



CMTA Investment Policy Scorecard

NAME OF ENTITY:				
City of Beaumont				
EVALUATOR:				
Shaun Farrell				
SECTION 2 – SCOPE – MAX 5 POINTS	5	SECTION 11 – COLLATERALIZATION – MAX 5 POINTS	4	
SECTION 3 – PRUDENCE – MAX 5 POINTS	5	SECTION 12 – SAFEKEEPING AND CUSTODY – MAX 5 POINTS	5	
SECTION 4 – OBJECTIVE – MAX 5 POINTS	5	SECTION 13 – DIVERSIFICATION – MAX 5 POINTS	4	
SECTION 5 – DELEGATION OF AUTHORITY – MAX 5 POINTS	5	SECTION 14 – MAXIMUM MATURITIES – MAX 5 POINTS	5	
SECTION 6 – ETHICS AND CONFLICTS OF INTEREST – MAX 5 POINTS	5	SECTION 15 – INTERNAL CONTROLS – MAX 5 POINTS	5	
SECTION 7 – AUTHORIZED DEALERS & INSTITUTIONS – MAX 5 POINTS	5	SECTION 16 – PERFORMING STANDARDS – MAX 5 POINTS	5	
SECTION 8 – AUTHORIZED & SUITABLE INVESTMENTS – MAX 10 POINTS	10	SECTION 17 – REPORTING – MAX 10 POINTS	10	
SECTION 9 – REVIEW OF INVESTMENT PORTFOLIO – MAX 5 POINTS	5	SECTION 18 – INVESTMENT POLICY ADOPTION – MAX 5 POINTS	5	
SECTION 10 – INVESTMENT POOLS/MUTUAL FUNDS – MAX 5 POINTS	5	SECTION 19 – GLOSSARY – MAX 5 POINTS	5	
TOTAL		50		
		TOTAL		48
		GRAND TOTAL		98
CERTIFIED (85 POINTS OR ABOVE):				
<input checked="" type="radio"/> YES	<input type="radio"/> NO			
EVALUATOR COMMENTS:				
<p>This is a very well prepared investment and excellent policy. It mentions all of the important points of what needs to be mentioned in an investment policy.</p> <p>If I were <u>really</u> critical or nitpicky, I would mention that you have noted these topics within other paragraphs, but I would make a separate paragraph for Collateralization and Diversification. For section 8 – Authorized Investments – You have all of the right information. I would simply place the important information into a table for a ‘quick glance’.</p> <p>It was a pleasure to read. Thank you.</p>				

