
In accordance with §24-30-202 C.R.S., this Amendment is not valid until signed and dated below by the State Controller or an authorized delegate.

STATE CONTROLLER
Robert Jaros, CPA, MBA, JD

By: _____
Department of Transportation

Amendment Effective Date: _____

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1) PARTIES

This Amendment (the “Amendment”) to the Original Agreement shown on the Signature and Cover Pages for this Amendment (the “Agreement”) is entered into by and between the Local Agency and the State.

2) TERMINOLOGY

Except as specifically modified by this Amendment, all terms used in this Amendment that are defined in the Agreement shall be construed and interpreted in accordance with the Agreement.

3) EFFECTIVE DATE AND ENFORCEABILITY**A. Amendment Effective Date**

This Amendment shall not be valid or enforceable until the Amendment Effective Date shown on the Signature and Cover Pages for this Amendment. The State shall not be bound by any provision of this Amendment before that Amendment Effective Date, and shall have no obligation to pay the Local Agency for any Work performed or expense incurred under this Amendment either before or after the Amendment term shown in **§3.B** of this Amendment

B. Amendment Term

The Parties’ respective performances under this Amendment and the changes to the Agreement contained herein shall commence on the Amendment Effective Date shown on the Signature and Cover Page for this Amendment and shall terminate on the termination of the Agreement.

4) PURPOSE

The Parties entered into the Agreement for the Laporte Avenue Improvements: Fishback to Sunset project in Fort Collins, CO. The Parties now desire to update the Funding Provisions.

5) MODIFICATIONS

The Agreement and all prior amendments thereto, if any, are modified as follows:

a) The total budgeted funds are increased from \$6,352,500.00 by \$55,000.00 to a new total budgeted funds of \$6,407,500.00.

b) **Exhibit C-4** shall be replaced by **Exhibit C-5**. Any reference in the Original Agreement to **Exhibit C**, **Exhibit C-1**, **Exhibit C-2**, **Exhibit C-3**, or **Exhibit C-4** shall be a reference to **Exhibit C-5**.

c) **Exhibit D-1** shall be replaced by **Exhibit D-2**. Any reference in the Original Agreement to **Exhibit D** or **Exhibit D-1** shall be a reference to **Exhibit D-2**.

6) LIMITS OF EFFECT

This Amendment is incorporated by reference into the Agreement, and the Agreement and all prior amendments or other modifications to the Agreement, if any, remain in full force and effect except as specifically modified in this Amendment. Except for the Special Provisions contained in the Agreement, in the event of any conflict, inconsistency, variance, or contradiction between the provisions of this Amendment and any of the provisions of the Agreement or any prior modification to the Agreement, the provisions of this Amendment shall in all respects supersede, govern, and control. The provisions of this Amendment shall only supersede, govern, and control over the Special Provisions contained in the Agreement to the extent that this Amendment specifically modifies those Special Provisions.

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EXHIBIT A TO RESOLUTION 2024-097
EXHIBIT C-5 - FUNDING PROVISIONS

City of Fort Collins TAP M455-133 (23630, 25890, 25891, 25892)

A. Cost of Work Estimate

The Local Agency has estimated the total cost the Work to be \$6,407,500.00, which is to be funded as follows:

1. FUNDING

a.	Federal Funds TAP (80.00% of TAP Award)	\$3,250,000.00
b.	Local Agency Funds (20.00% of TAP Award)	\$812,500.00
c.	Federal Funds HSIP (90.00% of HSIP Award)	\$49,500.00
h.	Local Agency Funds (10.00% of HSIP Award)	\$5,500.00
d.	Federal Funds ARPA US Treasury Expenditure Category EC6 (80.31% of RMS Award)	\$1,437,500.00
e.	Local Agency Funds (19.69% of RMS Award)	\$352,500.00
f.	State Funds MMOF (50.00% of MMOF Award)	\$250,000.00
g.	Local Agency Funds (50.00% of MMOF Award)	\$250,000.00

TOTAL FUNDS ALL SOURCES	\$6,407,500.00
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2. OMB UNIFORM GUIDANCE

a.	Federal Award Identification Number (FAIN):	TBD
b.	Name of Federal Awarding Agency:	FHWA, USDT
c.	Local Agency Unique Entity Identifier	VEJ3BS5GK5G1
d.	Assistance Listing # Highway Planning and Construction	ALN 20.205
e.	Assistance Listing # Coronavirus State and Local Fiscal Recovery Funds	ALN 21.027
f.	Is the Award for R&D?	No
g.	Indirect Cost Rate (if applicable)	N/A
h.	Amount of Federal Funds Obligated by this Action:	\$0.00
i.	Amount of Federal Funds Obligated to Date (including this Action):	\$2,187,500.00

3. ESTIMATED PAYMENT TO LOCAL AGENCY

a.	Federal Funds Budgeted	\$3,299,500.00
b.	ARPA Funds Budgeted	\$1,437,500.00
c.	State Funds Budgeted	\$250,000.00
d.	Less Estimated Federal Share of CDOT-Incurred Costs	\$0.00

TOTAL ESTIMATED PAYMENT TO LOCAL AGENCY	77.73%	\$4,987,000.00
TOTAL ESTIMATED FUNDING BY LOCAL AGENCY	22.27%	\$1,420,500.00
TOTAL PROJECT ESTIMATED FUNDING	100.00%	\$6,407,500.00

4. FOR CDOT ENCUMBRANCE PURPOSES

TAP		
a.	Total Encumbrance Amount (Federal funds + Local Agency funds)	\$4,062,500.00
b.	Less ROW Acquisition 3111 and/or ROW Relocation 3109	\$0.00

EXHIBIT A TO RESOLUTION 2024-097

HSIP

a.	Total Encumbrance Amount (Only State funds are encumbered)	\$55,000.00
b.	Less ROW Acquisition 3111 and/or ROW Relocation 3109	\$0.00

RMS (ARPA)

a.	Total Encumbrance Amount (Only ARPA funds are encumbered)	\$1,437,500.00
b.	Less ROW Acquisition 3111 and/or ROW Relocation 3109	\$0.00

MMOF

a.	Total Encumbrance Amount (Only State funds are encumbered)	\$250,000.00
b.	Less ROW Acquisition 3111 and/or ROW Relocation 3109	\$0.00

NET TO BE ENCUMBERED BY CDOT IS AS FOLLOWS	\$5,805,000.00
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Note: Only \$2,586,250.00 is currently available. Additional Design and Construction funds will become available after execution of an Option letter (Exhibit B) or formal Amendment.

TAP

WBS Element 23630.10.30	Performance Period Start*/End Date 08/31/2022 – 12/31/2024	Design 3020	\$1.00
WBS Element 25890.20.10	Performance Period Start*/End Date 10/12/2023 – 12/31/2024	Const. 3301	\$937,500.00
WBS Element 25891.20.10	Performance Period Start*/End Date TBD-TBD	Const. 3301	\$0.00
WBS Element 25892.20.10	Performance Period Start*/End Date TBD-TBD	Const. 3301	\$0.00

HSIP

WBS Element 25890.20.10	Performance Period Start*/End Date TBD - TBD	Const. 3301	\$0.00
WBS Element 25891.20.10	Performance Period Start*/End Date TBD – TBD	Const. 3301	\$0.00
WBS Element 25892.20.10	Performance Period Start*/End Date TBD - TBD	Const. 3301	\$0.00

RMS

WBS Element 23630.10.30	Performance Period Start**/End Date 06/29/2022 – 12/31/2026	Design 3020	\$1,437,499.00
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MMOF

WBS Element 25890.10.30	Performance Period Start**/End Date N/A- N/A	Const. 3301	\$211,250.00
WBS Element 25891.20.10	Performance Period Start**/End Date N/A- N/A	Const. 3301	\$0.00
WBS Element 25892.20.10	Performance Period Start**/End Date N/A- N/A	Const. 3301	\$0.00

* For TAP and HSIP funds, the Local Agency should not begin work until all three (3) of the following are in place: 1) Phase Performance Period Start Date; 2) the execution of the document encumbering funds for the respective phase; and 3) Local Agency receipt of the official Notice to Proceed. Any work performed before these three (3) milestones are achieved will not be reimbursable.

** For RMS and MMOF funds, the Local Agency should not begin work until both of the following are in place: 1) the execution of the document encumbering funds for the respective phase; and 2) Local Agency receipt of the official Notice to Proceed. Any work performed before these two (2) milestones are achieved will not be reimbursable.

B. Matching Funds

The funding ratio for the federal & State funds for this Work is 77.73% federal & State funds to 22.27% Local Agency funds, and this ratio applies only to the \$6,407,500.00 that is eligible for federal & State funding. All other costs are borne by the Local Agency at 100%. If the total cost of performance of the Work exceeds \$6,407,500.00, and additional federal & State funds are not available, the Local Agency shall pay all such excess costs. If the total cost of performance of the Work is less than \$6,407,500.00, then the amounts of Local Agency and federal & State funds will be decreased in accordance with the funding ratio described in **A1. This applies to the entire scope of Work.**

C. Maximum Amount Payable

The maximum amount payable to the Local Agency under this Agreement shall be \$4,987,000.00. For CDOT accounting purposes, the federal funds of \$3,299,500.00, federal ARPA funds of \$1,437,500.00, State MMOF funds of \$250,000.00 and Local Agency funds of \$818,000.00 will be encumbered, but the Local Agency funds of \$602,500.00 will NOT be encumbered for a total encumbrance of \$5,805,000.00. The total budget of this project is \$6,407,500.00, unless this amount is increased by an executed amendment before any increased cost is incurred. The total cost of the Work is the best estimate available, based on the design data as approved at the time of execution of this Agreement, and that any cost is subject to revisions agreed to by the parties prior to bid and award. The maximum amount payable will be reduced without amendment when the actual amount of the Local Agency's awarded Agreement is less than the budgeted total of the federal funds and the Local Agency funds. The maximum amount payable will be reduced through the execution of an Option Letter as described in Section 7. E. of this contract. **This applies to the entire scope of Work. ARPA Funds can only originate from and after May 18, 2021.**

D. Single Audit Act Amendment

All state and local government and non-profit organizations receiving \$750,000 or more from all funding sources defined as federal financial assistance for Single Audit Act Amendment purposes shall comply with the audit requirements of 2 CFR part 200, subpart F (Audit Requirements) see also, 49 CFR 18.20 through 18.26. The Single Audit Act Amendment requirements applicable to the Local Agency receiving federal funds are as follows:

i. Expenditure less than \$750,000

If the Local Agency expends less than \$750,000 in Federal funds (all federal sources, not just Highway funds) in its fiscal year then this requirement does not apply.

ii. Expenditure of \$750,000 or more-Highway Funds Only

If the Local Agency expends \$750,000 or more, in Federal funds, but only received federal Highway funds (Catalog of Federal Domestic Assistance, CFDA 20.205) then a program specific audit shall be performed. This audit will examine the "financial" procedures and processes for this program area.

iii. Expenditure of \$750,000 or more-Multiple Funding Sources

If the Local Agency expends \$750,000 or more in Federal funds, and the Federal funds are from multiple sources (FTA, HUD, NPS, etc.) then the Single Audit Act applies, which is an audit on the entire organization/entity.

iv. Independent CPA

Single Audit shall only be conducted by an independent CPA, not by an auditor on staff. An audit is an allowable direct or indirect cost.

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EXHIBIT D-2

LOCAL AGENCY RESOLUTION

[This page will be replaced with Local Agency Resolution prior to routing for signatures.]