

## Local Administered Project Certification



Local Administered Projects Certification

Rev. 2/25

# Georgia Department of Transportation Local Administered Projects Certification

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The Georgia Department of Transportation (GDOT) has developed a Local Administered Projects (LAP) Certification process to ensure the resource capacity and ability of the Local Public Agency (LPA) to successfully manage, administer and execute the policies and procedures for Federal and State compliance in order to receive federal funding participation.

The LAP Certification application will be used to determine if Local Public Agencies will qualify to administer federal-aid projects. The GDOT serves as the prime recipient of federal transportation funds. In accordance with 23 Code of Federal Regulation Part 635.105, GDOT is the supervising agency; as such, it is responsible for authorizing performance of the work by the Local Agency on all Federal-aid projects. Please complete the questions provided in this application to ensure a complete review of submitted materials.

If you have any questions about the application, please contact the Office of Program Control at 404-631-1830. For additional information please visit the [LAP Program website](#).

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## Acronyms

**AASHTO** – American Association of State Highway and Transportation Officials  
(<http://www.transportation.org>)

**ADA** – Americans with Disabilities Act

**ASNT** – American Society of Nondestructive Testing

**CA** – Certification Acceptance

**CFR** – Code of Federal Regulations

**CWP** – (GDOT) Construction Work Program

**FFPR** – (GDOT) Final Field Plan Review

**FHWA** – Federal Highway Administration (<http://www.fhwa.dot.gov>)

**FRA** – Federal Railroad Administration (<http://www.fra.dot.gov/>)

**GDOT** – Georgia Department of Transportation (<http://www.dot.ga.gov>)

**GRTA** – Georgia Regional Transportation Authority (<http://www.grta.org/>)

**LAP** – Local Administered Project

**LPA/LG** – Local Public Agency/Local Government

**MPO** – Metropolitan Planning Organization

**MUTCD** – Manual on Uniform Traffic Control Devices (FHWA)

**NHS** – National Highway System

**OCGA** – Official Code of Georgia (<http://www.lexisnexis.com/hottopics/gacode/Default.asp>)

**OES** – (GDOT) Office of Environmental Services

**OMAT** – (GDOT) Office of Materials and Testing

**PCI** – Precast-Prestressed Concrete Inspection

**PDP** – (GDOT) Plan Development Process

**PE** – Preliminary Engineering

**PFPR** – Preliminary Field Plan Review

**QPL** – (GDOT) Qualified Products List

**ROW** – Right-of-Way

**RTT** – Roadway Testing Technician as certified by GDOT

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**SRTA** – State Road and Tollway Authority

**STI** – GDOT Sampling, Testing and Inspection Manual which is located on the GDOT website under “The Source”.

**STIP** – State Transportation Improvement Plan.

**SWTP** – Statewide Transportation Plan (<http://www.dot.ga.gov/IS/SSTP#tab-2>)

**TIP** – Transportation Improvement Program

**TMOS**- Testing Management Operations Supervisor

**UAM** – (GDOT) Utility Accommodation Policy and Standards Manual.  
[http://www.dot.ga.gov/PartnerSmart/utilities/Documents/2016\\_UAM.pdf](http://www.dot.ga.gov/PartnerSmart/utilities/Documents/2016_UAM.pdf)

**VT** – Verification Testing

## Certification Applications

### 1. Full Certification Acceptance (CA) Status

This status delegates some or all authority to a qualified local agency for approving project development and construction administration.

## Required Training for Certification

**Note: Participants who receive a training certificate in 2025, will be required every five years moving forward. Participants who receive certificates prior to 2025, will need to take the training course again 3 years from receipt of the training certificate.**

The following courses will be required every 5 years for certification and re-certification:

- Local Administered Projects Training
- Plan Development Process Training
- Right-of-Way Acquisition for Local Public Agencies Training
- Title VI/ADA Training
- Engineering and Design Procurement Training

## Documents to be Submitted

Templates can be found on the [LAP Webpage](#)

- Organizational Chart (update chart that identifies by name and title/position of non-consultant staff that will participate in LAP certification)
- Copy of all five (5) Training Certificates
- Title VI Plan or Non-Discrimination Agreement with Assurance
- Procurement Policy Template Letter of Agreement

GDOT LAP Certification Application

LAP Certification Information

Date: 08/05/2025

Agency Name: Effingham County

GDOT District: 5 Congressional District: 1 & 12

Interview Conducted By (GDOT) Name: \_\_\_\_\_

Title: \_\_\_\_\_

Agency Representative (Local) Name: Jonathan Hulme, P.E.

Title: County Engineer

How Long in Current Position? 1.5 years

Phone Number: 912-429-7354 Fax Number: 912-754-4157

Email Address: jhulme@effinghamcounty.org

Street Address: 804 South Laurel Street

City: Springfield

State: GA County: Effingham Zip Code: 31329

Alternate Agency Full-time Representative (Local) Name: Danielle Carver

Title: Procurement and Contract Manager

How Long in Current Position? 1 year

Phone Number: 912-754-2159 Fax Number: 912-754-4157

Email Address: dcarver@effinghamcounty.org



## Local Administered Projects Responsible Charge Worksheet

**List the name and title responsible for the following functions.** Only list Non-Consultant staff positions within your organization. (Attach the most current organizational chart. Each person must have a training certificate applicable for their role as it applies the LAP Program. If the LPA has any name/title changes, a compliance plan along with an updated organization chart must be submitted within 30 days. If not, then the LPA will be viewed as non-compliant and may lose their LAP certification status.)

Compliance Plan can be found on the [LAP Webpage](#).

Statewide Transportation Improvement Program: Jonathan Hulme, P.E. County Engineer

Selection of Annual Program: Jonathan Hulme, P.E. County Engineer

Location/Design Approval: Jonathan Hulme, P.E. County Engineer

Environmental Documents: Jonathan Hulme, P.E. County Engineer

PS&E Approval: Jonathan Hulme, P.E. County Engineer

Tied Bids: Jonathan Hulme, P.E. County Engineer

Approval of Materials Sources: Jonathan Hulme, P.E. County Engineer

Construction Administration: Jonathan Hulme, P.E. County Engineer

Construction Inspection: Jonathan Hulme, P.E. County Engineer

Acceptance Sampling/Testing: Jonathan Hulme, P.E. County Engineer

Change Orders: Jonathan Hulme, P.E. County Engineer

Project Files: Jonathan Hulme, P.E. County Engineer

OEO Interviews/Monitoring: Jonathan Hulme, P.E. County Engineer

Training Goal Attainment: Jonathan Hulme, P.E. County Engineer

DBE Compliance/Monitoring: Jonathan Hulme, P.E. County Engineer

Utility Certification: Jonathan Hulme, P.E. County Engineer

Row Certification: Jonathan Hulme, P.E. County Engineer

## GDOT LAP Certification Application

### Consultants

For what areas does the agency expect to use consultants?

\_\_\_ ☒ Environmental

\_\_\_ ☒ Design

\_\_\_ ☒ PS&E Preparation

\_\_\_ ☒ Right-of-Way Appraisal

\_\_\_ ☒ Right-of-Way Negotiation

\_\_\_ ☒ Utilities

\_\_\_ ☒ Right-of-Way Relocation

\_\_\_ ☒ Construction Administration

\_\_\_ ☒ Construction Inspection

\_\_\_ ☒ Surveying

\_\_\_ ☒ Sampling and Testing

Section A - Title VI - Civil Rights Performance & Assessment Questionnaire

Note: Title VI Non-Discrimination Agreements must be submitted once **EVERY** year.

1. Do you have a Title VI Policy, Title VI Notice to the Public, Title VI Assurances and Title VI Plan or non-discrimination agreement in place? Provide proof of your Title VI policy (via web or printed materials)

Yes, See at website:

<https://www.effinghamcounty.org/631/Title-VI-Notice-of-Public-Rights>

2. Please provide a copy of your Title VI complaint procedure for discrimination complaints? What extent is the community aware of it?

Yes, See at website for complaint procedure:

<https://www.effinghamcounty.org/631/Title-VI-Notice-of-Public-Rights>

3. Have you received any Title VI related complaints during the past two years? If so, how many? (Please attach the complaint form) What were the outcomes? Where there any Title VI complaints lodged by beneficiaries or participants? If so, explain the issues involved.

Effingham County has just implement the website and have not received any complaints to date. However we would look to resolve the issues per our complaint procedure.

4. What is the name and title of the person who attended the GDOT Title VI training? Please provide the date and a copy of the training certificate?

Jonathan Hulme, P.E., County Engineer, June 11, 2024

5. Are minority members of the community invited to participate in public hearings? If yes, how do you identify potential EJ groups? How do you ensure they attend? If not, what measures have been taken to ensure public participation in public hearings?

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Yes. We rely on GDOT to identify based off the GDOT Statewide Transportation Improvement Program. Public hearings are advertised through social media, county website and local newspaper.

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6. Are DBE goals being monitored, included and met for contracts on a programmatic level? If yes, please provide a brief explanation. If not, what provisions have been taken to monitor and meet them?

Yes. We rely on GDOT to identify based off the GDOT Statewide Transportation Improvement Program. Public hearings are advertised through social media, county website and local newspaper.

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7. Are minority contractors and subcontractors being informed about contracting opportunities with your organization? If yes, provide proof of contracting opportunities to minority contractors. If not, what provisions have been taken to inform minority contractors of contracting opportunities?

Yes. We rely on GDOT to identify based off the GDOT Statewide Transportation Improvement Program. Public hearings are advertised through social media, county website and local newspaper.

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8. Are Appendix A of the Title VI assurances and the FHWA 1273 being included in all contracts, subcontracts, and material supply agreements? Provide a sample contract of the inclusion of Appendix A of the Title VI Assurances & FHWA 1273.
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9. If you have not done any of the above, please explain how you will address Title VI Federal requirements on Local Administered projects?
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## Section B - Environmental Questionnaire

1. How many types of Environmental Documents listed below are active or under development?  
☐ Programmatic Categorical Exclusions (PCE – approved by GDOT)  
☐ Categorical Exclusions (CE – approved by FHWA)  
☐ Environmental Assessments/Findings of No Significant Impact (EA/FONSI – approved by FHWA)  
☐ Environmental Impact Statement (EIS – approved by FHWA)
2. Have you had any Environmental Documents approved in the past five years? If so, how many of the following environmental documents were approved?  
☐ Programmatic Categorical Exclusions (PCE – approved by GDOT)  
☐ Categorical Exclusions (CE – approved by FHWA)  
☐ Environmental Assessments/Findings of No Significant Impact (EA/FONSI – approved by FHWA)  
☐ Environmental Impact Statement (EIS – approved by FHWA)
3. For each document type noted above, what was the average number of review cycles required with GDOT staff to receive NEPA approval or submittal to FHWA? (A cycle is considered each time comments are received without GDOT approval or forwarding to FHWA.)  
☐ PCE   ☐ CEs   ☐ EA/FONSI   ☐ EIS
4. For the approved documents noted in #2 above, how many approvals were received?  
☐ On schedule or ahead of schedule as per the approved schedule required by the Project Framework Agreement  
☐ After the baseline schedule deadline and less than 3 months late  
☐ After the baseline schedule deadline and between 3 and 6 months late  
☐ After the baseline schedule deadline and between 6 and 12 months late  
☐ After the baseline schedule deadline and more than 12 months late

Please describe the specific reasons for the approval delays noted above. Use additional sheets as necessary.

No delays  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

GDOT LAP Certification Application

5. List any and all public involvement methods utilized during the environmental process. Use additional sheets as necessary.

None to date but we'll have public meetings to discuss and inform the public about the project and environmental process

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6. How many applications were submitted by the LPA for approval by the Army Corps of Engineers (USACE) and/or Georgia Department of Natural Resources, Environmental Protection Division (EPD)?

0 Section 404 Permits from USACE Individual 0 Regional 0 Nationwide 0  
0 Stream Buffer Variances from Georgia Environmental Protection Division (EPD)

7. For each permit type noted above, what was the average number of review cycles required with GDOT staff for submittal to the Army Corps or EPD? (A cycle is considered each time comments are received from GDOT without forwarding to the Corps or EPD.)

0 Section 404 Permit from USACE Individual 0 Regional 0 Nationwide 0  
0 Stream Buffer Variances from Georgia Environmental Protection Division (EPD)

8. For the approved permits noted in #7 above, how many approvals were received?

0 11 or more weeks prior to the GDOT baseline let date  
0 5-11 weeks prior to the GDOT baseline let date  
0 After 5 weeks prior to the GDOT baseline let date

Please describe the specific reasons for the approval delays noted above. Use additional sheets as necessary.

No delays

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9. Please describe any improvements that your agency or GDOT can implement to improve the delivery of environmental approvals or permits. Use additional sheets as necessary.

No recommendations

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10. If you have not done any of the above, please explain how you plan to complete Environmental Documents for Local Administered Projects?

We will start with the Concept Stage which begins after PE authorization and focuses on developing the Concept Report, establishing the project's Need & Purpose, defining Logical Termini, and creating the Environmental Survey Boundary (ESB) for early environmental surveys. Early coordination with agencies and stakeholders is initiated, and the potential need for a Section 404 permit is assessed, possibly requiring a Practicable Alternatives Review (PAR). During Preliminary Design, avoidance and minimization strategies are evaluated through the A3M process, and technical studies are completed to inform permitting and environmental documentation. The Environmental Commitments Table (ECT) and Environmental Resource Impact Table (ERIT) are developed to ensure that all environmental commitments are integrated into the project plans. Final Design and Construction phases include verifying that all commitments are met, securing permits, and addressing any plan or field changes that could impact environmentally sensitive areas.

### Section C - Right of Way Questionnaire

1. Any consultant CONTRACTED for negotiation services for the acquisition of right of way for the County/City must either:

~~JZ~~ Hold an active Real Estate license in the State of Georgia or  
~~JZ~~ Hold an active Real Estate broker's license in the State of Georgia or  
~~JZ~~ Be identified as an exception under OCGA 43-40-29

2. Any contracted CONSULTANT for negotiation services or staff negotiator performing negotiation services must have attended the GDOT/FHWA training class every 5 years and hold an active certificate. Provide a copy of the certificate.

3. Please describe your quality assurance and quality control methods to manage the ROW in the following areas:

- a. ROW Project Activity Milestone Delivery: (i.e. schedule development and management recovery)

Have a kickoff meeting, establish a schedule, hold regular follow up  
meetings, review ROW plans with team, identify project risks, conduct  
audits

- b. ROW Project Budget (i.e. development, monitoring and overruns)

Have a ROW Estimate based off of comparable sales within the last couple of  
years. Hold regular meetings with ROW Consultant to see how Budget is  
progressing throughout the project.

- c. ROW Project Risks associated with adhering to scope, schedule and budget (i.e. mitigation plan)

Review project unknowns, review funding, continue communication with  
request from property owners if scope has to be adjusted

- d. ROW Consultant Services (i.e. development and monitoring) when applicable

Effingham County intends to use ROW consultant services for all projects. All  
paragraphs above will be implemented to ensure ROW Consultant Services is  
progressing according to budget and schedule.

4. Identify the responsible party and title of staff certifying ROW.



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Jonathan Hulme, County Engineer & Tim Callanan County Manager

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5. Has your LPA received any non-compliance letters or corrective actions? If yes, who provided the service, explain non-compliance?

No we have not

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6. Who will perform the Right of Way Acquisition services? (Please check all that apply)

☐ Staff

☒ Consultants

☐ Both

7. If you have not performed any of the above activities, how do you plan to perform Right-of-Way functions for Local Administered Projects?

We will continue to conduct ROW Acquisition the way that the county has for locally funded project via ROW Consultant.

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## Section D - Utility Division Questionnaire

### **Local Utility Compliance**

1. Name and Title of individual or individuals that will be or have been responsible for Utility Coordination work.

Jonathan Hulme, County Engineer & Subconsultants

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2. In brief and concise sentences, please describe your knowledge of the GDOT's Utility Accommodation Policies and Standards Manual in relation to the Utility Coordination work on projects (use additional sheets).

The Utility Accommodation Policy and Standards Manual outlines the conditions and procedures under which utilities will be permitted to occupy right-of-way in Georgia. The manual outlines rules for issuing utility permits, utility accommodations for construction & relocation, vegetation management, utilities in rail road right-of-way, utility pole safety program, broadband deployment and Design-build & public private partnership project.

3. In brief and concise sentences, please describe your work experiences that demonstrate your ability to coordinate with utilities during the preconstruction phase on transportation projects

Coordinated with utility companies to identify and relocate conflicting utilities before construction began. Reviewed utility plans and ensured alignment with transportation project designs and schedules. Facilitated utility coordination meetings with stakeholders to resolve conflicts and share updates. Assisted in preparing utility agreements and securing necessary approvals and permits. Maintained regular communication with utility providers to track relocation progress and address delays.

4. In brief and concise sentences, please describe your ability to provide professional engineering services necessary to ensure utility impacts do not delay the project schedule on both the preconstruction phase or construction phase (use additional sheets)

I coordinate early with utility companies to identify conflicts and requirements. I analyze utility data, review plans, and propose design adjustments to avoid delays. I assist in securing permits and relocation agreements during preconstruction. During construction, I provide on-site support, resolve unforeseen utility issues promptly, and maintain constant communication with stakeholders to keep the project on schedule.

5. If you have not done any of the above, please explain how you will perform Utility activities on Local Administered Projects according to Federal and State guidelines?

We will do it the same way we do for local project which is in line with Federal and State guidelines.

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GDOT LAP Certification Application

**Section E - Construction Division Questionnaire**

**Note:** If you are utilizing consultants, they must be GDOT certified.

**Advertisement, Award, and Execution of Contract**

Position Responsible for:

Approval to Advertise Effingham County Staff

Name of Legal Publication Effingham County Staff

Prequalification of Bidders Effingham County Staff

Award of Contract Effingham County Board of Commissioners

Execution of Contract Effingham County Staff

**Construction Supervision and Administration**

Name and Title of individual or individuals that will be responsible for Construction Supervision and Administration: Jonathan Hulme & Consultant

Describe the Local Governments experience with construction supervision and inspection related to transportation construction projects:

Effingham County has been managing construction projects both locally funded, state funded and federally funded.

Will daily Construction Supervision and Inspection be handled by the Local Government or by a Consultant Firm? Consultant Firm for primary and periodic inspections by Local Government

If Local Government is using a Consultant Firm, is the Firm under a current contract with the Local Government? Yes

If so, what is the name of the Firm? Thomas & Hutton unless design firm has Construction Management

Is the Firm pre-qualified under the Department's 8.01 Consultant Work Classification? T&H yes

If a Consultant Firm is used, how will the Local Government monitor the Consultant's work?  
Periodic inspections will be provided by Effingham County to QAQC

GDOT LAP Certification Application

Name and Title of individual who will check contractor payrolls?

Jonathan Hulme, County Engineer & Danielle Carver, Procurement and Contract Manager

How will the Local Government handle administration of more than one contract at a time?

By scheduling meetings and managing multiple contracts like we currently do for locally funded projects.

How will Local Government handle inspection and administration of several active phases of a project concurrent (e.g. grading, drainage, paving, structures)?

By scheduling meetings and managing multiple contracts like we currently do for locally funded projects. This will be communicated during the kickoff meetings.

**Change Orders/Contract Modifications**

What is the Local Government's current change order process, including approval levels and final signature required for execution?

Please see attached procurement policy.

Describe the Local Government's requirements for documenting Contractor activities and making measurement and payment for project bid items.

Please see attached procurement policy.

Describe the Local Governments process for subcontract approval(s).

Please see attached procurement policy.

## GDOT LAP Certification Application

### Material Testing and Approval

Describe the Local Governments experience with use of materials approved by the Georgia Department of Transportation. If there is no previous experience, please describe how materials testing will be performed on federal-aid projects.

All materials used have been sourced from GDOT's Qualified Products List (QPL) and have met the specifications outlined in the GDOT Standard Specifications for Construction. Our staff and contractors are familiar with the requirements for material certification and documentation, and we regularly coordinate with GDOT district materials personnel to ensure compliance. Materials testing is performed by certified testing laboratories in accordance with GDOT and Federal Highway Administration (FHWA) standards. Test results are documented and submitted as required, and any non-conforming materials are rejected or corrected before incorporation into the work

Describe the Local Governments experience with materials testing related to transportation construction projects.

Asphalt Mix Design verification, Density and compaction testing, core sampling for thickness verification, Proctor test

Will materials testing and certification be done by the Local Government or by a Consultant Firm? Consultant Firm

If Local Government is using a Consultant Firm, is the Firm under a current contract with the Local Government? Yes

If so, what is the name of the Firm?

Thomas & Hutton and Terracon

Is the Firm pre-qualified under the Department's 6.04a and 6.04b Consultant Work Classifications?

Yes

Is the Local Government planning and developing any projects that may contain and bridges or structures?

Yes

If yes, does the Local Government or its Consultant have PCI certified inspection personnel or will the Local Government request the assistance of GDOT for inspection and approval?

Will request GDOT for inspection and approval if consultant does not have PCI certified inspection personnel

## GDOT LAP Certification Application

Does the Consultant have ASNT (American Society of Nondestructive Testing) Level III certified welding inspectors for steel bridges?

We will need to have consultant who is certified or have GDOT provide

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Does the Consultant have ASNT certified VT or MT inspectors? (visual and magnetic particle)

We will need to have consultant who is certified or have GDOT provide

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### Schedule/Workload

If any, list the Local Governments schedule of Federal Aid Projects and their projected Construction Begin Date.

We will need to have consultant who is certified or have GDOT provide

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### Delivery Performance & Quality Assurance

- Who is responsible for ensuring that payments are in line with percent complete activities (as approved by LPA and GDOT)?

Construction Management Consultant, Effingham County Procurement

- Who is responsible for developing the initial Gantt Chart construction schedule identifying the key milestones along with the critical paths?

Contractor is but it is reviewed and approved by Construction Management Consultant

- How is the construction schedule monitored to determine monthly performance?

Construction Management Consultant will evaluate and verify work done compared to schedule

- What industry methods have been implemented to mitigate construction risks and delays?

Liquidated Damages within the contract

- What assurances are in place to measure and document quality control performance?

Effingham County will enter into a Independent Assurance (IA) program—where certified sampling and testing technicians and AASHTO-accredited labs undergo proficiency evaluations and GDOT performs independent random reviews of contractor QC data (e.g. aggregate certification rating system)—to statistically monitor and document quality control performance

**Section F - Procurement of Engineering and Design Related Services**  
**Questionnaire**

**Please answer the following questions specific to “Procurement, Management, and Administration of Engineering and Design Related Services” for Federal-Aid projects to enable the Department to determine compliance with 23 CFR 172.**

**Note:** Procurement will not be approved unless training has been completed. All staff involved in the procurement process **must** attend training.

- Any person(s) responsible for the solicitation, facilitating Evaluations, Negotiations and Contract Management must attend and pass the Procurement training
- Policies shall only be submitted once training has been completed. If the LPA’s staff has not attended training, they shall not contact Procurement with questions related to the language, submittal of policy
- The application will be used to review the LPA’s policy and knowledge of the process and all attachments are required with application (solicitation, evaluation, scoring, comments, etc...)

Also, if the LPA would like to adopt GDOT’s procurement policy, please reference the additional resources on the LAP webpage for the documentation.

1. Please list all staff (names and titles) that has taken the procurement class and provide dates the class was completed. Please provide a copy of the training certificates.

Jonathan Hulme, County Engineer, 09/17/2024

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2. How many procurements for architecture and engineering services were performed for contracts to be funded with Federal Aid Highway Program funds within the past five years? Provide a list of all and answer the project specific questions below for the three most recent procurements using Federal-Aid funds.

No projects where Effingham County is the Lead but we are currently assisting in the CORE MPO US Highway 80 Corridor Study

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3. Provide the written policies and procedures used to procure architecture and engineering services for the three most recent procurements using Federal-Aid funds, which are in accordance with §172.5(b)(1). If none are available, describe the process followed from beginning to end.

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No projects

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**Please answer the following questions for your 3 most recent Federal-Aid projects to enable the Department to determine if compliance with 23 CFR 172, entitled “Procurement, Management, and Administration of Engineering and Design Related Services”. Provide all necessary documentation to support your answers and refer to the page number the information can be found.**

**Project 1**

1. Prequalification

a. What area classes were used for;

i. Prime NA

ii. Team NA

b. Provide a copy of the prequalification certification to verify awarded consultant met all required area classes.

2. Development

a. Which of the three (3) contract types did you select for the project and why?

NA

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b. Which payment type(s) did you indicate in the solicitation could be used? Indicate page in the solicitation where this is mentioned.

NA

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c. Provide the list of the selection criteria your entity used to score and the associated weights.

NA

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3. Advertisement

a. How was the solicitation announced, advertised, or published in a public forum or method that assured qualified in-state and out-of-state consultants were given a fair opportunity to be considered? (Provide a link to the advertisement)

NA

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b. How long was the advertisement? (Provide dates)



GDOT LAP Certification Application

NA

4. Evaluation

a. Selection Committee:

i. How many members? NA

ii. List the names and qualifications of committee members

NA

b. Describe the evaluation process provide evaluation documents of short listed firms

NA

c. Provide a copy of instructions provided to selection members

d. What is your scoring methodology?

NA

e. Were individuals required to provide scores and comments? Please provide documentation.

NA

f. Provide phase 1 committee scores and comments

g. Provide phase 2 committee scores and comments

h. Provide rationale for final award

NA

5. Negotiations

a. Provide scope of contract and supporting task list to start negotiations

b. Provide independent estimate prior to receiving consultant cost proposal

c. Provide consultant cost proposal

d. Provide final cost proposal agreed upon and process used for negotiating differences between independent estimate and consultant cost proposal

e. Verification of Overhead Rate

i. How did you achieve verification that consultant is using currently approved audited rate?

NA

GDOT LAP Certification Application

NA

6. Award

- a. Copy of contract which contain required provisions from CFR §172.9(c)
- b. Where was the award noticed posted? Provide a copy of the printed page confirming the posting.

NA

7. Contract

- a. Were there any modifications to the agreement after execution of the contract? If yes, provide a summary and rationale and copies.

NA

- b. Provide a copy of the Notice To Proceed (NTP)
- c. Provide a copy of the Stop Work Notice

**Project 2**

1. Prequalification

- a. What area classes were used for;

i. Prime NA

ii. Team NA

- b. Provide a copy of the prequalification certification to verify awarded consultant met all required area classes.

2. Development

- a. Which of the three (3) contract types did you select for the project and why?

NA

- b. Which payment type(s) did you indicate in the solicitation could be used? Indicate page in the solicitation where this is mentioned.

NA

- c. Provide the list of the selection criteria your entity used to score and the associated weights.

NA

3. Advertisement

GDOT LAP Certification Application

- a. How was the solicitation announced, advertised, or published in a public forum or method that assured qualified in-state and out-of-state consultants were given a fair opportunity to be considered? (Provide a link to the advertisement)

NA

- b. How long was the advertisement? (Provide dates)

NA

4. Evaluation

- a. Selection Committee:

- i. How many members? NA

- ii. List the names and qualifications of committee members

NA

- b. Describe the evaluation process provide evaluation documents of short listed firms

NA

- c. Provide a copy of instructions provided to selection members

- d. What is your scoring methodology?

NA

- e. Were individuals required to provide scores and comments? Please provide documentation.

NA

- f. Provide phase 1 committee scores and comments

- g. Provide phase 2 committee scores and comments

- h. Provide rationale for final award

NA

5. Negotiations

- a. Provide scope of contract and supporting task list to start negotiations

- b. Provide independent estimate prior to receiving consultant cost proposal

- c. Provide consultant cost proposal

GDOT LAP Certification Application

- d. Provide final cost proposal agreed upon and process used for negotiating differences between independent estimate and consultant cost proposal
- e. Verification of Overhead Rate
  - i. How did you achieve verification that consultant is using currently approved audited rate?

NA

6. Award

- a. Copy of contract which contain required provisions from CFR §172.9(c)
- b. Where was the award noticed posted? Provide a copy of the printed page confirming the posting.

NA

7. Contract

- a. Were there any modifications to the agreement after execution of the contract? If yes, provide a summary and rationale and copies.

NA

- b. Provide a copy of the Notice To Proceed (NTP)
- c. Provide a copy of the Stop Work Notice

**Project 3**

1. Prequalification

- a. What area classes were used for;
  - i. Prime NA
  - ii. Team NA
- b. Provide a copy of the prequalification certification to verify awarded consultant met all required area classes.

2. Development

- a. Which of the three (3) contract types did you select for the project and why?

NA

- b. Which payment type(s) did you indicate in the solicitation could be used? Indicate page in the solicitation where this is mentioned.

NA

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- 
- c. Provide the list of the selection criteria your entity used to score and the associated weights.

NA

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3. Advertisement

- a. How was the solicitation announced, advertised, or published in a public forum or method that assured qualified in-state and out-of-state consultants were given a fair opportunity to be considered? (Provide a link to the advertisement)

NA

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- b. How long was the advertisement? (Provide dates)

NA

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4. Evaluation

- a. Selection Committee:

i. How many members? NA

ii. List the names and qualifications of committee members

NA

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- b. Describe the evaluation process provide evaluation documents of short listed firms

NA

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- c. Provide a copy of instructions provided to selection members

- d. What is your scoring methodology?

NA

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- e. Were individuals required to provide scores and comments? Please provide documentation.

NA

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- f. Provide phase 1 committee scores and comments

- g. Provide phase 2 committee scores and comments

- h. Provide rationale for final award

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NA

5. Negotiations

- a. Provide scope of contract and supporting task list to start negotiations
- b. Provide independent estimate prior to receiving consultant cost proposal
- c. Provide consultant cost proposal
- d. Provide final cost proposal agreed upon and process used for negotiating differences between independent estimate and consultant cost proposal
- e. Verification of Overhead Rate
  - i. How did you achieve verification that consultant is using currently approved audited rate?

NA

6. Award

- a. Copy of contract which contain required provisions from CFR §172.9(c)
- b. Where was the award noticed posted? Provide a copy of the printed page confirming the posting.

NA

7. Contract

- a. Were there any modifications to the agreement after execution of the contract? If yes, provide a summary and rationale and copies.

NA

- b. Provide a copy of the Notice To Proceed (NTP)
- c. Provide a copy of the Stop Work Notice

### Section G - Certification Acceptance Agreement

**The agency agrees to comply with the following requirements when developing all Federal Highway Administration (FHWA) projects under GDOT's Qualification Certification Agreement.**

1. Adherence to the *Local Administered Project Manual* and all policies and procedures promulgated by the Georgia Department of Transportation (GDOT) which accomplish the policies and objectives set forth in Title 23, U.S. Code, Highways, and the regulations issued pursuant thereto.
2. All projects will be constructed in conformance with the GDOT current *Standard Specifications for Road, Bridge, and* such specifications that modify these Specifications as appropriate.
3. Construction administration and material sampling and testing will be accomplished in accordance with the GDOT *Construction Manual* and the *Local Administered Project Manual*.
4. All projects under Certification Acceptance shall be available for review by the FHWA and/or GDOT at any time and all project documents shall be retained and available for inspection during the plan development and construction stages and for a three year period following acceptance of the project by GDOT.
5. Approval of the local agency certification by the GDOT may be rescinded at any time upon local agency request or if, in the opinion of the LAP Certification Committee, it is necessary to do so. The rescission may be applied to all or part of the programs or projects approved in the local agency certification. The Local Government accepts liability to reimburse the GDOT and FHWA for all accrued payments received for applicable projects not complying with this agreement.

Recommendation(s) of action to be taken by Agency from GDOT Reviewer:

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\_\_\_\_\_ Full administration by agency of all projects

\_\_\_\_\_ Deny approval for Certification Acceptance

**Interview Conducted By:** \_\_\_\_\_

**District Planning Programming Liaison**

**Date**

### Section H - Certification Appeal Process

If certification has been denied, the Local Public Agency will then receive a letter explaining the reasons for denial. The LPA can then appeal any deficiencies found within 30 days. GDOT will then approve or deny the appeal based on additional information provided by the LPA.

Removal from the certification program may also occur at any time for unsatisfactory performance, which includes, but is not limited to:

- Failure to comply with applicable laws, regulations and policies
- Failure to meet the commitments of the LAP Program
- Failure to meet required timeframes for project delivery



GDOT LAP Certification Application

This signature ensures that the agency agrees to comply with the previous requirements when developing all Federal Highway Administration projects under GDOT's Qualification Certification Agreement. **FAILURE TO COMPLY** may require repayment for all or a portion of Federal funds. This applies to all successors from here.

LOCAL GOVERNMENT, Georgia

Approved By: \_\_\_\_\_

Title: \_\_\_\_\_

Signed, sealed and delivered

This \_\_\_\_ day of \_\_\_\_\_,

20\_\_, in the presence of:

\_\_\_\_\_

Witness

\_\_\_\_\_

Notary Public

**GEORGIA DEPARTMENT OF TRANSPORTATION**

**Approved By:** \_\_\_\_\_

**Program Control Administrator**

**Date**