



**STATE OF CONNECTICUT**  
**OFFICE OF POLICY AND MANAGEMENT**  
**OFFICE OF FINANCE**

**FY 2021-2022 MUNICIPAL AUDIT QUESTIONNAIRE**

❖ This Questionnaire is required to be submitted to OPM with the audit reports of municipalities and audited agencies (as defined under Section 7-391 of the Connecticut General Statutes).

Name of Auditee Estuary Transit District

Fiscal Period under Audit July 1, 2021 - June 30, 2022

Seward and Monde, CPAs  
(Audit Firm Name)

\_\_\_\_\_  
(Signature)

February 17, 2023  
(Date)

This Questionnaire was reviewed with and a copy given to:

Joseph Comerford on February 17, 2023  
Name of Municipal Official Date

Executive Director (860) 510-0429  
Title of Municipal Official Telephone

|   | <u>YES</u> | <u>NO</u> | <u>NA</u>  |
|---|------------|-----------|------------|
| 1. Did you inform the town, city or Borough clerk of that part of Section 7-394 of the General Statutes which provides that within one week after receiving the audit report he/she shall cause to be published a legal notice in a newspaper having a substantial circulation in the municipality that the report is on file in said clerk's office? | _____      | _____     | X<br>_____ |
| 2. Did you obtain a letter from municipal counsel with respect to unpaid or pending judgments and as to any other legal proceeding affecting the municipality's finances?   | X<br>_____ | _____     | _____      |
| 3. Were financial statements and/or books and records of the entity made available within a reasonable time after the close of the fiscal year?   | X<br>_____ | _____     | _____      |
| 4. Did the entity issue tax anticipation notes (TANs) during the fiscal year?<br>(If yes, the notes to the financial statements should provide details regarding such TANs, including the purpose for which the TANs were issued.)  | _____      | _____     | X<br>_____ |
| 5. Was a separate management letter issued in addition to the Internal Control Report? If so, it must be submitted to the Office of Policy and Management with the audit report.  | _____      | _____     | X<br>_____ |
| 6. Were municipal officials (including the chief elected or executive official and governing body of the municipal entity) made aware of findings and recommendations resulting from the audit?   | _____      | _____     | X<br>_____ |
| 7. Federal Single Audit Act as Amended, 1996;<br>Uniform Guidance for Federal Awards (Title 2 of CFR, 12/26/13)   |            |           |            |
| (a) Did you conduct a Single Audit of Federal Financial Assistance expended by the entity for FY 2021-22?   | X<br>_____ | _____     | _____      |
| (b) Is a copy of the Single Audit included in the reporting package submitted?  | X<br>_____ | _____     | _____      |
| 8. State Single Audit (SSA) Act as amended by P.A. 09-7;<br>Regulations to the SSA Act as amended by P.A. 09-7  |            |           |            |
| (a) Did you conduct a Single Audit of state financial assistance expended by the entity for FY 2021-22 or a program-specific audit of the entity's sole state program?  | X<br>_____ | _____     | _____      |
| (b) Is a copy of the State Single Audit or program-specific audit included in the reporting package submitted?  | X<br>_____ | _____     | _____      |

YES

NO

NA

(c) If applicable, did officials prepare a Corrective Action Plan (CAP) in sufficient time for inclusion in the audit report package [C.G.S. Sec.4-233(d)] to be filed with OPM as the cognizant state agency (hardcopy and electronic)?

\_\_\_\_\_

\_\_\_\_\_

**X**

(d) Did you upload the corrective action plan with the audit report package on OPM's Electronic Audit Reporting System?

\_\_\_\_\_

\_\_\_\_\_

**X**