does not believe he or she is under the influence of alcohol during that time.
- C. No employee shall use illegal drugs or consume alcohol while at work.
- D. No employee shall use prescription drugs unless: (1) a doctor has prescribed the medication to the employee; and (2) the doctor has advised the employee that the drug will not adversely affect the employee's ability to perform the essential duties of his or her job without endangering the public's, co-workers', or the employee's safety.
 - i. Any employee using properly prescribed drugs that may impair the employee or affect the employee's job performance shall notify his or her immediate supervisor about the use of the drug. A drug may impair an employee or affect an employee's job performance if it may cause the employee dizziness or drowsiness or the employee or the employee's doctor believe the drug will impair the employee or affect the employee's job performance in some way.
 - ii. If an employee is using a prescription drug and his or her doctor has advised him or her that the drug may adversely affect the employee's ability to perform the essential duties of his or her job, the employee shall advise his or her supervisor of the adverse effects and the prescribed period of use.

- iii. Supervisors shall document this information through the use of an internal memorandum and maintain this memorandum in the medical file of the employee maintained by City Clerk. See subsection 7 for information regarding the storage of drug test results and other medical information.
- iv. Any employee using properly prescribed prescription drugs must carry the medication in its original container and the container must be labeled with the employee's name, employee's doctor, dosage, and the name of the drug prescribed.
- E. Any employee who unintentionally ingests or is made to ingest a controlled substance shall immediately report the incident to his or her supervisor so that appropriate medical steps may be taken to ensure the employee's health and safety.

2. Notification:

- A. The City will notify applicants of this drug and alcohol testing policy at the time of his or her first interview.
- B. The City will provide all employees with drug and alcohol education, including the effects of drugs and alcohol, signs and symptoms of drug and alcohol use, assistance available for those abusing drugs and alcohol, drugs and alcohol to be tested, and drug and alcohol testing requirements.
- C. All drug testing results and other confidential information will be kept confidential.
- D. Each employee and applicant will sign a form acknowledging receipt of these materials.

3. Prospective Employee Drug Testing:

- A. All prospective, safety sensitive employees who have been extended a conditional offer of employment with the City shall be informed that a condition of employment includes passing a drug test as part of the preemployment process. The City shall bear the cost of the pre-employment test.
- B. If a prospective, safety-sensitive employee refuses to take a pre-employment drug test when scheduled or tests positive for a substance, that employee is ineligible for City employment for one (1) calendar year from the date of the drug test.
- C. If an employee is transferred to a safety-sensitive position, drug and alcohol testing under this policy is a condition of the transfer.
- D. For part-time prospective employees, if the prospective employee is covered under another Law Enforcement Agency's drug test policy, the prospective employee may bring proof that he or she is covered under the other policy and the City Manager may waive the requirement that the prospective employee be drug tested.

4. Employee Drug Testing:

A. Random Testing

- i. Because of the safety-sensitive nature of their employment, employees with safety-sensitive job duties may be required to take a drug test as a condition of continued employment in order to ascertain prohibited drug use, as provided below:
 - 1. The City may conduct random drug and alcohol testing on safety sensitive employees who are not covered by another drug/alcohol testing policy mandated by the state or federal government without individualized suspicion.
 - 2. The selection of employees to be tested from the pool of employees subject to testing shall be done based on a computerized randomly generated selection process administered by a third-party, in which each member of the employee pool has an equal chance of selection.
 - 3. All random drug testing will be uniform and unannounced.
 - 4. The City Manager will determine the frequency and timing of the random drug testing.
 - 5. For part-time employees covered under another Law Enforcement Agency's drug testing policy, those employees may bring proof that they are covered under the other policy to the City Manager who may then waive the requirement that the employee be drug tested. The City Manager shall keep a copy of the policy in the employee's personnel file and if at any time the employee is no longer subject to drug testing, he or she shall notify the City Manager immediately.

B. Post-Accident Testing

i. After an accident, testing shall be conducted on employees whose performance could have contributed to the accident if (1) it is required by state or federal law; or (2) reasonable suspicion exists.

C. Reasonable Suspicion Testing

- i. When any supervisor, manager, or City Official has reasonable suspicion that a City employee is under the influence of drugs or alcohol while on duty, or otherwise violating the terms of this policy, that supervisor, manager, of official shall require reasonable suspicion testing. The reasons for such reasonable suspicion shall be documented.
- ii. If reasonable suspicion testing is required, the employee will not be permitted to drive to or from the testing or while at work until the test is returned, and then, only if the test produces negative results. The City will provide transportation to/from the testing at the City's expense if necessary.

5. Drug Testing Procedures:

A. Drug and alcohol testing shall require the employee to present a reliable form of photo identification to the person collecting the sample.

- B. Buchanan County Health Center in Independence will serve as the City's local collection facility, if they are not available Allen Occupation Health Services will be the alternate.
- C. The City will designate the type of testing to be performed on the sample collected.
- D. Drug and alcohol testing shall normally occur during or immediately before working hours begin or immediately after working hours. The time required for such testing shall be considered work time for the purpose of compensation and benefits.
- E. A specimen testing positive will undergo an additional test to confirm the initial result.
- F. The drug screening tests selected shall be capable of identifying every major drug likely to be abused including, but not limited to, marijuana, cocaine, phencyclidine (PCP), opiates, codeine/morphine, amphetamines, AM (heroin), hydrocodone/hydromorphone, and oxycodone/oxymorphone.
- G. Personnel utilized for testing will be certified as qualified to collect urine samples or adequately trained in the collection process.
- H. Any employee who breaches the confidentiality of testing information shall be subject to discipline.
- I. The City shall pay all testing costs for pre-employment, reasonable suspicion, regularly scheduled, or follow-up drug or alcohol testing ordered by the City.
- J. In conducting drug or alcohol testing pursuant to this policy, the laboratory, the Medical Review Officer, and the City shall ensure, to the extent feasible, that the testing records maintained by the City show only such information required to confirm or rule out the presence of prohibited alcohol or drugs in the body.
- K. An employee who refuses to submit to a controlled substance test will be immediately removed from safety-sensitive functions and shall be subject to disciplinary action up to and including termination.

6. Post-Testing Procedures:

- A. Employees having negative drug test results shall receive a memorandum stating that no illegal drugs were found. The employee may request a copy of the memorandum be placed in the employee's medical file.
- B. An employee who has a positive drug or alcohol test, either from random testing or reasonable suspicion testing, shall be subject to disciplinary action up to and including discharge.
- C. An employee with positive drug testing results shall be immediately removed from all safety sensitive functions and, depending on the nature of the employee's job, may not be permitted to return to work until the actions described below are taken. Employees who undergo substance abuse treatment and counseling under this policy and who continue to work must meet all established standards of conduct and job performance.
- D. If the employee is permitted to return to work, the employee may be required to submit to evaluation by a Substance Abuse Professional and undergo

treatment recommended by the Substance Abuse Professional prior to returning to work. If the employee successfully completes the treatment, no further disciplinary action will be taken against the employee. If the employee refuses to submit to the evaluation or fails to successfully complete treatment, the employee will be subject to further discipline up to and including discharge.

- E. If the Substance Abuse Professional determines that the employee has a drug or alcohol related problem the employee will be required to do follow-up testing upon the employee's return to work. All follow-up testing will be unannounced and without prior notice to the employee and will be at the employee's expense.
- F. An employee required to take time off in order to participate in a rehabilitation program will be permitted to use sick leave, vacation time, accumulated compensatory time, and/or unpaid leave.

7. Drug Test Results:

- A. All records pertaining to required drug tests shall remain confidential and shall not be provided to other employees or agencies, with the exception of the City Manager and City Clerk, without the written permission of the person whose records are sought. The City Manager and City Clerk shall have access to the records for purposes of employment decisions. Computerized record keeping shall comply with this provision of the policy.
- B. Drug test results and records shall be stored and securely retained for an indefinite period in an employee medical file maintained by the City Clerk.

8. Responsibility:

- A. It shall be the responsibility of the City Manager to enforce this policy. Employees are expected to report any suspicious behavior or suspected drug abuse of an employee.
- B. It is the responsibility of each employee to abide by the procedures as outlined. Any employee refusing to submit to a drug test request made under this policy will be subject to discipline up to and including discharge.

Condition of Employment

Compliance with the City's Substance Abuse Policy is a condition of employment. Failure or refusal of an employee to cooperate fully or submit to any inspection or drug test as provided will be grounds for termination.

6.3 Complaint Resolution Procedure

Misunderstandings, conflicts, problems, or other questions about an employee's job can arise in any workplace. To ensure effective working relations, it is important that such matters be resolved before serious problems develop. If an employee's concern relates to discrimination, harassment or retaliation, follow the procedure in those policies in this

Handbook. Also, certain complaints may fall under resolution procedures established by state law. For other complaints, the City has adopted the following procedure to respond to your concerns.

First, an employee should see his or her immediate supervisor. The employee's immediate supervisor is in the best position to resolve an employee's problems or concerns. If, however, the employee does not believe a discussion with his or her supervisor is appropriate, or if he or she is not satisfied with the answer given by his or her immediate supervisor, the employee should request a meeting with the City Manager or Mayor within five days of the incident or response given by the employee's immediate supervisor, whichever is later. In an effort to resolve the problem, the City Manager or Mayor will consider the facts and conduct an investigation, if necessary. The employee will normally receive a response regarding his or her problem within ten days of meeting with the City Manager or Mayor.

If the employee is not satisfied with the decision of the City Manager or Mayor, he or she may prepare a written summary of his or her concerns and request that the matter be reviewed by the Employee Relations Committee. After a full examination of the facts (which may include a review of the written summary of the employee's statement, discussions with all individuals concerned, and a further investigation if necessary), the Employee Relations Committee will normally advise the employee of its decision within fifteen (15) days.

If the employee is not satisfied with the Employee Relation Committee's decision, he or she may prepare a written summary of his or her concerns and request that the matter be reviewed by the City Council. After a full examination of the facts (which may include a review of the written summary of the employee's statement, discussions with all individuals concerned, and a further investigation if necessary), the City Council will normally advise the employee of its decision within fifteen (15) days. The decision of the City Council shall be final.

6.4 Office of the Ombudsman

Pursuant to Iowa Code Section 70A.29, the City is putting you on notice that Iowa Code Chapter 2C authorizes the State of Iowa Office of the Ombudsman to investigate complaints. Any employee wishing to contact the Ombudsman's Office may do so by calling toll-free at 1-888-426-6283.

7.1 Political Activity

An employee of the City may not use his or her official authority or influence for the purpose of interfering with or affecting the result of an election or a nomination for office. He or she may not directly or indirectly coerce, attempt to coerce, command or advise another employee to pay, lend, or contribute anything of value to a party, committee, organization or person for political purposes.

An employee who is elected to a municipal, county, state or federal office shall, upon written application to the Mayor, be granted an unpaid leave of absence from regular employment to serve in that office except where prohibited by federal law.

All political activity will be governed by the applicable state and federal regulations.

7.2 Solicitation

The City wants to ensure that employees and visitors are not bothered by others who wish to solicit for their own business or organizations. Thus, contributions may be solicited at the City only with the permission of the City Manager, Mayor, or the Mayor Pro Tem.

The City's solicitations are to be kept to a minimum and for such purposes as buying flowers in the event of a death or illness in the immediate family of an employee and contributing to recognized civic and charitable institutions in the community.

7.3 Equipment Usage

City employees shall not directly or indirectly allow the use of City property, including leased property, for use other than officially approved activities. Each employee has a duty to protect and conserve City property, including, but not limited to, equipment, supplies, official records, funds, or any other property entrusted or issued to the employee. Employees are expected to exercise care, perform required maintenance and follow all operating instructions, safety standards and guidelines. Employees should promptly notify his or her supervisor, the City Manager, Mayor or Mayor Pro Tem if any equipment, tools or vehicles appear to be damaged, defective or in need of repair.

The improper, careless, negligent, destructive or unsafe use or operation of City property can result in disciplinary action, up to and including termination.

Use of Telephones

Personal use of the telephone for long-distance calls is not permitted. Employees may use City office telephones or their own private cellular telephones during work hours to make local personal calls of a reasonable duration and frequency; when they do not interfere with the performance of the City's business; if it is not related to any illegal, discriminatory or harassing behavior or business; if it would not cause the City public embarrassment and does not compromise City security or confidential information. The City reserves the right, in its sole discretion, to define what is a reasonable or permissible personal use. Families and friends should be encouraged to call employees only when urgent or necessary; and such calls must be kept to a minimum. Employees are requested to make personal calls, when necessary, during their breaks or meal periods.

To assure effective telephone communications with callers to the City, employees should always identify themselves to the caller, and speak in a courteous and professional manner. Please confirm information received from the caller and hang up only after the caller has done so.

Information Technology

Information Technology is defined as computers, computer files, networks, internet access, and software furnished to employees are City property and intended for business use. Information technology may only be used for City business. However employees may use the City's information technology for personal purposes such as email or internet surfing only if it is of reasonable duration and frequency; does not interfere with the performance of the City's business; is not related to political or religious uses; does not cost the City additional expenses; it is not related to any illegal, discriminatory or harassing behavior or business; would not cause the City public embarrassment and does not compromise City security or confidential information. The City reserves the right, in its sole discretion, to define what is a reasonable or permissible personal use.

The City reserves the right, in its sole discretion to block access to offensive, malicious, and non-business web content or websites. The content of all communications created or disseminated through the use of any City information technology is the property of the City and shall comply with all policies. Employees should not access files or retrieve any stored information or communications without authorization. Employees should access those files only as necessary for the performance of their duties. Employees using the City's information technology shall not attempt to exceed the access rights granted by the City.

Excessive messages with little information for the entire staff slow down productivity and clogs the system. Any questions about whether one may circulate certain information to all employees shall be directed to the City Manager before proceeding.

Employees may not print; display, download, or send any sexually explicit or offensive messages, cartoons, jokes, drawings, photographs, animations, or like material. If employees receive such items from another person, they are to immediately advise the sender that they are not permitted to receive such information or items and that the sender is not to send such again. If the employee needs assistance in responding to such situations, they may contact the City Manager.

The installation of personal software onto City-owned information technology is prohibited. The copying of purchased or leased software, unless authorized by the software vendor, is prohibited. Additionally, sharing user identification and/or passwords for any City information technology is prohibited, unless directed by the City Manager for legitimate business reasons. Employees shall change their user passwords every ninety days or as directed by the City Manager.

By opening e-mail, sending or receiving information, using the voice mail system, logging on to the Internet or by using any of the City's information technology, employees agree and understand that this technology has been provided by the City at its own expense and that it is the City's property. It is another tool for employee use in business transactions or business communication.

All communications over and activity conducted on the City-owned systems are the property of the City. Employees shall have no expectation of privacy when using City-owned systems, even to information technology where the employee has a personalized username and/or password. Department Heads and the City Manager may review, audit, or download messages that employees send or receive, and may monitor employee Internet access. Additionally, all messages and data sent over City information technology may be subject to public open records requests or subpoenas.

Employees also may not interfere with or disrupt any City network or Internet users, services, programs or equipment. Disruptions include but are not limited to propagation of computer worms, viruses or other debilitating programs and using the City network to make unauthorized entry to any other machines accessible via the network or Internet. Deliberate attempts to degrade or disrupt system performance will be viewed as criminal activity under applicable state and federal law.

Use of Vehicles

City vehicles shall be used only for City business except as authorized by the City Manager. Anyone authorized by the City Manager to operate a City vehicle must:

- Operate the vehicle safely, economically and in strict compliance with all traffic and parking regulations.
- Comply with routine maintenance schedules as established by the City.
- Assume responsibility for reporting needed repairs and maintaining the cleanliness of the interior and exterior of the vehicle.
- Radio equipped vehicles shall maintain radio contact with an appropriate base station when on duty or on call, unless the employee is out of jurisdiction for work-related purpose (i.e., traveling to a training).
- No posters, stickers, or advertisements shall be placed on city vehicles without prior approval of the City Manager.
- City vehicles may be used for transportation to and from meals only when assigned to an employee on a full-time basis or when in use by employees required by job assignments to take their meals in the field.
- Meet all City licensing, registration, insurance and driving record requirements.
- Non-city employees shall be prohibited in operating or riding in city owned vehicles unless receiving prior authorization and approval from the City Manager.
- Smoking is prohibited in all City vehicles.
- Employees driving City vehicles shall operate the vehicle in a safe and courteous

manner.

- Employees shall wear seat belts at all times while in a moving vehicle being used for City business, whether driving or riding as a passenger.
- Any handheld cell phones must be compliant with lowa law while driving any City vehicles or driving while on City-time.
- Use of hands-free cell phones is discouraged but may be permitted in unusual or emergency circumstances.
- Employees shall strictly follow the City's drug and alcohol policy when operating a vehicle on City time or a City vehicle.
- All accidents must be promptly reported to law enforcement and the City Manager and/or Department Head. Employees must cooperate fully with any insurance claims investigation that occurs.
- Any moving violations or parking violations received while on City time or in a City vehicle must be promptly reported to the City Manager and/or Department Head.
- An employee shall not use a company vehicle while on medication or suffering from a medical condition that impacts his or her ability to operate a vehicle.

The City reserves the right to monitor and/or verify any employee's driving record at any time.

Return of City Property

Employees are responsible for all City property, materials or written information issued to them or in their possession or control. Employees must return all City property immediately upon request or upon termination of employment. This includes software, manuals, uniforms, brochures, proprietary information or any property or materials issued to the employee or gathered by the employee while on official City business. Pursuant to Chapter 91A of the Iowa Code, the employee authorizes the City to withhold all amounts to reimburse the City for any property that is not returned. The City may also take all action deemed appropriate to recover or protect its property.

Procedure for Requesting Use of City Property

Any request by a non-employee of the City wishing to use City property or by an employee wishing to use City property during personal time, must be presented to the City Manager for his or her approval prior to the proposed use.

7.4 Tobacco Products & E-Cigarettes

Prohibition and Coverage

Iowa's cities and counties are covered by Iowa Code Section 142B.2(1), which prohibits smoking in a public place or a public meeting except in a designated smoking area. All City owned buildings, facilities, and vehicles are smoke free. All tobacco usage, including smoking and smokeless tobacco, is prohibited in all city owned buildings, facilities,

equipment and vehicles and on all public grounds owned by the City except in designated areas.

Each City owned building may establish a designated smoking/tobacco usage area; however, this area shall be located in the building's parking lot away from all building entrances and must not adversely impact other employees or the general public. Please contact your Supervisor/Department Head to identify these designated smoking areas.

Employees are permitted to smoke or use tobacco products within their personal vehicles which are parked in a city owned parking lot. Employees who choose to smoke or use tobacco products must do so in accordance with this section and during normal meal and break periods. Employees are not provided additional time away from work to smoke or use tobacco products.

Posting Requirements

The person having custody or control of a public place or a public meeting must make reasonable efforts to prevent smoking in the place by posting appropriate signs indicating no smoking areas and arranging seating accordingly. Also, the statement "Smoking prohibited except in designated areas" must be conspicuously posted on all major entrances to the public place or meeting. The City shall designate those areas, if any, where smoking shall be allowed.

7.5 Facial Hair

Employees who may be required by their job duties to wear a respirator must be clean shaven when on duty. The following will not be allowed: any type of beard or stubble, sideburns past the earlobe or mutton chops. Excessive facial hair can interfere with and prevent tight sealing of breathing apparatus equipment. The problem can be especially critical when non-powered air-purifying respirators are used. An employee who arrives on duty with excessive facial hair will be required to return home and shave with no pay for the period of lost time. Failure to follow this policy may result in disciplinary action.

7.6 Safety

Employee safety is a top priority for the City. To ensure a safe environment, employees are expected to assist in developing the best possible working conditions.

Each employee is expected to obey safety rules and exercise caution in all work activities. Employees must immediately report any unsafe condition to the Department Head/Supervisor, City Manager or Mayor. Employees who violate safety standards, who cause hazardous or dangerous situations, or who fail to report or, where appropriate, remedy such situations, may be subject to disciplinary action, up to and including termination.

The City shall provide safety training for city employees, according to OSHA regulations.

Public Works employees will be issued the following items when hired: Five (5) T-shirts, One (1) pair of coveralls, Five (5) pullover sweatshirts, and two (2) jackets (1 winter and 1 summer) for each employee. The city further agrees to replace such uniforms as they become worn.

Employee shall maintain the uniforms in a clean and presentable manner and attach patches furnished by the City in a manner that will identify the wearer as an employee of the City. All uniforms are to remain the property of the City and shall be returned to the City when the employment relationship between the City and the employee is terminated. In addition, the employee shall not wear any of the articles of the uniform outside the hours of the employment of the employee. Employees can go home, and to and from work in uniform.

The City recognizes the need for protective footwear for safety sensitive positions with the City. The City will reimburse qualifying employees for the purchase of protective footwear not to exceed \$150.00 every year.

7.7 Conflicts of Interest

Employees have an obligation to conduct business within guidelines that prohibit actual or potential conflicts of interest. Activities that are inconsistent, incompatible, or in conflict with City employment include, but are not limited to:

- Any employment activity or enterprise which involves the use of the City's time, facilities, equipment or supplies, prestige or influence of a City office or equipment to give the employee or the employee's immediate family members an advantage or pecuniary benefit that is not available to other similarly situated members or classes or members of the general public.
- Any employment or activity that involves the receipt of, promise of, or acceptance
 of money or other consideration by the employee or a member of the employee's
 immediate family from anyone other than the City for the performance of any act
 that the person would be required or expected to perform as part of the person's
 regular duties during the hours during which the person performs service or work
 for the City.
- No City employee shall, directly or indirectly control, inspect, review, audit or
 enforce the responsibility of his or her office in any activity or enterprise in which
 he or she, or his or her immediate family, or his or her partner, or an organization
 which employs, or is about to employ any of the above, has a financial or other
 interest in the firm selected.
- No City employee or officer shall accept any consideration given to influence him or her in the performance of his or her duty.

No "presumption of guilt" is created by the mere existence of a relationship with outside firms. However, if employees have any influence on transactions involving purchases, contracts, or leases, it is imperative that they disclose to the City Manager as soon as possible the existence of any actual or potential conflict of interest so that safeguards can be established to protect all parties.

Failure to abide by this policy is considered very serious and will result in immediate disciplinary action up to and including termination.

7.8 Gifts

Except as otherwise provided in Chapter 68B of the Code of Iowa, a public official, public employee or candidate, or that person's immediate family member, shall not, directly or indirectly, accept or receive any gift or series of gifts from a "restricted donor" as defined in Chapter 68B and a restricted donor shall not, directly or indirectly, individually or jointly with one or more other restricted donors, offer or make a gift or a series of gifts to a public official, public employee or candidate. (Code of Iowa, Sec. 68B.22)

7.9 Supplemental Employment

Supplemental employment outside his or her regular City working hours must in no way interfere or conflict with the satisfactory performance of City duties. No employee is to conduct any supplementary employment during his or her scheduled working hours unless authorized leave has been approved in advance. Supplemental employment shall not in any way conflict with City employment responsibilities, including during emergency situations. City employees may not work for an outside employer whose interests might conflict with those of the City. City employees may not use their positions with the City to further their interest on any supplemental position. City employees may not work for or invest in business concerns with whom they must deal in the course of their employment with the City.

7.10 Expense Reimbursement Requests

When employees of the City are required to travel on official City business, the City will pay reasonable amounts for transportation, meals and lodging. An employee is expected to show good judgment and an appreciation for economy when incurring travel expenses. All requests for travel expenses must be approved by the City Manager or Library Board of Trustees (for library staff) in advance of the anticipated travel. Final expenses must be submitted to the City Clerk within one week following the employee's return.

When air transportation is necessary due to time constraints or distance, the City Manager or designee shall make transportation arrangements, at the least possible cost to the City. Any incentives earned by travel arrangements will become the property of the City. When selecting a mode of transportation, reimbursement will be made for the least expensive form of transportation with the individual bearing any additional cost. Exceptions, due to time restraints, may be made by the City Manager.

When feasible, City vehicles should be utilized as the primary mode of transportation in the performance of City duties. Employee use of his or her private vehicle in the performance of City duties will be reimbursed at the rate established by the Internal Revenue Service and will be reimbursed through Accounts Payable using the City reimbursement form.

Meals

Meal and Incidental Expenses will be reimbursed at the listed rates and shall not be reimbursed unless itemized receipts have been submitted. Meals with no overnight lodging will be reimbursed through payroll. Meals with overnight lodging will be paid through Accounts Payable.

In-State Meal Reimbursement Rates – These amounts include tax and tip, up to 15% (taken from Dept. of Adm. Services).

\$8.00 Breakfast (Depart before 6:00 AM) \$12.00 Lunch \$15.00 Dinner (For trips when the employee returns after 7:00 PM) \$35.00 Per Day Total

Out-of-State Meal Reimbursement Rates will follow the federal guidelines.

Meal allowance will not apply if meals are included in registration costs. Allowances may be increased, by prior approval of the City Manager, if extenuating circumstances exist.

Lodging

Convenience to the traveler in the selection of a hotel/motel site will be considered. However, the most accommodating location at the most reasonable rate should be selected. Should a spouse accompany the employee, reimbursement will only be made for the employee. Receipts are required.

Submitting Travel Requests

All travel requests, including one (1) day seminars, should be submitted to the City Manager. The information should include a proposed estimate of cost, brief description of the need for the request and signature of the Department Head. Also included should be any conference brochures, flyers or written material about the travel request. Upon approval by the City Manager, a copy will be returned to the Department initiating the request. When reimbursement is sought for any travel request, a pay authorization should be submitted delineating all costs and supported with the appropriate receipts. A copy of the original travel request should be attached to the pay authorization.

Emergency Travel

The City recognizes that situations may develop wherein it is necessary to travel on City business on short notice and/or under emergency circumstances. In emergency situations the procedure for submitting a travel request set forth above is waived. If the emergency travel requirement occurs during normal business hours, Department Heads or their designee are authorized to approve such emergency travel with concurrence from the City Manager or Mayor. If the emergency travel requirement occurs outside of normal business hours, Department Heads or their designee are authorized to approve such emergency travel. On the next regular business day, the City Manager or Mayor will be advised of the emergency travel authorized by the Department Head/designee.

7.11 Credit Card Policy

The City recognizes the importance of making a credit card available for planned and unforeseen expenses. As the City is responsible to the general public for any expenditures, it is necessary that any such expenditure be governed by guidelines that control and document legitimate expenditures. Therefore, any use of a credit card in the name of the City must strictly adhere to said guidelines.

Only the City Manager, Library Director, and Assistant Library Director will be authorized to routinely carry a City issued credit card. An additional card shall be kept at City Hall under the security of the City Clerk. Any employee desiring to utilize the credit card must obtain prior approval from the City Manager and/or the City Clerk. The credit card must be signed out, with the sign out sheet showing purpose, date of check out and return. An itemized receipt shall accompany the return of the credit card for each use of the card. ABSOLUTELY NO CASH may be obtained from the credit card.

The credit card may be utilized for the purchase of services and materials relating to City business only. Personal use of the credit card is strictly forbidden. Purchase of any frivolous materials or any unauthorized use of the credit card is strictly forbidden.

In the case of the credit card being lost or stolen, a report shall be made immediately to the City Manager and/or City Clerk.

The City does not ordinarily pay sales tax, but it understands that not all entities honor tax exemption unless prior arrangements and tax exemption certificates have been issued, which the City recognizes that it is not always possible to do so.

Abuse of the utilization of the credit card shall warrant disciplinary action up to and including termination and/or legal action.

7.12 Professional Memberships/Endorsements/Licenses

The City believes in investing in the professional development of its employees and wants its employees to be active in professional advancement activities, training, and education and will consider all request to assist its employees in this effort. Any costs associated with these activities that will be paid by the City will be determined by the City Manager/Mayor and/or Library Board

Membership fees or dues for professional organizations, endorsement fees, and required license expenses related to the employee's responsibilities will be paid by the City if determined by the City Manager/Mayor and/or Library Board (for library staff) to be mutually beneficial to both the City and the employee.

7.13 Cell Phone Policy

The City has consistent and clear rules for the issuance and/or use of cell phones to conduct official business on behalf of the City. The City recognizes that certain City positions may require the employee to be readily accessible for frequent contact outside normal working hours by other City staff and/or the public. The employee may also be required to be away from his or her work location on a regular basis or that his or her work location is typically within a vehicle. Not all employees may require the use of a cell phone for business use.

The City Manager or designee is responsible for determining an employee's need for a cell phone within his or her respective department.

The City Manager or designee will review a request by a Department Head and shall have final authority to deny, modify, or approve any departmental cellphone request.

The City provides two options for employees that require the use of a cell phone for business use. In most instances the City's preferred option is to provide the employee with a cell phone allowance. While employees subject to call-in or overtime procedures are always expected to answer their phone or promptly return calls regarding City business, an employee who is provided a City cell phone or a cell phone allowance shall answer his or her phone whether the employee is on duty or off duty, if unable to answer the phone, promptly return the telephone. If the employee is nonexempt, the employee shall keep detailed records of any off-duty, work-related phone activity including the date, time, description of the communication, and how long the employee spent on the

communication. The employee shall submit that form to his or her Department Head and such time shall be considered time worked. Any employee found to be violating and/or abusing this policy shall be subject to discipline up to and including termination.

Cell Phone Allowance

The Department Head or his or her designee shall determine when a cell phone allowance is in the best interest of the City. The cell phone allowance will assist in deferring the cost of a cell phone or smartphone owned by the employee, which would be used for business use.

If the allowance received is equal to or less than the actual cost of the monthly cell phone service that is attributable to the employee's use of the cellphone for the City (i.e., if the cellphone has an international plan, the cost of the international plan should not be considered in the actual cost of the cellphone service unless the employee is engaged in international communication on behalf of the City), this allowance is in compliance with IRS notice 2011-72 regarding Section 2043 of the Small Business Jobs Act of 2010, Public Law Number 111-240 that sets the guideline for cell phone allowances. The allowance would be a nontaxable benefit. The allowance will not be considered as a part of the employee's base salary, nor will it be used for purposes of determining annual raises, retirement benefits or other benefits.

The monthly allowance will be set by the City Council upon the recommendation from the City Manager and would be reviewed as directed or needed.

An employee receiving the cell phone allowance must retain an active cell phone or smartphone as long as the allowance is in place. The employee must provide any change in cell phone number to his or her Department Head within 48 hours of such change. Members receiving a cell phone allowance are expected to carry the cell phone or smartphone on their person both on and off duty and respond when called for City business. Additionally, if an employee's monthly cell phone service charge becomes less than the monthly allowance, the employee must notify the City Manager within 30 days of such change.

The cell phone or smartphone under this option is considered a personal item, owned by the employee. As such, the employee is responsible for all costs associated with replacing a lost, stolen or damaged cell phone or smartphone. The employee is also responsible for all costs associated with the purchase of accessories.

If, prior to the end of any vendor contractual obligations, a personal decision by the employee, or an employee's misconduct, or misuse of the cell phone or smartphone results in the allowance being discontinued, the employee will bear the cost of any fees associated with that change or cancellation.

An employee conducting City business on his or her personal phone shall be informed that any content on the phone may be reviewed in an open records request pursuant to Chapter 22 of the Iowa Code and that records related to City business may be considered public records.

City Issued Cell Phone

The Department Head or designee shall determine when a City provided cell phone is in the best interest of the City. The City will pay for 100% of the cost of the City issued cell phone and will determine the service plan level and related options/ accessories for the employee.

Normal wear and tear of this type of equipment is expected. If the City determines the equipment needs repair or replacement due to the employee's negligence, the cost of repair and/or replacement of the equipment will be the responsibility of the employee.

A City issued cell phone should be used for business use and emergency personal use only.

If the cell phone is being used inappropriately or for personal gain appropriate disciplinary action shall be taken. If an employee intentionally or negligently damages a City-Issued cell phone, the employee shall be liable for the cost of the phone and such cost shall be subject to income withholding pursuant to Iowa Code Section 91A.5

General Use Conditions

- The Department Head and employee are jointly responsible for understanding the terms of this Policy, as well as the specific wireless vendor's contractual terms; for ensuring compliance with this Policy; and understanding the consequences of noncompliance with this Policy.
- To observe safe vehicle operations, whenever practical and safely possible, the employee shall pull over to a safe off-of-the-roadway location to initiate or to continue any non-emergency call.
- Use of a cell phone or smartphone in any manner contrary to department protocol and local, state, or federal laws will constitute misuse, and may result in appropriate employee discipline.
- Employees who are charged with traffic violations resulting from the use of their cell phone or smartphone while driving will be solely responsible for all liabilities that result from such actions.
- The employee shall surrender all City issued cell phones and related equipment upon termination or upon the direction of the Department Head or City Manager.
 The cell phone allowance will cease upon termination or upon the direction of the Department Head or City Manager.
- An employee who receives a City issued cell phone shall be aware that <u>ALL</u> voice calls, data, metadata, emails, texts and any other applicable forms of

communication done on the city issued phone are public records and are subject to relevant provisions of Chapter 22 of the Iowa Code, as amended from time to time.

- An employee who receives a cell phone allowance shall be aware that voice calls, data, metadata, emails, texts and any other applicable forms of communication to conduct official City business are public records and are subject to relevant provisions of Chapter 22 of the Iowa Code, as amended from time to time.
- An employee may request a cancellation of a City issued cell phone or of the cell phone allowance at any time in writing to the employee's authorizing manager (i.e. Department Head, City Manager or designee). The authorizing manager may approve or deny the request based on the employee's position and need of the employee to have a cell phone.

7.14 Workplace Non-Violence Policy

The City of Independence is committed to providing a work environment that is safe and free from threats or acts of violence. This policy addresses employee's responsibilities for refraining from any type of workplace violence, and procedures for reporting and correcting any workplace violence or threats of violence that may occur. This policy is not all-inclusive of actions and remedies that may be taken to address threats of violence in the workplace, since workplace violence or threats may be a criminal matter.

For the purposes of this policy, the following definitions shall apply:

<u>Dangerous Weapon</u> — any item, device, thing, instrument, material, or substance, whether animate or inanimate, that is designed or specially adapted for use to, or that is likely to, intentionally cause death or great bodily harm; and anything that closely resembles any such item, device, thing, instrument, material, or substance, and that was displayed or used in a manner that created the impression that the aforementioned thing or substance was capable of or might be used to cause death or great bodily harm.

Dangerous weapons include, but are not limited to:

- Firearms of all types, irrespective of propellant and whether serviceable or unserviceable;
- Archery bows, cross-bows, and slingshots;
- Explosive chemicals, compounds, and mixtures, and devices or pressurized vessels that have been altered or arranged to explode;
- Incendiary devices;
- Cutting and stabbing devices, except folding knives having a blade that is three inches or less in length;
- Taser or other similar electronic immobilizers;
- Bludgeons and other blunt instruments or tools, including use of a vehicle to strike;

- Poison, toxic, acidic, or caustic chemicals, compounds, and mixtures;
- Animals that have been taught to attack on command; and
- In the case of individuals who have been trained in martial arts, boxing, ultimate fighting, or other fighting or defensive regimens, punching, striking, throwing, kicking, pain or submission or choke or any similar holds.

<u>Threat of Violence</u> – any visual, verbal, or physical act, that warns of or expresses an ability or intent to harm or kill; is intended to intimidate or create fear; or has the purpose of unreasonably interfering with an individual's reasonable expectation of a peaceful, non-hostile or inoffensive work environment, whether made in person, by telephone, mail, written or electronic communications, or other means.

No City of Independence employee shall threaten to or commit an act of violence against another person, whether the person is another City of Independence employee or a member of the public, in the workplace or while performing or being paid to perform job duties off-site. Prohibited conduct includes but is not limited to the following:

- Threats of violence;
- Open display or brandishing of a dangerous weapon;
- Intentionally damaging real or personal property of the City of Independence or of another individual;
- Committing acts of harassment;
- Communicating contempt or hatred on the basis of age, race, creed, color, sex, sexual orientation, gender identity, national origin, religion, or disability;
- Stalking or conducting harassing surveillance;
- Any other act or statement that a reasonable person would perceive as constituting a threat or act of violence.

This policy does not apply to:

- 1. Conduct by Police and Fire Department personnel while performing any and all duties within the scope of their employment, or dangerous weapons carried or possessed by such personnel or any other law enforcement officer in conjunction with their employment and duties. The respective Chiefs may set additional policies for their Department, as a whole or for any given individual, that are either more restrictive or more lenient than this global policy, as deemed necessary by the Chief to ensure that they are appropriately equipped to perform all tasks and duties of their employment, and for personal protection and off-duty obligations that may relate to their profession, as in the case of law enforcement officers.
- 2. Personally owned folding knives having a blade that is not more than 3" in length.
- 3. Knives, scissors, items, devices, things, instruments, materials, or substances that are used as tools to accomplish work assignments, but subject to review and approval by the Department Head in the case of any dispute or question pertaining to their necessity or how they are being used.

7.15 Break Time for Nursing Mothers

Employees shall be provided reasonable break times to express breast milk for a nursing child for up to one year after the birth of an employee's child. Such a break shall be provided anytime the employee has a need to express milk. Employees shall be provided a private room which is shielded from the view of others and secure as to not allow intrusion from co-workers and the public except in the case of an emergency. Such room shall not be a bathroom. The space need not be dedicated to expressing breast milk but shall be available for such use whenever needed by an employee. The City shall allow employees to express breast milk during their paid fifteen (15) minute breaks and/or unpaid lunch period. If the employee requires additional breaks for expressing breast milk the employee shall notify her supervisor and such break will be unpaid. The employee must clock out at the start of the break and clock in at the end of the break when returning to work.

OBSERVED BEHAVIOR REASONABLE SUSPICION RECORD

To:	
From:	, City of Independence, Iowa
Date:	
Re: Offer of Transitional Work	
and/or Section 5.3 of the City of In	ional work as required under lowa Code Section 85.33(3)(b) adependence Personnel Policy Handbook from your employer, ecifically, the City is offering you work as
	·
meals; [] does [] does not require	does [] does not require lodging; [] does [] does not require transportation. If any of those items are required, information or transportation required is as follows:
determined by your treating he	temporary position is within your stated work restrictions, as ealthcare provider,, and is lowa law and/or Section 5.3 of the City's Personnel Policy
for your refusal to the City in writi that the work is or is not suitable	nal work, you must communicate your refusal and the reason ing, including whether your refusal is based on your assertion. During any period of refusal if you are eligible for workers' of be compensated with temporary total, temporary partial, or work refused is not suitable.
If you have any questions regardin	g this offer of work, please contact
	at .
Additionally, pursuant to City po	at shall not be considered a permanent accommodation. plicies, if you work outside of your restrictions during this se subject to discipline up to and including termination.

If you accept this offer of work, please sign the acceptance below. If you refuse this offer of work, please sign the refusal on the attached page. Please respond to this offer of work within seven

(7) days of the date of this offer.

.

Ι,	, accept the City of Independence, lowa's offer of
work as described in the offer above.	
Signature	Printed Name
Date	
I,	, refuse the City of Independence, Iowa's offer of, 20 and as described in the offer on the for the following reasons:
because it is not suitable, I must state t any period of refusal, if eligible for such	sal of work in writing and that if I am refusing the work hat in my written refusal. Finally, I understand that during benefits, I will not be compensated with temporary total, enefits unless the work refused is not suitable.
Signature	Printed Name
 Date	_

Employee Acknowledgment of Receipt of Handbook

I acknowledge that I have received a copy of the City of Independence Personnel Policy Handbook with the last revision date being January 22, 2024, and that I have had an opportunity to read it. I understand it is my responsibility to read and understand these policies and to comply with the policies contained in this Personnel Handbook and any revisions to it. I understand the Personnel Handbook has been provided to me for informational purposes only, and that the City of Independence has the right to change or withdraw any policies, procedures or benefit programs at any time. I acknowledge that this Personnel Handbook is not a contract of employment, express or implied, and that I am not guaranteed employment for any specific duration. (Library personnel are governed in accordance with Chapter 22 Library Board of Trustees).

Since positions vary in their duties and responsibilities, not all policies and regulations can be covered in this handbook. I understand that I may direct inquiries for additional information to my supervisor or the City Manager. I am aware that if, at any time, I have questions regarding City of Independence policies I should direct them to my supervisor or the City Manager.

I acknowledge my understanding that a violation of any of the policies contained in this Employee Handbook shall result in discipline up to and including **termination** of my employment.

The use of masculine or feminine gender in references or titles shall be considered to include both genders and is not a sex limitation. No policies in this handbook shall supersede any provisions of state or federal law. The policies in this handbook are intended to apply to all City employees.

By signing below employee provides full authority for the City to withhold the amounts identified above in Policies 4.4, 5.4, 7.3 from his or her final paycheck. By signing below, employee acknowledges the parties intend this to serve as a valid authorization for withholding from a final paycheck under Iowa Code Section 91A.5, as amended from time to time.

I understand that a copy of this signed acknowledgement will be maintained in my personnel		
Employee's Printed Name	Position	
Employee's Signature	 Date	

Note: A copy of this form will be given to all employees at the beginning of their employment with the City or whenever changes are made to the Handbook. Upon receipt, the employee must sign this form, indicating that they have received the Employee Handbook and been given an opportunity to ask questions. The signed form must be returned to City Hall and be placed in the employee's Personnel File.