



## Folsom City Council Staff Report

<b>MEETING DATE:</b>	9/22/2020
<b>AGENDA SECTION:</b>	Consent Calendar
<b>SUBJECT:</b>	Resolution No. 10528 - A Resolution Authorizing the City Manager to Execute a Program Supplement Agreement with Caltrans for the SouthEast Connector Segment D3(A), Project No. PW1607, Federal Project No. 5288(046)
<b>FROM:</b>	Public Works Department

### **RECOMMENDATION / CITY COUNCIL ACTION**

The Public Works Department recommends that the City Council pass and adopt Resolution No. 10528 - A Resolution Authorizing the City Manager to Execute a Program Supplement Agreement with Caltrans for the SouthEast Connector Segment D3(A), Project No. PW1607, Federal Project No. 5288(046).

### **BACKGROUND / ISSUE**

The planned Capital SouthEast Connector is a 34-mile limited-access roadway spanning from U.S. 50 at Silva Valley Parkway interchange in El Dorado County to Interstate 5 at the Hood-Franklin Interchange in Elk Grove. The Connector is planned to be constructed in segments as funding and priorities allow. Segment D3 includes the length of the Connector that borders the City of Folsom and Sacramento County from Prairie City Road to the El Dorado County Line. Segment E1 is the El Dorado County Segment that Segment D3 ties into.

Segment D3 has been further segmented into two additional segments: D3(A) and D3(B). Segment D3(A) will upgrade existing White Rock Road to a four-lane expressway beginning near the intersection of Prairie City Road and continuing through the intersection of East Bidwell Street. The project entails constructing four lanes between these two major intersections, including a bridge over Alder Creek. The bridge also serves as a “wildlife crossing,” as contemplated in the South Sacramento Habitat Conservation Plan.

The project is a complete reconstruction that will create a new alignment of White Rock Road adjacent and immediately south of the existing White Rock Road. The existing White Rock Road will remain open to traffic during construction.

The City of Folsom is leading the construction of this project on behalf of the Capital SouthEast Connector Joint Powers Authority (JPA) and in collaboration with Sacramento County.

The City has secured a State Transportation Improvement Program (STIP) and SB1 funding from Sacramento Area Council of Governments (SACOG) in partnership with the SouthEast Connector JPA. A request for authorization to proceed with construction was submitted to Caltrans on May 15, 2020. The Authorization to Proceed (E-76) was received on June 25, 2020 and the subsequent Supplemental Agreement was received on August 8, 2020.

Caltrans requires a Program Supplement Agreement to the Administering Agency–State Agreement for each project administered through Caltrans.

### **POLICY / RULE**

Execution of an Administering Agency-State Agreement and Program Supplement Agreement requires City Council approval.

### **ANALYSIS**

The City of Folsom is required to execute the Program Supplement Agreement with Caltrans Local Assistance to proceed with the construction of the SouthEast Connector Segment D3(A). This project is eligible for a reimbursement from the Fixing America’s Surface Transportation Act (FAST Act) for Federal Funding and SB1 for State Funding. The construction project is currently out for competitive bid with a public opening expected on September 9, 2020.

The City must invoice Caltrans to receive any reimbursement. However, prior to invoicing Caltrans, the City must approve a Program Supplement Agreement for the SouthEast Connector Segment D3(A). This is a Caltrans standard form that has previously been approved by the City Attorney for other projects, including the Orangevale Bridge Rehabilitation Project, Rainbow Bridge Maintenance Project, Folsom Boulevard Improvements, Historic Folsom Station Phase I Project, Street Repair and Resurfacing Project – 2005, Phase I, Street Repair and Resurfacing Project – 2004 Phase II, Folsom/El Dorado Transit Corridor Study, US 50/East Bidwell Street Interchange, Riley Street Extension, and Folsom/Auburn Road Widening Projects.

### **FINANCIAL IMPACT**

The SouthEast Connector Segment D3A is eligible to receive funds from FAST Act funding based on a SACOG Regional Surface Transportation Program Grant and SB1 Funding. Funding for construction and construction engineering is shown below:

Fund	Fund Type	Amount
FAST Act	Surface Transportation Block Grant	\$15,000,000
SB1	Competitive	\$10,000,000
SACOG Advance Construction	Use Local Transportation Funds to Leverage Future Funding	\$6,201,5000

The FAST Act funding requires a local match of 20%. The Transportation Fund (Fund 446) will be utilized to meet the required match of approximately \$3,000,000. The \$15,000,000 FAST Act funding and SB1 funding will be reimbursed by Caltrans. \$3,000,000 funding has been budgeted in the FY 20-21 SouthEast Connector D3 Project. At this time, no funding is required to execute the Program Supplement. Staff will return to the City Council for the award of the construction and construction engineering agreements.

An additional \$3,201,500 has been requested from SACOG to supplement the construction engineering for the project with Advance Construction funding.

**ENVIRONMENTAL REVIEW**

In 2016 the Capital SouthEast Connector JPA approved a California Environmental Quality Act (CEQA) Tiered Initial Study with Mitigated Negative Declaration for the Capital Southeast Connector Segment D3/E1.

Caltrans approved the National Environmental Policy Act (NEPA) Categorical Exclusion for Segment D3/E1.

The project is not located in an environmentally sensitive area and would not result in potential impacts to the environment, including traffic, noise, air quality and water quality.

**ATTACHMENTS**

1. Resolution No. 10528 - A Resolution Authorizing the City Manager to Execute a Program Supplement Agreement with Caltrans for the SouthEast Connector Segment D3(A), Project No. PW1607, Federal Project No. 5288(046)
2. Program Supplement No. F031 to Administering Agency-State Agreement for Federal-Projects No. 03-5288F15

Submitted,



\_\_\_\_\_  
Dave Nugen, PUBLIC WORKS DIRECTOR

# ATTACHMENT 1

**RESOLUTION NO. 10528**

**A RESOLUTION AUTHORIZING THE CITY MANAGER TO EXECUTE PROGRAM SUPPLEMENT AGREEMENT WITH CALTRANS FOR THE SOUTHEAST CONNECTOR SEGMENT D3(A), PROJECT NO. PW1607, FEDERAL PROJECT NO. 5288(046)**

**WHEREAS**, the Capital SouthEast Connector Project is the Sacramento region's largest single transportation project; and

**WHEREAS**, the City of Folsom is a Member Jurisdiction of the Capital SouthEast Connector Joint Powers Authority and will implement the Project; and

**WHEREAS**, the Capital SouthEast Connector Project has, to date, been funded primarily through a Sacramento County sales-tax measure approved in 2004 by 75 percent of voters; and

**WHEREAS**, the Capital SouthEast Connector Project has developed a program development budget that requires the use of Federal and State funds to advance the project towards timely construction; and

**WHEREAS**, the City of Folsom desires to construct the SouthEast Connector Project Segment D3(A) along the City's border to four lanes with two eight foot shoulders; and

**WHEREAS**, the City of Folsom received Surface Transportation Improvement Program funding, and is eligible for federal reimbursement; and

**WHEREAS**, the City of Folsom received SB-1 funding, and is eligible for state reimbursement; and

**WHEREAS**, the City of Folsom is required to execute the Program Supplement F031 to the Administering Agency-State Agreement 03-5288F with the State of California Department of Transportation in order to receive the funding; and

**WHEREAS**, 20 percent matching funds will utilize funds from the Transportation Fund (Fund 446); and

**WHEREAS**, funds received will be credited to Transportation Fund (Fund 446); and

**WHEREAS**, the agreement will be in a form acceptable to the City Attorney:

**NOW, THEREFORE, BE IT RESOLVED** that the City Council of the City of Folsom authorizes the City Manager to Execute a Program Supplement Agreement with Caltrans for the SouthEast Connector Segment D3(A), Project No. PW1607, Federal Project No. 5288(046)

**PASSED AND ADOPTED** this 22<sup>nd</sup> day of September 2020, by the following roll-call vote:

**AYES:** Council Member(s):  
**NOES:** Council Member(s):  
**ABSENT:** Council Member(s):  
**ABSTAIN:** Council Member(s):

---

Sarah Aquino, MAYOR

ATTEST:

---

Christa Freemantle, CITY CLERK

**Attachment 2**

**Program Supplement No. F031 to Administering  
Agency-State agreement for Federal Projects  
No. 03-5288F15**





**SPECIAL COVENANTS OR REMARKS**

1. This PROJECT is programmed to receive funding from the State Transportation Improvement Program (STIP). Funding may be provided under one or more components. A component(s) specific fund allocation is required, in addition to other requirements, before reimbursable work can occur for the component(s) identified. Each allocation will be assigned an effective date and identify the amount of funds allocated per component(s).

This PROGRAM SUPPLEMENT has been prepared to allow reimbursement of eligible PROJECT expenditures for the component(s) allocated. The start of reimbursable expenditures is restricted to the later of either 1) the effective date of the Master Agreement, 2) the effective date of the PROGRAM SUPPLEMENT, or 3) the effective date of the component specific allocation.

2. STATE and ADMINISTERING AGENCY agree that additional funds made available by future allocations will be encumbered on this PROJECT by use of a STATE approved Allocation Letter and Finance Letter. ADMINISTERING AGENCY agrees that STATE funds available for reimbursement will be limited to the amount allocated by the California Transportation Commission (CTC) and/or the STATE.
3. Upon ADMINISTERING AGENCY request, the CTC and/or STATE may approve supplementary allocations, time extensions, and fund transfers between components. An approved time extension will revise the timely use of funds criteria, outlined above, for the component(s) and allocation(s) requested. Approved supplementary allocations, time extensions, and fund transfers between components made after the execution of this PROGRAM SUPPLEMENT will be documented and considered subject to the terms and conditions thereof.

Documentation for approved supplementary allocations, time extensions, and fund transfers between components will be a STATE approved Allocation Letter, Fund Transfer Letter, Time Extension Letter, and Finance Letter, as appropriate.

4. This PROJECT will be administered in accordance with the CTC STIP guidelines, as adopted or amended, and the STATE Procedures for Administering Local Grant Projects in the State Transportation Improvement Program (STIP), the Local Assistance Program Guidelines, and the Local Assistance Procedures Manual. The submittal of invoices for project costs shall be in accordance with the above referenced publications and the following.
5. The ADMINISTERING AGENCY shall invoice STATE for environmental & permits, plans specifications & estimate, and right-of-way costs no later than 180 days after the end of last eligible fiscal year of expenditure. For construction costs, the ADMINISTERING AGENCY has 180 days after project completion to make the final payment to the contractor and prepare the final Report of Expenditures and final invoice, and submit to STATE for verification and payment.
6. All obligations of STATE under the terms of this Agreement are subject to the

**SPECIAL COVENANTS OR REMARKS**

appropriation of resources by the Legislature and the encumbrance of funds under this Agreement. Funding and reimbursement are available only upon the passage of the State Budget Act containing these STATE funds.

7. ADMINISTERING AGENCY agrees that it will only proceed with work authorized for specific phase(s) with an "Authorization to Proceed" and will not proceed with future phase(s) of this project prior to receiving an "Authorization to Proceed" from the STATE for that phase(s) unless no further State or Federal funds are needed for those future phase(s).
8. This PROJECT is subject to the timely use of funds provisions enacted by Senate Bill 45 (SB 45), approved in 1997, and subsequent CTC guidelines and State procedures approved by the CTC and STATE, as outlined below:

Funds allocated for the environmental & permits, plan specifications & estimate, and right-of-way components are available for expenditure until the end of the second fiscal year following the year in which the funds were allocated.

Funds allocated for the construction component are subject to an award deadline and contract completion deadline. ADMINISTERING AGENCY agrees to award the contract within 6 months of the construction fund allocation and complete the construction or vehicle purchase contract within 36 months of award.

9. ADMINISTERING AGENCY agrees, as a minimum, to submit invoices at least once every six months commencing after the funds are encumbered for each phase by the execution of this Project Program Supplement Agreement, or by STATE's approval of an applicable Finance Letter. STATE reserves the right to suspend future authorizations/obligations for Federal aid projects, or encumbrances for State funded projects, as well as to suspend invoice payments for any on-going or future project by ADMINISTERING AGENCY if PROJECT costs have not been invoiced by ADMINISTERING AGENCY for a six-month period.

If no costs have been invoiced for a six-month period, ADMINISTERING AGENCY agrees to submit for each phase a written explanation of the absence of PROJECT activity along with target billing date and target billing amount.

ADMINISTERING AGENCY agrees to submit the final report documents that collectively constitute a "Report of Expenditures" within one hundred eighty (180) days of PROJECT completion. Failure of ADMINISTERING AGENCY to submit a "Final Report of Expenditures" within 180 days of PROJECT completion will result in STATE imposing sanctions upon ADMINISTERING AGENCY in accordance with the current Local Assistance Procedures Manual.

**SPECIAL COVENANTS OR REMARKS**

10. The Administering Agency shall not discriminate on the basis of race, religion, age, disability, color, national origin, or sex in the award and performance of any Federal-assisted contract or in the administration of its DBE Program Implementation Agreement. The Administering Agency shall take all necessary and reasonable steps under 49 CFR Part 26 to ensure nondiscrimination in the award and administration of Federal-assisted contracts. The Administering Agency's DBE Implementation Agreement is incorporated by reference in this Agreement. Implementation of the DBE Implementation Agreement, including but not limited to timely reporting of DBE commitments and utilization, is a legal obligation and failure to carry out its terms shall be treated as a violation of this Agreement. Upon notification to the Administering Agency of its failure to carry out its DBE Implementation Agreement, the State may impose sanctions as provided for under 49 CFR Part 26 and may, in appropriate cases, refer the matter for enforcement under 18 U.S.C. 1001 and/or the Program Fraud Civil Remedies Act of 1986 (31 U.S.C. 3801 et seq.).
11. Any State and Federal funds that may have been encumbered for this project are available for disbursement for limited periods of time. For each fund encumbrance the limited period is from the start of the fiscal year that the specific fund was appropriated within the State Budget Act to the applicable fund Reversion Date shown on the State approved project finance letter. Per Government Code Section 16304, all project funds not liquidated within these periods will revert unless an executed Cooperative Work Agreement extending these dates is requested by the ADMINISTERING AGENCY and approved by the California Department of Finance.

ADMINISTERING AGENCY should ensure that invoices are submitted to the District Local Assistance Engineer at least 75 days prior to the applicable fund Reversion Date to avoid the lapse of applicable funds. Pursuant to a directive from the State Controller's Office and the Department of Finance; in order for payment to be made, the last date the District Local Assistance Engineer can forward an invoice for payment to the Department's Local Programs Accounting Office for reimbursable work for funds that are going to revert at the end of a particular fiscal year is May 15th of the particular fiscal year. Notwithstanding the unliquidated sums of project specific State and Federal funding remaining and available to fund project work, any invoice for reimbursement involving applicable funds that is not received by the Department's Local Programs Accounting Office at least 45 days prior to the applicable fixed fund Reversion Date will not be paid. These unexpended funds will be irrevocably reverted by the Department's Division of Accounting on the applicable fund Reversion Date.

12. Award information shall be submitted by the ADMINISTERING AGENCY to the District Local Assistance Engineer within 60 days of project contract award and prior to the submittal of the ADMINISTERING AGENCY'S first invoice for the construction contract.

Failure to do so will cause a delay in the State processing invoices for the construction phase. Please refer to Section 15.7 "Award Package" of the Local Assistance Procedures Manual.

**SPECIAL COVENANTS OR REMARKS**

13. As a condition for receiving federal-aid highway funds for the PROJECT, the Administering Agency certifies that NO members of the elected board, council, or other key decision makers are on the Federal Government Exclusion List. Exclusions can be found at [www.sam.gov](http://www.sam.gov).
  
14. A. ADMINISTERING AGENCY shall conform to all State statutes, regulations and procedures (including those set forth in the Local Assistance Procedures Manual and the Local Assistance Program Guidelines, hereafter collectively referred to as "LOCAL ASSISTANCE PROCEDURES") relating to the federal-aid program, all Title 23 Code of Federal Regulation (CFR) and 2 CFR Part 200 federal requirements, and all applicable federal laws, regulations, and policy and procedural or instructional memoranda, unless otherwise specifically waived as designated in the executed project-specific PROGRAM SUPPLEMENT.  
  
B. Invoices shall be formatted in accordance with LOCAL ASSISTANCE PROCEDURES.  
  
C. ADMINISTERING AGENCY must have at least one copy of supporting backup documentation for costs incurred and claimed for reimbursement by ADMINISTERING AGENCY. ADMINISTERING AGENCY agrees to submit supporting backup documentation with invoices if requested by State. Acceptable backup documentation includes, but is not limited to, agency's progress payment to the contractors, copies of cancelled checks showing amounts made payable to vendors and contractors, and/or a computerized summary of PROJECT costs.  
  
D. Indirect Cost Allocation Plan/Indirect Cost Rate Proposals (ICAP/ICRP), Central Service Cost Allocation Plans and related documentation are to be prepared and provided to STATE (Caltrans Audits & Investigations) for review and approval prior to ADMINISTERING AGENCY seeking reimbursement of indirect costs incurred within each fiscal year being claimed for State and federal reimbursement. ICAPs/ICRPs must be prepared in accordance with the requirements set forth in 2 CFR, Part 200, Chapter 5 of the Local Assistance Procedural Manual, and the ICAP/ICRP approval procedures established by STATE.  
  
E. STATE will withhold the greater of either two (2) percent of the total of all federal funds encumbered for each PROGRAM SUPPLEMENT or \$40,000 until ADMINISTERING AGENCY submits the Final Report of Expenditures for each completed PROGRAM SUPPLEMENT PROJECT.  
  
F. Payments to ADMINISTERING AGENCY for PROJECT-related travel and subsistence (per diem) expenses of ADMINISTERING AGENCY forces and its contractors and subcontractors claimed for reimbursement or as local match credit shall not exceed rates authorized to be paid rank and file STATE employees under current State Department of Personnel Administration (DPA) rules. If the rates invoiced by ADMINISTERING AGENCY are in excess of DPA rates, ADMINISTERING AGENCY is

**SPECIAL COVENANTS OR REMARKS**

responsible for the cost difference, and any overpayments inadvertently paid by STATE shall be reimbursed to STATE by ADMINISTERING AGENCY on demand within thirty (30) days of such invoice.

G. ADMINISTERING AGENCY agrees to comply with 2 CFR, Part 200, Uniform Administrative Requirements, Cost Principles and Audit Requirement for Federal Awards.

H. ADMINISTERING AGENCY agrees, and will assure that its contractors and subcontractors will be obligated to agree, that Contract Cost Principles and Procedures, 48 CFR, Federal Acquisition Regulations System, Chapter 1, Part 31, et seq., shall be used to determine the allowability of individual PROJECT cost items.

I. Every sub-recipient receiving PROJECT funds under this AGREEMENT shall comply with 2 CFR, Part 200, 23 CFR, 48 CFR Chapter 1, Part 31, Local Assistance Procedures, Public Contract Code (PCC) 10300-10334 (procurement of goods), PCC 10335-10381 (non-A&E services), and other applicable STATE and FEDERAL regulations.

J. Any PROJECT costs for which ADMINISTERING AGENCY has received payment or credit that are determined by subsequent audit to be unallowable under 2 CFR, Part 200, 23 CFR, 48 CFR, Chapter 1, Part 31, and other applicable STATE and FEDERAL regulations, are subject to repayment by ADMINISTERING AGENCY to STATE.

K. STATE reserves the right to conduct technical and financial audits of PROJECT WORK and records and ADMINISTERING AGENCY agrees, and shall require its contractors and subcontractors to agree, to cooperate with STATE by making all appropriate and relevant PROJECT records available for audit and copying as required by the following paragraph:

ADMINISTERING AGENCY, ADMINISTERING AGENCY'S contractors and subcontractors, and STATE shall each maintain and make available for inspection and audit by STATE, the California State Auditor, or any duly authorized representative of STATE or the United States all books, documents, papers, accounting records, and other evidence pertaining to the performance of such contracts, including, but not limited to, the costs of administering those various contracts and ADMINISTERING AGENCY shall furnish copies thereof if requested. All of the above referenced parties shall make such AGREEMENT, PROGRAM SUPPLEMENT, and contract materials available at their respective offices at all reasonable times during the entire PROJECT period and for three (3) years from the date of submission of the final expenditure report by the STATE to the FHWA.

L. ADMINISTERING AGENCY, its contractors and subcontractors shall establish and maintain a financial management system and records that properly accumulate and segregate reasonable, allowable, and allocable incurred PROJECT costs and matching funds by line item for the PROJECT. The financial management system of ADMINISTERING AGENCY, its contractors and all subcontractors shall conform to Generally Accepted Accounting Principles, enable the determination of incurred costs at

**SPECIAL COVENANTS OR REMARKS**

interim points of completion, and provide support for reimbursement payment vouchers or invoices set to or paid by STATE.

M. ADMINISTERING AGENCY is required to have an audit in accordance with the Single Audit Act of 2 CFR 200 if it expends \$750,000 or more in Federal Funds in a single fiscal year of the Catalogue of Federal Domestic Assistance.

N. ADMINISTERING AGENCY agrees to include all PROGRAM SUPPLEMENTS adopting the terms of this AGREEMENT in the schedule of projects to be examined in ADMINISTERING AGENCY's annual audit and in the schedule of projects to be examined under its single audit prepared in accordance with 2 CFR, Part 200.

O. ADMINISTERING AGENCY shall not award a non-A&E contract over \$5,000, construction contracts over \$10,000, or other contracts over \$25,000 [excluding professional service contracts of the type which are required to be procured in accordance with Government Code sections 4525 (d), (e) and (f)] on the basis of a noncompetitive negotiation for work to be performed under this AGREEMENT without the prior written approval of STATE. Contracts awarded by ADMINISTERING AGENCY, if intended as local match credit, must meet the requirements set forth in this AGREEMENT regarding local match funds.

P. Any subcontract entered into by ADMINISTERING AGENCY as a result of this AGREEMENT shall contain provisions B, C, F, H, I, K, and L under Section 2 of this agreement.

15. The ADMINISTERING AGENCY shall construct the PROJECT in accordance with the scope of work presented in the application and approved by the California Transportation Commission. Any changes to the approved PROJECT scope without the prior expressed approval of the California Transportation Commission are ineligible for reimbursement and may result in the entire PROJECT becoming ineligible for reimbursement.
16. This PROJECT is programmed to receive State Senate Bill 1, Chapter 5, Statutes of 2017 (SB1) funds from Local Partnership Program (LPP). This PROJECT will be administered in accordance with the California Transportation Commission (CTC) approved/adopted LPP Guidelines, and this Program Supplement Agreement.

ADMINISTERING AGENCY agrees to use eligible matching funds of the type identified in its project application/nomination, for the required dollar for dollar minimum local match to the LPP funds.

ADMINISTERING AGENCY agrees to submit invoices for PROJECT costs in accordance with the Local Assistance Procedures Manual (LAPM).

To satisfy the SB1 accountability requirements, ADMINISTERING AGENCY agrees to:

- 1) Submit Progress Reports on the activities, expenditures and progress made towards

**SPECIAL COVENANTS OR REMARKS**

implementation of the PROJECT, as applicable, per CTC SB1 Transparency and Accountability Guidelines. Changes to the scope and budget from the CTC approved project application/nomination shall also be identified in these reports. The Progress Reports shall be submitted to the Division of Local Assistance - Office of State Programs via the CalSMART reporting tool.

2) Submit a Completion Report after each phase using LPP funds is complete and a Final Delivery Report to the CTC, within six months of the PROJECT construction contract being accepted, on the scope of the completed PROJECT, its final costs as compared to the project budget in its project application/nomination, its duration as compared to the project schedule in its application/nomination and performance outcomes derived from the PROJECT as compared to those described in the project application/nomination, per the SB1 Transparency and Accountability Guidelines.

**AUTHORIZATION / AGREEMENT SUMMARY - (E-76)**

**CALIFORNIA DEPARTMENT OF TRANSPORTATION**

**FEDERAL AID PROGRAM**

DLA LOCATOR: 03-SAC-0-FOL  
 PREFDX: LPPRPSB1  
 PROJECT NO: 5288(046)  
 SEQ NO: 1  
 STATE PROJ NO: 0320000120L-N  
 AGENCY: FOLSOM  
 ROUTE:  
 DISASTER NO:  
**TIP DATA**  
 MPO: SACOG  
 FSTIP YR: 19/20  
 STIP REF:  
 FSTIP ID NO: SAC24250

**PROJECT LOCATION:**  
 IN FOLSOM ON WHITE ROCK RD FROM PRAIRIE CITY RD TO EAST BIDWELL ST.  
**TYPE OF WORK:**  
 CONSTRUCT 4 LANE ROAD WITH 8 FOOT SHOULDERS. (CLASS 1 TRAIL TO  
**FED RR NO'S:**  
**PUC CODES:**  
 PROJ OVERSIGHT: ASSUMED/LOCAL ADMIN  
 ENV STATUS / DT: CAT EXCL-FHWA DOC 10/23/2019  
 RW STATUS / DT: 1 03/17/2020  
**INV RTE:**  
**BEG MP:**  
**END MP:**

**PREV AUTH / AGREE DATES:**  
**PE:**  
**R/W:**  
**CON:**  
**SPR:**  
**MCS:**  
**OTH:**

BRIDGE NO:

PROG CODE	LINE NO	IMPV TYPE	FUNC SYS	URBAN AREA	URB/RURAL	DEMO ID
Z240	30	03				
Z240	31	17				

**FUNDING SUMMARY**

PHASE		PROJECT COST	FEDERAL COST	AC COST
PE	PE PREV. OBLIGATION	\$0.00	\$0.00	\$0.00
	PE THIS REQUEST	\$0.00	\$0.00	\$0.00
	PE SUBTOTAL	\$0.00	\$0.00	\$0.00
R/W	RW PREV. OBLIGATION	\$0.00	\$0.00	\$0.00
	RW THIS REQUEST	\$0.00	\$0.00	\$0.00
	RW SUBTOTAL	\$0.00	\$0.00	\$0.00
CON	CON PREV. OBLIGATION	\$0.00	\$0.00	\$0.00
	CON THIS REQUEST	\$31,201,500.00	\$15,000,000.00	\$0.00
	CON SUBTOTAL	\$31,201,500.00	\$15,000,000.00	\$0.00
OTH	OTH PREV. OBLIGATION	\$0.00	\$0.00	\$0.00
	OTH THIS REQUEST	\$0.00	\$0.00	\$0.00
	OTH SUBTOTAL	\$0.00	\$0.00	\$0.00
<b>TOTAL:</b>		<b>\$31,201,500.00</b>	<b>\$15,000,000.00</b>	<b>\$0.00</b>

**STATE REMARKS**

08/05/2020 SEQ #1: Authorize \$15,000,000 STIP for CON/CE. \$10,000,000 LPP (SB1) already allocated. No local AC, agency added local funds to both CON/CE.  
 08/17/2020 This request is for Construction and Construction Engineering authorization. CTC allocated \$15,000,000 federal STIP-RIP funds and \$10,000,000 State LPP funds for construction component at their May 2020 meeting.

**FEDERAL REMARKS**

**AUTHORIZATION**

AUTHORIZATION TO PROCEED WITH REQUEST: CON  
 FOR: CONSTRUCTION & CENG  
 DOCUMENT TYPE: AAGR

PREPARED IN FADS BY: SAYA, CINDY  
 REVIEWED IN FADS BY: SAFAIE, FRANK  
 SUBMITTED IN FADS BY: AMBROSINI, ADAM  
 PROCESSED IN FADS BY: SIGNATURE, NOT\_REQUIRED  
 E-76 AUTHORIZED DATE IN FMIS BY: GRACE REGIDOR

ON 2020-08-15 741-5451  
 ON 2020-08-17 653-5345  
 ON 2020-06-23 FOR CALTRANS  
 ON 2020-06-23 FOR FHWA  
 ON 2020-08-25 13:19:44.0



**SIGNATURE HISTORY FOR PROJECT NUMBER 5288(046) AS OF 06/28/2020**

**FHWA FMIS SIGNATURE HISTORY**

<b>MOD #</b>	<b>SIGNED BY</b>	<b>SIGNED ON</b>
0	SHUN HUEY	06/24/2020
	SHUN HUEY	06/24/2020
	GRACE REGIDOR	08/25/2020

**FHWA FMIS 3.0 SIGNATURE HISTORY**

**CALTRANS SIGNATURE HISTORY**

<b>DOCUMENT TYPE</b>	<b>SIGNED BY</b>	<b>SIGNED ON</b>
AUTH/AGREE	AMBROSINI, ADAM	08/23/2020

This page is intentionally left blank.