



FEDERAL TRANSIT ADMINISTRATION
FY 2028 GRANT APPLICATION
SECTION 5303 PROGRAM
METROPOLITAN PLANNING

APPLICATION DUE
SEPTEMBER 30, 2026

This is a fillable form. Please use Adobe Acrobat Reader to complete this application. You may use the tab button to navigate between fillable form fields. Only the Transmittal Letter and Authorizing Resolution should be printed and returned as a scanned application attachment. All other application components should be completed and returned electronically.

APPLICANT

Augusta Regional Transportation Study - MPO

Submitted By
(Name & Title)

9/30/2026

Date Submitted

GDOT

Project Manager Signature

Date Received

FY 2028 Section 5303 Grant Application Checklist

To be completed by APPLICANT:

Legal Name of Applicant: Augusta Regional Transportation Study - MPO

Name/Description of Item	Completed (Yes/No)
Part A: Contract Authorization Tracking System (CATS) Profile Form	Yes
Part B: Transmittal Letter (on Letterhead)	Yes
Part C: Authorizing Resolution	Yes
Part D: Project Description, Budget, and Milestones	Yes
Part E: Title VI Data Collection and Reporting	Yes
Part F: Equal Employment Opportunity Questionnaire	Yes
Part G: SAM Registration	Yes
Part H: Expenditures Form	Yes
Part I: Indirect Cost Documentation	Yes
Part J: Financial Certifications	Yes
Appendix A: FTA Certifications and Assurances	Yes
Appendix B: Definition of FTA Technical Activities	Yes
Appendix C: Performance-Based Transit Planning Agreement	Yes
Appendix D: FY 2026 Additional 5303 Funding Request Scoring Criteria	Yes
Appendix E: TAMP Participant Accountable Executive Approval Form	Yes
Appendix F: Contact Information for GDOT Project Managers	Yes
Appendix G: Useful Life	Yes
Appendix H: NEPA Checklist	Yes
Appendix I: W-9	Yes

Please Note:

Incomplete and incorrect applications will be returned to the transit agency for corrections. Late submissions will be documented as such; information provided herein will be used as part of the application evaluation upon which, final budgets are based on and awarded by GDOT.

REVIEWED BY

To be completed by GDOT Staff

GDOT Transit Planner

Date

GDOT Transit Planning Manager

Date

GDOT Transit Program Manager

Date

Introduction & Funding Distribution

Please refer to the table below to identify Applicant Organization's total available allocation of FY 2028 Section 5303 planning funds.

Considerations

- The proposed project must also include non-federal matching funds of 20% of the total project cost.
- For FY 2028, GDOT has identified state matching funds of up to 10% of the total project cost. Your organization must provide the remaining 10% local match.

For FY 2028, GDOT has identified additional funding availability. Organizations with identified planning project needs beyond their allocation are encouraged to apply for additional funding. All such additional funding requests will be considered according to criteria in Appendix D.

Table 1: Urban Area Population and Section 5303 Allocation Statistics

FY 2028 SECTION 5303 FUNDING DISTRIBUTION				
MPO	Federal Amount	State Amount	Local Amount	Total Allocation
Albany	\$78,051	\$9,756	\$9,756	\$97,563
Athens	\$107,670	\$13,459	\$13,459	\$134,588
Atlanta	\$3,254,610	\$406,826	\$406,826	\$4,068,262
Augusta	\$217,115	\$27,139	\$27,139	\$271,393
Brunswick	\$63,471	\$7,934	\$7,934	\$79,339
Cartersville	\$53,673	\$6,709	\$6,709	\$67,091
Chattanooga	\$46,956	\$5,869	\$5,869	\$58,694
Columbus	\$151,135	\$18,892	\$18,892	\$188,919
Dalton	\$67,103	\$8,388	\$8,388	\$83,879
Gainesville	\$119,053	\$14,882	\$14,882	\$148,817
Hinesville	\$60,150	\$7,519	\$7,519	\$75,188
Macon	\$106,000	\$13,250	\$13,250	\$132,500
Rome	\$63,106	\$7,888	\$7,888	\$78,882
Savannah	\$210,241	\$26,280	\$26,280	\$262,801
Valdosta	\$66,813	\$8,352	\$8,352	\$83,517
Warner Robins	\$106,550	\$13,319	\$13,319	\$133,188
GDOT Administration	\$142,800	\$17,850	\$17,850	\$178,500
Totals	\$4,914,497	\$614,312	\$614,312	\$6,143,121

Submission Guidelines

Please use the following guidance in submitting completed Section 5303 grant applications to GDOT.

- Applications should be submitted with the transmittal letter on Applicant Organization letterhead. Authorizing resolutions must be notarized. Both documents should be submitted as scanned attachments with the rest of the application package submitted electronically.
- All other required application materials included in this application packet shall be completed, saved, and returned in digital form.
- Applicants are asked to not change the format of any of the required items in the grant application.

Please Note:

Incomplete and incorrect applications will be returned to the transit agency for corrections. Late submissions will be documented as such; information provided herein will be used as part of the application evaluation upon which, final budgets are based on and awarded by GDOT.

If submitting projects in BlackCat, please adhere to the following:

- The project descriptions must be detailed with project milestones.
- Mentioning name brands in the grant application for any project descriptions is prohibited under FTA procurement guidance.
- Ensure the correct Activity Line Item (ALI) is selected for the project requested.
- Ensure all projects are to the whole dollar (evenly split preferred) with NO PENNIES and CENTS.

Applications will be rejected if these project guidelines are not followed.

Part A: Contract Authorization Tracking System (CATS) Profile Form

SUBRECIPIENT ORGANIZATION INFORMATION

Organization Official Name (as it appears in W9 Tax form)	Physical Address	Mailing Address (if different)
Augusta Regional Transportation Study	535 Telfair Street, Augusta, GA 30901 Suite 300	
Charging Indirect Costs:	☒ YES ☐ NO	
Approved ICR Plan:	☐ YES ☒ NO	
De minimis Cost Rate:	☐ YES ☒ NO	
Agency EIN (Tax ID):	58-2204274	
SAM Identification:	ZH93N1J4TBE8	SAM ID EXP. Date (mm/dd/yyyy):
eVerify Number:	58-2204274	eVerify Date (mm/dd/yyyy):

SUBRECIPIENT CONTRACT PERSONNEL in ORDER of APPROVAL (EXCLUDE THIRD PARTY OPERATORS)

Contract Reviewer (if applicable)	Name:	Adleasia Cameron
	Title:	
	Phone:	706-821-1796
	Email:	acameron@augustaga.gov
Attorney (if applicable)	Name:	Jim Plunkett
	Title:	Interim General Counsel
	Phone:	706-842-5550
	Email:	jplunkett@augustaga.gov
Executor #1 (must have the organization's seal affixed or write the word seal next to signature when signing contract)	Name:	Dr. William Molnar
	Title:	Policy Committee Chairman
	Phone:	803-649-7981
	Email:	wmolnar@lscog.org
Executor #2 (if applicable) "must have the organization's seal affixed or write the word seal next to the signature when signing contract"	Name:	
	Title:	
	Phone:	
	Email:	
Attestor / Witness	Name:	
	Title:	
	Phone:	
	Email:	
Notary (must be separate from attestor and have a valid Notary Seal)	Name:	Natasha McFarley
	Title:	Deputy Clerk of Commission
	Phone:	706-821-1823
	Email:	rmanmcfarley@augustaga.gov

1. Does the Applicant Organization employ 100 or more employees?

☒ Yes

☐ No

2. In FY 2027, did the Applicant Organization receive \$250,000 or more in FTA planning funds? If yes, an approved DBE program is required. Please attach a copy of your DBE plan or provide a hyperlink.

☐ Yes

☒ No

3. Do you intend to charge indirect costs to this project?

☒ Yes

☐ No

If yes, please indicate whether your agency has a current indirect cost plan on file with GDOT or agrees to the de minimis rate of 10%.

☒ Approved ICR on file with GDOT

☐ De minimis CR (10% fixed)

If the Applicant agrees to charge indirect cost, the Applicant must submit an approved federally recognized Indirect Cost Allocation Rate Plan (ICRP) negotiated between the cognizant agency and the Applicant or agree to the de minimis indirect cost rate of 10% as defined in §200.414 Indirect (F&A) costs, paragraph (f). Please submit the supporting documentation through BlackCat.

Part B: Transmittal Letter

The following page includes a sample transmittal letter with fillable fields. Once all fields are complete, Applicants must electronically submit the transmittal letter on the Applicant Organization's letterhead and include the signature of the Authorized Official with the complete application package.



AUGUSTA REGIONAL TRANSPORTATION STUDY

535 Telfair Street • Suite 300 Augusta, Georgia 30901

August 19, 2026

Patricia Smith, Ph.D.
Transit Program Manager
Division of Intermodal
Georgia Department of Transportation
600 W. Peachtree Street
Atlanta, Georgia 30308

Ms. Smith, Ph.D.:

The Augusta Regional Transportation Study – MPO is applying for FY 2028 financial assistance under Title 49 U.S. Section 5303 Metropolitan Transportation Planning grant to aid in regional transportation planning in the amount of \$217,115.00 as detailed in the table below.

	Federal Share	State Share	Local Share	Total
Regional Transportation Planning	\$217,115.00	\$27,139.00	\$27,139	\$271,393.00

Local funding, represents the 10% local match portion of the project, and is being committed in the form of cash by Augusta-Richmond County.

The Augusta Regional Transportation Study – MPO certifies that it has the technical, financial, and managerial capabilities to carry out the work described in this grant application. The enclosed information in support of the request for funding is submitted for your consideration. If you have any questions on these matters, please contact Adleasia Cameron at 706-821-1796 or acameron@augustaga.gov.

Signature

Adleasia Cameron
Name of Authorized Official

ARTS Project Director
Title of Authorized Official



RESOLUTION AUTHORIZING THE FILING OF AN APPLICATION WITH THE DEPARTMENT OF TRANSPORTATION, UNITED STATES OF AMERICA, AND GEORGIA DEPARTMENT OF TRANSPORTATION, FOR A GRANT UNDER TITLE 49 U.S.C., SECTION 5303.

WHEREAS, the Secretary of the US Department of Transportation and the Commissioner of the Georgia Department of Transportation are authorized to make grants for mass transportation projects; and

WHEREAS, the contract for financial assistance will impose certain obligations upon Applicant, including the provision of the local share of project costs; and

WHEREAS, it is required by the United States Department of Transportation and the Georgia Department of Transportation in accordance with the provisions of Title VI of the Civil Rights Act of 1964, that in connection with the filing of an application for assistance under the Federal Transit Act, the applicant gives an assurance that it will comply with Title VI of the Civil Rights Act of 1964 and the United States Department of Transportation requirements thereunder; and

WHEREAS, it is the goal of the Applicant that Minority Business Enterprise (Disadvantaged Business Enterprise and Women's Business Enterprise) be utilized to the fullest extent possible in connection with this project, and that definitive procedures shall be established and administered to ensure that minority business shall have the maximum feasible opportunity to compete for contracts and purchase orders when procuring construction contracts, supplies, equipment contracts, or consultant and other services.

NOW THEREFORE, BE IT RESOLVED BY Augusta Regional Transportation Study hereinafter referred to as the "Applicant",

1. That the Designated Official Dr. William Molnar, hereinafter referred to as the "Official," is authorized to execute and file an application on behalf of The Augusta Regional Transportation Study with the Georgia Department of Transportation, to aid in the financing of a technical study grant pursuant to Section 5303 of the Federal Transit Act to implement specific items of the FY 2028 Unified Planning Work Program.
2. That the Official is authorized to execute and file such application and assurances, or any other document required by the U.S. Department of Transportation and the Georgia Department of Transportation effectuating the purpose of Title VI of the Civil Rights Act of 1964.
3. That the Official is authorized to execute and file all other standard assurances, or any other document required by the Georgia Department of Transportation or the U.S. Department of Transportation in connection with the application for public transportation assistance.
4. That the Official is authorized to execute grant contract agreements on behalf of the Applicant with the Georgia Department of Transportation.



AUGUSTA REGIONAL TRANSPORTATION STUDY

535 Telfair Street • Suite 300 Augusta, Georgia 30901

5. That the Official is authorized to set forth and execute Minority Business Enterprise, DBE (Disadvantaged Business Enterprise) and WBE (Women Business Enterprise) policies and procedures in connection with the project's procurement needs as applicable.
6. That the applicant while making application to or receiving grants from the Federal Transit Administration will comply with FTA Circular 8100.1D, FTA Certifications and Assurances for Federal Assistance 2026 as listed in this grant application and General Operating Guidelines as illustrated in the Georgia State Management Plan.
7. That the applicant has or will have available in the General Fund the required non-federal funds to meet local share requirements for this grant application.

APPROVED AND ADOPTED this _____ day of _____, 2026

Signature of Authorized Official

Name and Title of Authorized Official

Signed, sealed, and delivered this _____ day of _____, 2026 in the presence of

Witness

Notary Public/Notary Seal

CERTIFICATE

The undersigned duly qualified and acting _____ of *(Title of Certifying/Attesting Official)* *(Applicant's Legal Name)* certifies that the foregoing is a true and correct copy of a resolution adopted at a legally convened meeting held on _____, 2026.

Signature of Certifying/Attesting Officer

Name and Title of Certifying/Attesting Officer



Part D: Project Description, Budget, and Milestones

The proposed project activities should address: (1) analysis and mapping data and information related to the needs for public transit services or facilities in the MPO's area of responsibility; (2) description of goals, objectives, and strategies to grow and/or strengthen the delivery of public transit services or facilities in the MPO's area of responsibility; and/or (3) building upon prior work leading to the development of an integrated intermodal transportation system within the MPO area. All projects must conform to FTA guidelines.

The Applicant should include excerpts/pages from the MPO's adopted/proposed Unified Planning Work Program (UPWP) that includes this Section 5303 project, including description of the transit task(s) to be carried out as described in this grant application.

The Applicant should describe the work to be undertaken with the FY 2028 Section 5303 funds in the sections below. It is expected that all work described below will be completed within 12 months of the Notice to Proceed for the project.

D-1: Goals and Objectives

1. Describe the Applicant Organization's overall Section 5303 project goals and objectives.

The Augusta Metropolitan Planning Organization (MPO) will utilize FTA Section 5303 Metropolitan Planning Program funds to support the continuing, cooperative, and comprehensive metropolitan transportation planning process for public transportation and paratransit services within the Augusta metropolitan planning area. The overall goal is to strengthen regional transit planning and coordination while supporting a safe, 

D-2: Tasks and Activities

1. Describe major projects/tasks that will be completed for each Activity Line Item below. MPO's should attach pages from the UPWP for FY 2027 (Work Elements).

44.21.00 Program Support and Administration:

ARTS staff will provide technical planning and program support to the Augusta Transit Department and the Lower Savannah Council of Governments' Best Friends Express on an as-needed basis to support the region's continuing, cooperative, and comprehensive metropolitan transportation planning process. Activities will include assistance in preparing for FTA Triennial Reviews; updating socioeconomic and 

44.22.00 General Development and Comprehensive Planning:

N/A

44.23.01 Long-Range Transportation Planning (LRTP) – System Level:

Under this task, ARTS staff will provide technical assistance and planning support for transit and multimodal transportation activities within the Augusta metropolitan planning area. Activities will include updating the financial capacity assessment and Coordination of Activities (COA);



44.24.00 Short-Range Transportation Planning:

ARTS staff will provide transportation planning and technical assistance to support the region's public transit system and multimodal transportation goals. Activities will include planning and programming for ADA-accessible transit vehicles and complementary paratransit services;



44.25.00 Transportation Improvement Program:

ARTS staff will support the development, maintenance, and performance-based implementation of the region's 2027–2033 Transportation Improvement Program (TIP). Activities will include preparing TIP amendments and administrative modifications, integrating performance-based planning requirements, testing and evaluating the



44.27.00 Other Activities

N/A

D-3: Deliverables

In the table below, list and describe project deliverables to be produced by in-house personnel. If seeking additional FY 2028 funding, please denote any deliverables that would result from an additional funding award.

Table 2: Project Deliverables Produced by In-House Personnel

Work Deliverable	Start Date	Completion Date	Person Responsible for Submitting FTA Quarterly Report to GDOT
N/A			

1. Describe the proposed in-house projects, provide justification, goals and objectives and tasks to be accomplished in the space provided below.

N/A

D-4: Procurement and DBE

1. Do you intend to use this grant funding for any purchases or procurement of services? If yes, please provide relevant supporting documentation to your GDOT Planner.

☐

Yes

☒

No

All purchases or procurement of services must follow federal, state and local procurement requirements in order to receive reimbursement. GDOT has provided guides and checklists to subrecipients related to the Federal and State Procurement Process. The supporting documentation must be provided to GDOT prior to reimbursement. At a minimum all procurement activities must comply with:

- 2 CFR Part 200
- FTA Circular 4220.1
- Georgia procurement requirements
- GDOT procurement procedures
- MPO/RC procurement procedures

This includes, but is not limited to, consulting contracts and software purchases. Contact your GDOT Planner for more information.

The DBE Interim Final Rule states that the owner of a DBE or ACDBE applicant must demonstrate on a case-by-case basis that the individual meets the criteria described in 49 CFR § 26.67.8

Section 26.67 provides, in turn, that an owner must:

- 1) demonstrate that the owner is socially and economically disadvantaged based on his or her own experiences and circumstances that occurred within American society, and without regard to race or sex;
- 2) submit to the certifier a personal narrative establishing the existence of disadvantage by a preponderance of the evidence based on individualized proof regarding specific instances of economic hardship, systemic barriers, and denied opportunities that impeded the owner's progress or success in education, employment, or business, including obtaining financing on terms available to similarly situated, non-disadvantaged persons;
- 3) state how and to what extent the impediments caused the owner economic harm, including a full description of type and magnitude, and establish the owner is economically disadvantaged in fact relative to similarly situated non-disadvantaged individuals; and
- 4) state how and to what extent the impediments caused the owner economic harm, including a full description of type and magnitude; and
- 5) attach to the Personal Narrative a current personal net worth statement and any other financial information the owner considers relevant.

Each Unified Certification Program ("UCP") established pursuant to 49 CFR § 26.81 must immediately begin to apply these new certification standards. UCPs must reevaluate the eligibility of existing DBEs and ACDBEs. The reevaluation process mandated by the Interim Final Rule will ensure a level playing field between existing participants and new applicants, while also eliminating the effects of the unconstitutional presumptions.

D-5: Planning Coordination

1. Describe how the proposed planning tasks/activities will be coordinated with other MPO planning efforts.

The ARTS MPO will promote a coordinated, regional approach to transportation planning by fostering collaboration among transit agencies, local governments, the MPO, and State transportation agencies across jurisdictional boundaries. This effort will strengthen the transportation decision-making process and improve coordination of planning, programming, and project implementation. Regional coordination will focus on shared transportation priorities including project delivery.

D-6: TAM Targets

1. Describe how the MPO has (or plans to) incorporated transit asset management (TAM) targets in the locally adopted transportation planning documents. Describe the coordination that took place with local transit providers to set regional targets. Please provide a link to the MPO page where those TAM targets are published.

The ARTS MPO will continue to integrate performance-based planning and Transit Asset Management (TAM) into the region's transportation planning and programming process. The MPO prepared administrative modifications to the FY 2027–2033 Transportation Improvement Program (TIP) and 2055 Metropolitan Transportation Plan (MTP) to incorporate the current conditions of the capital assets of Augusta Public Transit (APT) and Lower Savannah COG (LSCOG), including Useful Life Benchmark (ULB) and State of Good Repair (SGR) performance measures.

The modifications document the current SGR performance of each transit provider's capital assets and incorporate established performance targets. The next step is for the ARTS MPO, GDOT, and transit providers to establish a joint performance-based planning agreement that clearly defines roles and responsibilities for developing, monitoring, and implementing performance measures within the MPO's transportation planning process. These activities will strengthen data-driven decision-making, improve coordination among transportation partners, support asset management, and ensure that regional transportation investments advance established performance goals.

<https://www.augustaga.gov/1994/Transportation-Improvement-Program>

D-7: TAM Performance Measures

1. How has the MPO documented the agreed upon provisions for cooperatively developing and sharing information related to the transit asset management performance measures? Please provide documentation (if available). Otherwise, please see the TAM agreement in Appendix E of this application, sign, and return.

D-8: PTASP Targets

1. Describe how the MPO has (or plans to) incorporated Public Transportation Agency Safety Plans (PTASP) targets in the service operations. Describe the coordination that took place with local transit providers to set regional targets. Please provide a link to the MPO page where those PTASP targets are published.

Transportation Improvement Program (TIP) and 2055 MTP to include the current conditions of its transit providers' (APT and LSCOG) capital assets – i.e., Useful Life Benchmark (ULB) and State of Good Repair (SGR) performance measures. This administrative modification adds the current SGR performance measures of Augusta Transit's and Lower Savannah Council of Government's capital assets along with both transit providers set performance targets for their capital assets. The Augusta MPO's next step will be to agree on a performance-based planning joint agreement process to address the roles and responsibilities of the Augusta MPO, GDOT, and transit providers in the MPO's performance base-planning process.

D-9: Project Federal Funding Request

It is expected that a large majority of requested Section 5303 funding be directed to the technical long-range and short-range transit planning activities undertaken by the MPO. FTA's Activity Line Item (ALI) codes should be carefully reviewed and selected before costs are distributed by categories. If Applicant Organization is proposing to use ALI codes other than those listed below, please call GDOT for assistance PRIOR to submitting this grant application. See Appendix B (page 40) for a full description of each ALI listed below. These descriptions are taken directly from the FTA program Circular 8100.1C.

Project Budget

1. Do you intend to charge indirect costs to this project? If yes, please provide the name of the cognizant agency that approved the indirect cost rate and attach the approval letter to this application.

☒ Yes ☐ No

Name of Cognizant Agency: Augusta - Richmond County

2. Complete the table below with requested project budget information and line-item funding sources.

Table 3: Project Budget

FTA Scope Number	FTA ALI Number	Budget Line-Item Description	Federal Funding Request Amount	State Funding Request Amount	Local Funding Amount	Total Funding Amount
442	44.21.00	Program Support and Administration	\$ 75,200.00	\$ 9,400.00	\$ 9,400.00	\$ 94,000.00
442	44.22.00	General Development and Comprehensive Planning				\$ 0.00
442	44.23.01	Long-Range Transportation Planning (LRTP) – System Level	\$ 70,715.00	\$ 8,839.00	\$ 8,839.00	\$ 88,393.00
442	44.24.00	Short-Range Transportation Planning	\$ 36,000.00	\$ 4,500.00	\$ 4,500.00	\$ 45,000.00
442	44.25.00	Transportation Improvement Program	\$ 35,200.00	\$ 4,400.00	\$ 4,400.00	\$ 44,000.00
442	44.27.00	Other Activities				\$ 0.00
Total Section 5303 Funds Requested			\$ 217,115.00	\$ 27,139.00	\$ 27,139.00	\$ 271,393.00

Anticipated Travel Budget

If any of the proposed funds in the Project Budget table above are planned to be used for travel (including conferences) please identify the specific event/activity, ALI number, and anticipated costs in the table below. All travel expenses must follow state and federal guidelines on hotel and per diem rates. All out of state travel must be pre-approved.

Table 4: Travel Budget

Event/Activity	FTA ALI Number	Anticipated Cost
National Planning Conference ⁺	44.21.00	\$ 8,000.00
National Association of Metro ⁺	44.21.00	\$ 8,000.00

D-10: Additional Funding

Only answer if seeking FY 2028 funding greater than amount allocated in Table 1.

1. If seeking additional Section 5303 funds for FY 2028, describe how the additional funds will be used. Descriptions should address how the proposed project will meet the FY 2028 additional funding priorities and scoring criteria listed in Appendix D (page 44). Specify additional project deliverables and/or contracting opportunities that would result if additional FY 2028 funding is awarded (these additional deliverables and contracting opportunities should also be listed in D-3 and D-4). Specify all ALI numbers from D-8.

N/A

Part E: Title VI Data Collection and Reporting

All applicants for FTA Section 5303 funding are required to maintain and provide GDOT with certain information pertaining to Title VI. Please answer the following Title VI questions below.

E-1: General Reporting Requirements

Check One:

☒ The agency has not received any Title VI complaints in the last three years.

☐ The agency has received Title VI complaints in the last three years.

If the agency has received Title VI complaints, use the following table to document those, list any active lawsuits or complaints naming the Applicant Organization which allege discrimination on the basis of race, color, or national origin with respect to service or other transit benefits. The list should include:

- The date the lawsuit or complaint was filed
- A summary of the allegation
- Any action taken thus far
- The status of the lawsuit or complaint

Table 6: List of Title VI Complaints or Lawsuits

Date (Month, Year)	Summary (Include basis of complaint, race, color, or national origin)	Action Taken	Status
	NONE		

1. Please provide a description of all pending applications for financial assistance and financial assistance currently provided by other federal agencies.

N/A

2. Please provide a summary of all civil rights compliance review activities conducted at the applicant's transit system in the last three years. The summary should include:
 - The purpose or reason for the review
 - The name of the agency or the organization that performed the review
 - A summary of the findings and recommendations of the review
 - A summary report on the status and/or disposition of such findings and recommendations

N/A

E-2: MPO Reporting Requirements

Assessment of Planning Efforts

1. Provide a written description of continuing planning efforts that are responsive to the requirements of Title VI to ensure that transit planning and programming are nondiscriminatory. The description should also discuss how activities or projects programmed in the UPWP and TIP will be implemented on a nondiscriminatory basis. FTA expects to address any Title VI concerns raised during compliance reviews conducted by either transit providers or by FTA or as a result of a Title VI service complaint issue involving programming, planning activities, or capital improvements.

The ARTS MPO will ensure that the level and quality of services under any program that receives federal funds are provided without regard to race, color, or national origin; will identify and address, as appropriate, disproportionately high and adverse human health and environmental effects, including social and economic effects, of programs and activities on minority populations and low-income populations; promote the full and fair participation of all affected populations in transportation planning and program decision making; prevent the denial, reduction, or delay in benefits related to programs and activities that benefit environmental justice populations including but not limited to minority populations and low-income populations; and ensure meaningfully programs and activities by persons with limited English proficiency.

Monitor Title VI Activities

Monitor the Title VI activities and/or programs of local transit systems. In particular, the MPO is requested to provide documentation describing efforts to:

1. Identify minority communities that will be affected by proposed service changes, such as route modifications, additions, deletions, or extensions under consideration by local transit providers.

The MPO has identified minority communities that will be affected by proposed service changes with transit providers. These communities have been mapped out on GIS maps and are updated as needed.

2. Provide technical assistance or guidance to local transportation providers in updating and developing Title VI information.

The MPO assists the Augusta Transit with updating GIS maps of minority communities; holding public meetings/hearings and disseminating transit information to the public.

3. Information Dissemination – provide a description of the methods used to inform minority communities of planning efforts (e.g., public notices, public hearings, other formal or informal public discussions, presentations, meetings, etc.) relating to transit service and improvements.

The planning and application process for all projects administered by the Augusta Transit is conducted in a manner to obtain as much input from citizens in the area, including minorities, females, and the disabled. Minorities, females, and the disabled are specifically informed through representation on 

4. Minority Participation in the Decision-Making Process - provide a written statement describing how minority groups or persons are afforded an opportunity to participate in local decision-making processes. In particular, the statement should describe liaison activities with minority community groups and other efforts to obtain minority views on transportation issues.

The transit department formed a Transit Citizens' Advisory Committee to review and comment on any changes in the transit system that would affect riders, routes, and fares. This Committee meets once a month to discuss current events, projects, any issues involving bus routes, and any complaints 

5. Minority Representation on Decision-Making Bodies – provide a racial breakdown for transit related non-elected boards, advisory councils or committees, and a description of efforts made to encourage the participation of minorities on such boards or committees.

There are currently seven TCAC Members; 4 females and 3 males. Racial makeup includes 4 African Americans (4 females, 2 black, 2 white) and 3 Caucasians (2 black males and 1 white male). Participation is encouraged by MPO and transit staff. Minority organizations are asked to send representatives to meetings.

Part F: Equal Employment Opportunity Questionnaire

The FTA Master Agreement requires all applicants, recipients, subrecipients and contractors receiving funding to comply with applicable Federal civil rights laws and regulations and to follow applicable Federal guidance. FTA applicants, recipients, subrecipients, and contractors who meet both of the following threshold requirements must implement the Equal Employment Opportunity (EEO) Program elements (FTA C 4704.1A Chapter 2.2).

This requirement applies to state-administered programs covered by Federal Transit Laws and FTA Master Agreement funding categories under 5310 – Enhanced Mobility of Seniors and Individuals with Disabilities; 5311 – Rural formula grants for Rural Areas; 5339 – Bus and Bus facilities; 5307 – Urbanized Area formula grants; and 5303, 5304 and 5305 – Metropolitan and Statewide Planning funds.

All FTA applicants, recipients, subrecipients and contractors who do not meet the EEO Program threshold are not required to submit an EEOP. However, they are still required to comply with all Equal Employment Opportunity statutes and regulations.

A recipient is required to submit a full or abbreviated EEO Program based on the number of its transit-related employees and whether it reaches a monetary threshold. Transit related employees include temporary, full-time, or part-time employees.

- Employs 100 or more transit-related employees (requires a full EEO Program)
- Employs 50 or more transit-related employees; (requires an abbreviated EEO program)

And:

- Requests or receives capital or operating assistance in excess of \$1 million in the previous Federal fiscal year or requests or receives planning assistance in excess of \$250,000 in the previous Federal fiscal year.

Example: If 'ABC Transit' is a direct subrecipient and has 22 employees, it does not meet the threshold. If 'ABC Transit' subcontracts with a *Third-Party Operator*, each entity is considered separately. If 'ABC Transit' with 22 employees, contracts with two agencies, one with 25 employees, and one with 52 employees (i.e. 22+25+52=99), then ABC Transit would not be required to have an EEO Program, but the contracted agency with 52 employees would be required to develop and submit to 'ABC Transit' an abbreviated EEO Program.

EEO Program Components (Full EEO Program)

- Statement of Policy
- Dissemination
- Designation of Personnel Responsibility
- Utilization Analysis
- Goals and Timetables
- Assessment of Employment Practices
- Monitoring and Reporting

Abbreviated EEO Program Components

- Statement of Policy
- Dissemination Plan
- Designation of Personnel Responsibility
- Assessment of Employee Practices
- Monitoring and Reporting Plan

Please complete all fields annually and submit to GDOT Transit Department.

Legal Name of Applicant:

Organization Type: ☒ MPO ☐ Transit Agency ☐ Third-Party Contractor

1. How many transit related employees do you have in your organization?

(A transit related employee is an employee of an FTA applicant, recipient, or subrecipient who is involved in an aspect of an agency's mass transit operation funded by FTA. For example, a city planner involved in planning bus routes would be counted, but a city planner involved in land use would not be counted)

2. How much did your organization receive in capital or operating assistance the previous federal fiscal year?

\$ \$ 0.00

3. How much did your organization receive in planning assistance the previous federal fiscal year?

\$ \$ 262,410.00

4. Has your agency submitted a full EEO Program/or abbreviated program to GDOT based on the thresholds noted?

☐ Yes ☒ No

5. If yes, what is the date of your last submission? 9/30/2026

6. Do you contract out any of your transit services?

☐ Yes ☒ No.

If yes,

- a. What is the name of the agency(ies)? N/A

- b. How much did the agency receive in capital or operating assistance?

\$ \$ 0.00

- c. How many transit employees does the agency have?

0

- d. Did the contracting agency submit an EEO Program to you?

☐ Yes ☒ No

If yes, what is the date of their last EEO Program submission?

I certify that the foregoing is true and correct.

Signature:

Title:

Date:

[Signature]
Strategic Planning Manager
8/18/2026

Part G: SAM Certification

GDOT subrecipients must verify they are current within the Federal government's System for Awards Management (SAM) before a contract can be extended. More information can be found on the SAM website: [SAM.gov | Home](#)

Please attach a copy of the Applicant Organization's SAM certification when submitting the application package.

AUGUSTA-RICHMOND COUNTY GOVERNMENT

● Active Registration

 **View**  **Update**

Unique Entity ID: ZH93N1J4TBE8	Doing Business As: (blank)	Expiration Date: Sep 16, 2026
CAGE/NCAGE: 3YWL3	Physical Address: 535 TELFAIR ST STE 800 AUGUSTA, GA 30901-2379 USA	Purpose of Registration: All Awards

Part H: Financial Certifications/De Minimis Rate

The GDOT Transit Department has recently finalized our Financial Management Policy requirements and has held multiple trainings on this subject so that our subrecipients understand and abide by the federal requirements of 2 CRR Part 200.

1. Do you intend to charge indirect costs or use De minimis rate for this project?

☐ Yes, (If Yes, please complete steps below.)

☐ No, (If no, please upload this page only and continue to Part I.)

2. Please complete the following Financial Certification if you will be charging any indirect costs to your transit project. Please only complete the certification for the type of entity making application i.e. "governmental or private-nonprofit".
3. If you have an approved De minimis Cost Allocation Plan, please include a full copy of that plan in your application.
4. If you wish to charge indirect costs using the simplified method, please complete the "GDOT Modified Total Direct Cost Certification."
5. If GDOT is your cognizant agency, you may choose to use the Modified Total Direct Cost Plan which is a simplified method for determining your indirect cost basis.

Financial System Certification – Government Entities

To be completed annually by “Governmental Entities” charging Indirect Costs.

CERTIFICATION OF INDIRECT COST RATE PROPOSAL

Certification of Financial Management System

I, the undersigned, certify that _____ has a financial management system that accumulates and segregates direct costs (costs that can be specifically identified to a final cost objective, e.g., a project, program, or other direct activity of an organization) from indirect costs (costs incurred for a common or joint purpose benefitting more than one final cost objective, e.g. administrative costs such as clerical support, human resources, accounting, payroll, financial audits, rent, utilities, supplies, vehicle expense, executive management that are not readily assignable to the final cost objectives specifically benefitted, without effort disproportionate to the results achieved) and by project/activity, that are allowable in accordance with Title 2 Code of Federal Regulations Part 200 (2 CFR § 200).

I certify the agency's financial management system has the following attributes:

- Account numbers identifying allowable direct, indirect, and unallowable cost accounts.
- Ability to accumulate and segregate allowable direct, indirect, and unallowable costs into different cost accounts.
- Ability to accumulate and segregate allowable direct costs by project, funding source, and type of cost (e.g., labor, consulting, pass-thru, or other).
- Internal controls to maintain integrity of financial management system.
- Ability to consistently record and report costs as described in 2 CFR § 200.403.
- Ability to ensure costs billed are in compliance with 2 CFR § 200.
- Ability to ensure costs billed reconcile to general ledgers and job costing ledgers.
- Ability to ensure costs are in compliance with contract terms and federal and state requirements.

I also certify that the types of records that are used to support the existence of these attributes include the following:

- General ledger and job costing ledgers.
- Subsidiary general ledgers.
- Chart of accounts.
- Audited financial statements.
- Time keeping records.
- Documents supporting actual costs (e.g., invoices, canceled checks).
- Accounting policy and procedure manuals specific to the agency.

This is to certify that I have reviewed the indirect cost rate proposal submitted herewith and to the best of my knowledge and belief:

All costs included in this application proposal _____ to establish billing or final indirect costs rates for the period of _____ are allowable in accordance with the requirements of the Federal award(s) to which they apply and the provisions of 2 CFR Part 200.19. Unallowable costs have been adjusted for, in allocating costs as indicated in the indirect cost proposal.

All costs included in this proposal are properly allocable to Federal awards on the basis of a beneficial or causal relationship between the expenses incurred and the subsequent agreements to which they are allocated in accordance with applicable requirements. Further, the same costs that have been treated as indirect costs have not been claimed as direct costs. Similar types of costs have been accounted for consistently and the Federal government cognizant agency will be notified of any accounting changes that would affect the predetermined rate.

I, hereby, declare that the foregoing is true and correct.

Governmental Unit / Subrecipient Name

Signature of Authorized Official

Name of Official

Title

Date of Execution of ICRP

Financial System Certification – Non-Profit Organizations

To be completed annually by "Non-Profit Organizations" charging Indirect Costs.

CERTIFICATION OF INDIRECT COST RATE PROPOSAL

Certification of Financial Management System

I, the undersigned, certify that _____ has a financial management system that accumulates and segregates direct costs (costs that can be specifically identified to a final cost objective, e.g., a project, program, or other direct activity of an organization) from indirect costs (costs incurred for a common or joint purpose benefitting more than one final cost objective, e.g. administrative costs such as clerical support, human resources, accounting, payroll, financial audits, rent, utilities, supplies, vehicle expense, executive management that are not readily assignable to the final cost objectives specifically benefitted, without effort disproportionate to the results achieved) and by project/activity, that are allowable in accordance with Title 2 Code of Federal Regulations Part 200 (2 CFR § 200).

I certify the agency's financial management system has the following attributes:

- Account numbers identifying allowable direct, indirect, and unallowable cost accounts.
- Ability to accumulate and segregate allowable direct, indirect, and unallowable costs into different cost accounts.
- Ability to accumulate and segregate allowable direct costs by project, funding source, and type of cost (e.g., labor, consulting, pass-thru, or other).
- Internal controls to maintain integrity of financial management system.
- Ability to consistently record and report costs as described in 2 CFR § 200.403.
- Ability to ensure costs billed are in compliance with 2 CFR § 200.
- Ability to ensure costs billed reconcile to general ledgers and job costing ledgers.
- Ability to ensure costs are in compliance with contract terms and federal and state requirements.

I also certify that the types of records that are used to support the existence of these attributes include the following:

- General ledger and job costing ledgers.
- Subsidiary general ledgers.
- Chart of accounts.
- Audited financial statements.
- Time keeping records.
- Documents supporting actual costs (e.g., invoices, canceled checks).
- Accounting policy and procedure manuals specific to the agency.

This is to certify that I have reviewed the indirect cost rate proposal submitted herewith and to the best of my knowledge and belief:

All costs included in this application proposal date of _____ 20_____ to establish billing or final indirect (F&A) costs rate for the Fiscal Year period beginning _____ 20_____ to _____ 20_____ are allowable in accordance with the requirements of the Federal awards to which they apply and with Subpart E—Cost Principles of Part 23 "Cost Principles for Nonprofit Organization".

This proposal does not include any costs which are unallowable under Subpart E—Cost Principles of Part 200 such as (without limitation): public relations costs, contributions and donations, entertainment costs, fines and penalties, lobbying costs, and defense of fraud proceedings; and

All costs included in this proposal are properly allocable to Federal awards on the basis of a beneficial or causal relationship between the expenses incurred and the Federal awards to which they are allocated in accordance with applicable requirements.

I declare that the foregoing is true and correct.

Nonprofit Organization

Signature of Authorized Official

Name of Official

Title

Date of Execution of ICRP

De Minimis Cost Allocation Plan

For Recovery of Indirect Costs for a Federal Grant Award.

Certification of Financial Management System

I, the undersigned, certify that _____ has a financial management system that accumulates and segregates direct costs (costs that can be specifically identified to a final cost objective, e.g., a project, program, or other direct activity of an organization) from indirect costs (costs incurred for a common or joint purpose benefitting more than one final cost objective, e.g. administrative costs such as clerical support, human resources, accounting, payroll, financial audits, rent, utilities, supplies, vehicle expense, executive management that are not readily assignable to the final cost objectives specifically benefitted, without effort disproportionate to the results achieved) and by project/activity, that are allowable in accordance with Title 2 Code of Federal Regulations Part 200 (2 CFR § 200).

I certify the agency's financial management system has the following attributes:

- Account numbers identifying allowable direct, indirect, and unallowable cost accounts.
- Ability to accumulate and segregate allowable direct, indirect, and unallowable costs into different cost accounts.
- Ability to accumulate and segregate allowable direct costs by project, funding source, and type of cost (e.g., labor, consulting, pass-thru, or other).
- Internal controls to maintain integrity of financial management system.
- Ability to consistently record and report costs as described in 2 CFR § 200.403.
- Ability to ensure costs billed are in compliance with 2 CFR § 200.
- Ability to ensure costs billed reconcile to general ledgers and job costing ledgers.
- Ability to ensure costs are in compliance with contract terms and federal and state requirements.

I also certify that the types of records that are used to support the existence of these attributes include the following:

- General ledger and job costing ledgers.
- Subsidiary general ledgers.
- Chart of accounts.
- Audited financial statements.
- Time keeping records.
- Documents supporting actual costs (e.g., invoices, canceled checks).
- Accounting policy and procedure manuals specific to the agency.

Certification of Eligibility:

I, the undersigned, certify that _____ is eligible to use the 10% *de minimis* indirect cost rate as the organization has:

- Received less than \$35 million in direct federal funding for the fiscal year requested and each fiscal year thereafter.

Finally, I understand:

The de minimis rate of 10% is to be applied to Modified Total Direct Costs which means all direct salaries and wages, applicable fringe benefits, materials and supplies, services, travel, and up to the first \$25,000 of each subaward (regardless of the period of performance of the subawards under the award). Modified Total Direct Cost excludes equipment, capital expenditures, rental costs, and the portion of each subaward in excess of \$25,000. Other items may only be excluded when necessary to avoid a serious inequity in the distribution of indirect costs, and with the approval of the cognizant agency for indirect costs.

Costs must be consistently charged as either indirect or direct but may not be double charged or inconsistently charged as both.

The proper use and application of the de minimis rate is the responsibility of _____ and GDOT reserves the right to perform an audit to ensure compliance with 2 CFR § 200 and agreements with GDOT. If it is determined that _____ inconsistently charged costs, or is otherwise not in compliance with 2 CFR § 200, _____ may be required to reimburse GDOT for any identified overbilling.

_____ 's schedule of expenditures of federal awards must include a note on whether it elected to use the 10% de minimis cost rate in accordance with 2 CFR 200 § 200.510(b)(6).

Certification

By signing this declaration, I certify to the best of my knowledge and belief that the information is true, complete, and accurate. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise. (U.S. Code Title 18, Section 1001 and Title 31, Sections 3729-3730 and 3801-3812).

Name of Non-Federal Entity

Date

Signature of Authorized Official *

Name (Printed)

Title

Telephone

Email

*Must be an executive, financial officer, or equivalent of entity

Part I: Transportation Improvement Program (TIP) Form

1. Is your service area within a Metropolitan Planning Organization (MPO) boundary?

☒ Yes

☐ No

If answered yes, please upload your Transportation Improvement Program into Blackcat.



One Georgia Center
600 West Peachtree Street, NW
Atlanta, GA 30308
(404) 631-1000 Main Office

June 9, 2025

The Honorable Brian P. Kemp
Governor – State of Georgia
State Capital
Capital Square SW
Atlanta, GA, 30334

RE: Augusta Regional Transportation Study (ARTS MPO) Metropolitan Planning Area (MPA)
Boundary

Dear Governor Kemp,

Attached is a resolution passed by the Augusta Regional Planning Study (ARTS MPO) Policy Committee, amending the boundary of their Metropolitan Planning Area (MPA) on July 20, 2023. The boundary has been updated based on the 2020 Census-designated Augusta Urbanized Area in coordination with partners in the four counties that comprise the ARTS committee to reflect expected growth over the next two decades.

The updated ARTS MPA boundary includes all the Augusta urbanized areas as defined by the U.S. Census. On the Georgia side, all of Richmond County has been part of the MPA since 2003, and no changes were made to that portion of the boundary. Two revisions were made to the Columbia County portion of the MPA, removing 13,400 acres having a population of 400 and adding 8,400 acres having a population of 1,200. The unchanged portion of the Georgia side of the MPA totals approximately 291,000 acres with an estimated population of 347,400. The map attached to this letter shows the proposed changes to Georgia's portion of the ARTS MPA Boundary for approval by the Governor of Georgia. The ARTS MPO provides a resolution to South Carolina DOT for the Governor of South Carolina to approve the portion of the revised boundary in South Carolina.

In accordance with CFR Title 23, Section 450. 312(a) we respectfully request your approval of the adopted boundary change. If you have any questions, please feel free to call Matt Markham, Deputy Director of Planning at 404-631-1747.

**Population Data from U.S. Census (2020), aggregated from census block level data.*

Jannine Miller, MBA, MPA
Director of Planning, GDOT

Brian P. Kemp

1/23/25
Date

Governor Brian P. Kemp
RE: Augusta Regional Transportation Study (ARTS MPO) Metropolitan Planning Area (MPA) Boundary
June 9, 2025

Page 2 of 2

JM:MM:SHD:HK:bn:sy:kg

Enclosure: Signed Resolution with the Proposed ARTS MPO MPA Boundary map



AUGUSTA REGIONAL TRANSPORTATION STUDY

535 Telfair Street • Suite 300 Augusta Georgia 30901

AUGUSTA REGIONAL TRANSPORTATION STUDY (ARTS) RESOLUTION OF THE POLICY COMMITTEE

APPROVAL OF THE GEORGIA AND SOUTH CAROLINA ARTS MPO BOUNDARY UPDATE

WHEREAS, federal regulations (23 CFR Part 490) require that the Metropolitan Transportation Plan (MTP) and Transportation Improvement Program (TIP) include regular updates to the MPO Boundary; and

WHEREAS, the Governors of Georgia and South Carolina have designated the Augusta Planning and Development Department as the Metropolitan Planning Organization (MPO) for the Augusta Regional Transportation Study (ARTS), and;

WHEREAS, it is the objective of the Augusta Regional Transportation Study, hereinafter referred to as ARTS, to maintain a comprehensive transportation planning process which results in plans and programs consistent with the comprehensively planned development of the urbanized area; and

WHEREAS, the ARTS MPO has received from the US Bureau of the Census, data and boundary information concerning the Georgia and South Carolina ARTS MPO Boundary; and

WHEREAS, the ARTS MPO in coordination with ARTS stakeholders, has reviewed the requirements to approve the Georgia and South Carolina ARTS MPO Boundary Update for use in the ARTS MPO's transportation process; and

WHEREAS, the ARTS Citizens Advisory and Technical Coordinating Committees on July 5, 2023, recommended that the ARTS MPO approve the Georgia and South Carolina ARTS MPO Boundary Update.

WHEREAS, the ARTS Policy Committee on July 20, 2023, approved Georgia and South Carolina ARTS MPO Boundary Update

NOW THEREFORE BE IT RESOLVED, ARTS Policy Committee hereby approves the approval of Georgia and South Carolina ARTS MPO Boundary Update and Its Chairman is authorized to execute a joint endorsement to this effect with the Georgia Department of Transportation and the South Carolina Department of Transportation.



AUGUSTA REGIONAL TRANSPORTATION STUDY

535 Telfair Street • Suite 300 Augusta Georgia 30901

CERTIFICATION

I hereby certify that the above is a true and correct copy of a Resolution adopted by the Augusta Regional Transportation Study (ARTS) Policy Committee at a meeting held on July 20, 2023.

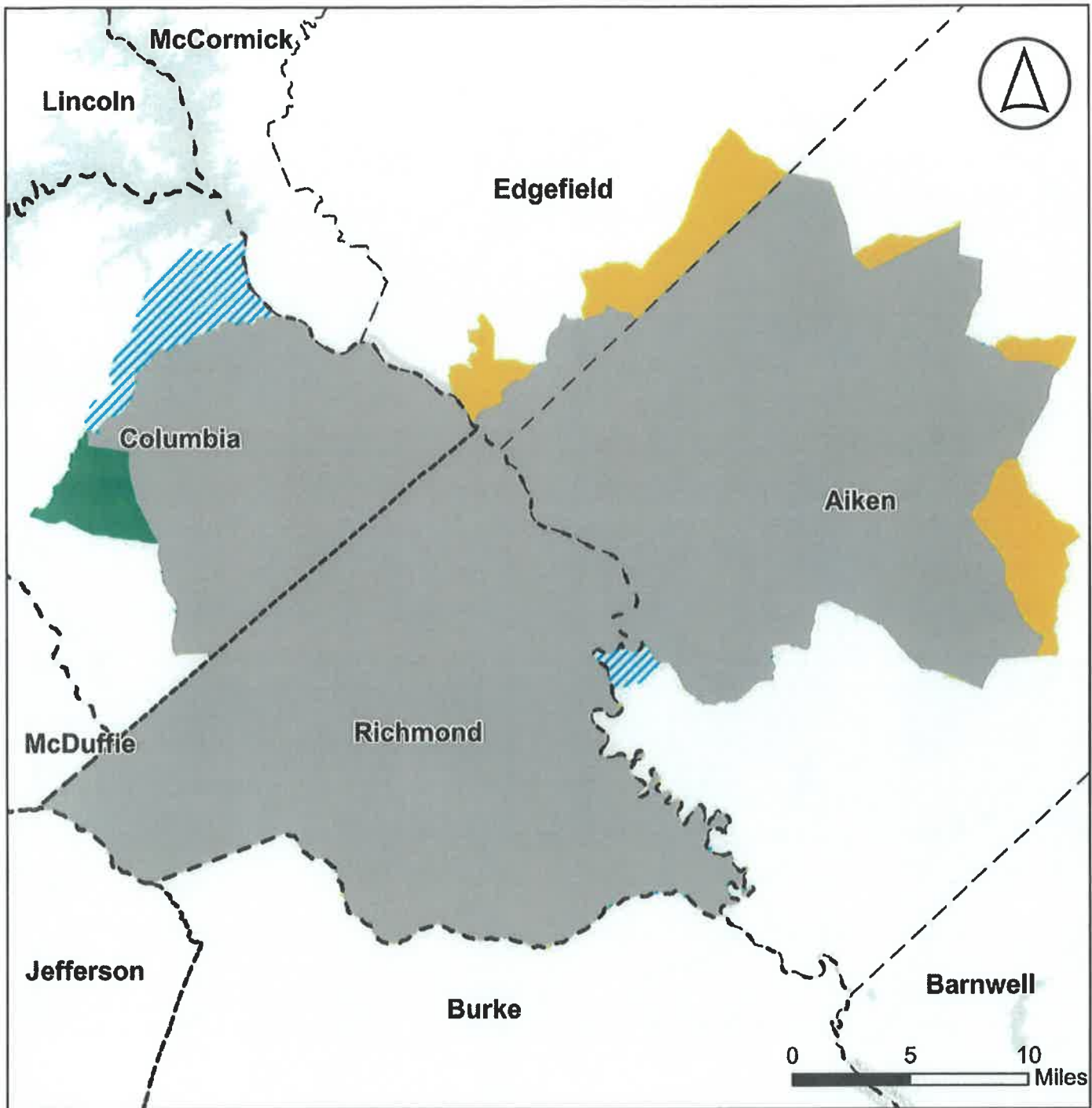
Sign *Carla Delaney*
Print Carla Delaney
MPO Director

08/01/2023
Date

Sign *William McNamee*
Print William McNamee
MPO Chairman

8/7/2023
Date

Proposed ARTS MPA Boundary



- | | |
|--|--|
| <p>■ Unchanged area of the ARTS Boundary
Population: 347,400
Acres: 291,000</p> <p>▨ Current area being removed from the ARTS Boundary
Population: 440
Acres: 13,400</p> <p>■ Proposed new area for the ARTS Boundary (Georgia)
Population: 1,250
Acres: 8,400</p> | <p>■ Proposed new area for the ARTS Boundary (South Carolina)</p> <p>▭ County Boundary</p> |
|--|--|

Appendix A: FTA Certifications and Assurances

As part of this grant application package, all applicants must attach a signed copy of the most recent available FTA Certifications and Assurances (FY 2026) included as the following two pages.

The full FTA FY 2026 Certifications and Assurances document is available at:

[FY2026 Annual List of Certifications and Assurances for FTA Grants and Cooperative Agreements \(dot.gov\)](#)

- The FTA FY 2026 Certifications and Assurances sheet listing all of the relevant documents should be marked with a check mark (√) showing that ALL categories numbered 01 through 20 are being certified by your organization OR indicate which of the categories are applicable.
- Original signatures must be placed on the FTA Fiscal Year 2026 Certifications and Assurances page that includes the “Affirmation of Applicant” and “Affirmation of the Applicant’s Attorney.”

Federal Fiscal Year 2026 Certifications and Assurances for FTA Assistance Programs

Name of Applicant: Augusta Regional Transportation Study - MPO

The Applicant certifies to the applicable provisions of Categories 01 – 20. ☒

OR

The Applicant certifies to the applicable provisions of the Categories it has selected:

Category	Description	
1	Certifications and Assurances Required of Every Applicant	☐
2	Public Transportation Agency Safety Plans	☐
3	Tax Liability and Felony Convictions	☐
4	Private Sector Protections	☐
5	Transit Asset Management Plan	☐
6	Rolling Stock Buy America Reviews and Bus Testing	☐
7	Urbanized Area Formula Grants Program	☐
8	Formula Grants for Rural Areas	☐
9	Fixed Guideway Capital Investment Grants and the Expedited Project Delivery for Capital Investment Grants Pilot Program	☐
10	Grants for Buses and Bus Facilities and Low or No Emission Vehicle Deployment Grant Programs	☐
11	Enhanced Mobility of Seniors and Individuals with Disabilities Programs	☐
12	State of Good Repair Grants	☐
13	Infrastructure Finance Programs	☐
14	Alcohol and Controlled Substances Testing	☐
15	Rail Safety Training and Oversight	☐
16	Demand Responsive Service	☐
17	Interest and Financing Costs	☐
18	Cybersecurity Certification for Rail Rolling Stock and Operations	☐
19	Public Transportation on Indian Reservations Formula and Discretionary Program (Tribal Transit Programs)	☐
20	Emergency Relief Program	☐

Federal Fiscal Year 2026 FTA Certifications and Assurances Signature Page

Required of all Applicants for federal assistance to be awarded by FTA in FY 2028.

AFFIRMATION OF APPLICANT

Name of Applicant:

Augusta Regional Transportation Study - MPO

Name and Relationship of the Authorized Representative:

Adleasia Cameron, MPO Project Director

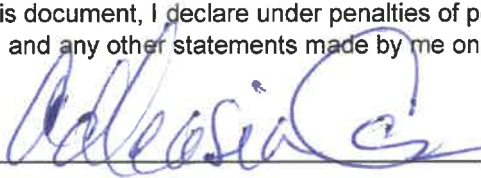
BY SIGNING BELOW, on behalf of the Applicant, I declare that it has duly authorized me to make these Certifications and Assurances and bind its compliance. Thus, it agrees to comply with all federal laws, regulations, and requirements, follow applicable federal guidance, and comply with the Certifications and Assurances as indicated on the foregoing page applicable to each application its Authorized Representative makes to the Federal Transit Administration (FTA) in federal fiscal year 2026, irrespective of whether the individual that acted on his or her Applicant's behalf continues to represent it.

FTA intends that the Certifications and Assurances the Applicant selects on the other side of this document should apply to each Award for which it now seeks, or may later seek, federal assistance to be awarded during federal fiscal year 2026.

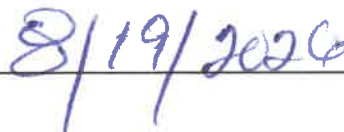
The Applicant affirms the truthfulness and accuracy of the Certifications and Assurances it has selected in the statements submitted with this document and any other submission made to FTA, and acknowledges that the Program Fraud Civil Remedies Act of 1986, 31 U.S.C. § 3801 *et seq.*, and implementing U.S. DOT regulations, "Program Fraud Civil Remedies," 49 CFR part 31, apply to any certification, assurance or submission made to FTA. The criminal provisions of 18 U.S.C. § 1001 apply to any certification, assurance, or submission made in connection with a federal public transportation program authorized by 49 U.S.C. chapter 53 or any other statute.

In signing this document, I declare under penalties of perjury that the foregoing Certifications and Assurances, and any other statements made by me on behalf of the Applicant are true and accurate.

Signature:



Date:



Name and Relationship of the Authorized Representative:

Adleasia Cameron, MPO Project Director

AFFIRMATION OF APPLICANT'S ATTORNEY

For: Augusta Regional Transportation Study - MPO

As the undersigned Attorney for the above-named Applicant, I hereby affirm to the Applicant that it has authority under state, local, or tribal government law, as applicable, to make and comply with the Certifications and Assurances as indicated on the foregoing pages. I further affirm that, in my opinion, the Certifications and Assurances have been legally made and constitute legal and binding obligations on it.

I further affirm that, to the best of my knowledge, there is no legislation or litigation pending or imminent that might adversely affect the validity of these Certifications and Assurances, or of the performance of its FTA assisted Award.

Signature: _____ Date: _____

Name of Attorney for Applicant: _____

Each Applicant for federal assistance to be awarded by FTA must provide an Affirmation of Applicant's Attorney pertaining to the Applicant's legal capacity. The Applicant may enter its electronic signature in lieu of the Attorney's signature within TrAMS, provided the Applicant has on file and uploaded to TrAMS this hard-copy Affirmation, signed by the attorney and dated this federal fiscal year.

Appendix B: Definition of FTA Technical Activities

As provided in FTA Circular 8100.1D

1. Program Support and Administration (44.21.00). Include basic overhead, program support, and general administrative costs directly chargeable to the FTA project; examples include direct program support, administration, interagency coordination, citizen participation, public information, local assistance, and Unified Planning Work Program (UPWP) development. (If direct program administrative and support costs are included in each work or activity, do not enter them a second time in this category).
2. General Development and Comprehensive Planning (44.22.00). Include only the costs of activities specifically emphasizing regional policy and system planning for non-transportation functional areas, plus the development and maintenance of related data collection and analysis systems, demographic analysis and non-transportation modeling, and forecasting activity; examples include land use, housing, human services, environmental and natural resources, recreation and open space, public facilities, and utilities.
3. Long Range Transportation Planning (LRTP)—System Level (44.23.01). Include only the costs of activities specifically emphasizing long range transportation system planning and analysis; examples include long range travel forecasting and modeling including appropriate data base development and maintenance for transportation in the entire metropolitan area or State, system analysis, sketch planning, system plan development, reappraisal or revision, and all long- range Transportation System Management (TSM) activities.
4. Short Range Transportation Planning (SRTP) (44.24.00). Include only the costs of activities specifically emphasizing short range transportation system or project planning and analysis proposed in the next three to five years; examples include management analyses of internal operations such as management/administration, maintenance, personnel, and labor relations; service planning including appropriate data base development and maintenance; Transportation Development Plan (TDP) preparation; financial management planning, including alternative farebox policies; and all short range Transportation System Management (TSM) activities including vanpool/ridesharing, high occupancy vehicles, and parking management.
5. Transportation Improvement Program (TIP) (44.25.00). Include only the costs of activities specifically emphasizing TIP development and monitoring.
6. Other Activities (44.27.00). Include only the costs of those activities whose primary emphasis is unrelated to the specific types of activities described above.

Appendix C: Performance-Based Transit Planning Agreement

Background

In accordance with enacted provisions of the Moving Ahead for Progress in the 21st Century (MAP-21) Act, and the Fixing America's Transportation System (FAST) Act, the final rule for statewide and metropolitan transportation planning was published on May 27, 2016. A provision of the rule, 23 CFR 450.314 (h), requires that MPO(s), State(s), and the providers of public transportation develop and jointly agree upon specific written provisions for cooperatively developing and sharing information related to transportation performance data, the selection of performance targets, the reporting of performance targets, and the reporting of performance to be used in tracking progress toward attainment of critical outcomes for the region of the MPO.

To comply with these federal requirements, GDOT has developed a draft Transit Asset Management Agreement available for your use. The Agreement specifies the roles and responsibilities of GDOT and MPOs with regard to transit asset management data, targets, and plans. MPOs may develop alternative written agreements to meet the requirements of 23 CFR 450.314 (h), however, such agreements must be shared with GDOT and jointly agreed upon.

FAQs

1. "Who should sign the Agreement?"

The Agreement can be signed by an MPO and transit provider staff members. Per GDOT's consultation with FTA, it is *not* required that an MPO board vote to approve the Agreement.

2. "What if we need to update or amend an agreement?"

Agreement updates will be made on an as-needed basis rather than according to a set cycle. For example, modifications to individual agreements may be required due to changes in MPO boundaries, transit providers, or similar circumstances.

3. "My MPO is already developing a different agreement, does this mean we have to use the Agreement provided instead?"

No. An MPO may develop and use an alternative agreement so long as it meets all federal requirements. GDOT developed the included Agreement for MPOs that have not developed their own. If your MPO wishes to use an alternative agreement, that alternative should be shared with GDOT staff to ensure it complies with all requirements of 23 CFR 450.314 (h).

The Augusta Regional Transportation Authority certifies that it has submitted a Performance-based Transit Planning Agreement to the Georgia Department of Transportation.

If your MPO has not developed its own agreement, please sign the included Agreement, gather signatures from all transit providers in your MPO, and return the Agreement as part of your grant application. If necessary, add additional lines for transit provider signatures. If you have suggested changes to the language in this draft agreement, please contact GDOT. If your MPO has developed an alternative agreement, please include it with your application, or sooner if available.

Please direct any questions or inquiries to GDOT Intermodal, Patricia Smith, Ph.D. [Psmith@dot.ga.gov]

Performance-Based Transit Planning Agreement

On May 27, 2016, the final rule for statewide and metropolitan transportation planning was published, based on the 2012 Moving Ahead for Progress in the 21st Century (MAP-21) Act and the 2015 Fixing America's Transportation System (FAST) Act. As part of this final rule, 23 CFR 450.314 (h) requires the metropolitan planning organizations (MPO), State(s), and the providers of public transportation (referred to here as "providers") to jointly agree upon and develop specific written provisions for cooperatively developing and sharing information related to transportation performance data, the selection of performance targets, the reporting of performance targets, and the reporting of performance to be used in tracking progress toward attainment of critical outcomes for the region of the MPO.

The Georgia Department of Transportation (GDOT), the MPO, and provider(s) hereby agree to share transit asset management data, targets, and plans as follows:

- Providers will share their Transit Asset Management (TAM) Plan, and TAM targets with the MPO and GDOT and report to the National Transit Database.
- Providers will coordinate with the MPO and GDOT during the development of their TAM Plan and targets.
- The MPO will set TAM targets for their planning area in coordination with providers in their planning area and share those targets with providers and GDOT.
- GDOT sponsors a Group TAM Plan for participating Tier 2 transit providers, collects inventory information from these providers, sets targets in coordination with the providers, and shares the TAM Plan with providers and MPOs statewide.
- MPOs will reflect TAM targets in their short range and long-range planning documents and share with GDOT and providers in their planning area.
- GDOT will provide a Statewide Transportation Improvement Program (STIP) Performance Report, reflecting TAM targets set by the GDOT Group Plan, and will share this report with MPOs and transit providers statewide.



Signature

Adleasia Cameron

Print Name and Title

ARTS, MPO

Organization Name

09/30/26

Date

Name of Public Transportation Provider 1:

Augusta Transit

Sharon Dottery
Provider Signature

Sharon Dottery, Director
Print Name and Title

8/22/24
Date

Name of Public Transportation Provider 2:

William Molnar
Provider Signature

LOWER SAVANNAH
COUNCIL OF GOVERNMENTS
WILLIAM MOLNAR, PhD
EXECUTIVE DIRECTOR
Print Name and Title

8/21/2024
Date

Name of Public Transportation Provider 3:

Provider Signature

Print Name and Title

Date

Name of Public Transportation Provider 4:

Provider Signature

Print Name and Title

Date

Appendix D: FY 2028 Additional 5303 Funding Request Scoring Criteria

For FY 2028, additional Section 5303 funding is available beyond the formula allocations specified in Table 1 (page 3). Organizations with additional planning project needs may apply for increased FY 2028 funds. Awards will be subject to funding availability and awarded projects must conform to all applicable Section 5303 rules and regulations.

Applications for additional funding will be evaluated using the following scoring criteria. A strong application should meaningfully address each criterion. However, it does not necessarily need to address multiple sub-criteria within each.

Criteria #1 - Departmental Priorities (40 points)

- Support Regional & Statewide Transit Coordination
- Improve Transit Safety
- Develop Measurable Performance-Based Planning Products
- Support Coordinated Human Services Transportation Planning
- Study Expansion of Service to Unserved/Underserved Areas
- Target Workforce Development

Criteria #2 - Planning Support for Transit Providers (40 points)

- Planning work that supports capital & operating grants
- Studies to evaluate projects currently in TIP/LRTP
- Evaluating the performance of transit projects
- Evaluating future funding options & availability
- Planning for emerging trends and technologies (examples: micro transit, signal prioritization, real time travel information, General Transit Feed Specification (GTFS) real time, etc.)

Criteria #3 - Past Performance (20 points)

- Timely & accurate 5303 invoicing
- Planning deliverables with clear transit nexus
- Transit projects are reflected in TIP and LRTP
- MPO is currently following a performance-based planning process, with respect to transit and relevant planning agreements
- MPO has an up-to-date Public Participation Plan

Appendix E: TAMP Participant Accountable Executive Approval Form

GDOT GROUP TRANSIT ASSET MANAGEMENT (TAM) PLAN

FY 2026 TAM PERFORMANCE TARGETS

As the Accountable Executive for the below-named Participant in the Group Transit Asset Management (TAM) Plan sponsored by the Georgia Department of Transportation (GDOT), I hereby approve the enclosed FY 2026 TAM Performance Targets (dated 9/26/25) on behalf of the Participant transit provider organization.

Participant Organization Name: N/A

Transit Provider Name (if different): N/A

FTA Program Subgroup (check all that apply)

☐ 5311 Rural ☐ 5307 Urban

Did the Accountable Executive change from the FY 2025 named AE?

☐ Yes ☐ No

Name of Accountable Executive: _____

Signature of Accountable Executive: _____

Title: _____

Date: _____

Enclosure

Appendix F: GDOT Project Manager Contact Information

Regional Commission	MPO	Project Manager
Northwest Georgia	Dalton MPO Chattanooga MPO Cartersville-Bartow MPO Rome MPO	Mary E. Flatt (404) 487-5845 mflatt@dot.ga.gov
Georgia Mountains	Gainesville MPO	
Three Rivers		
Southwest Georgia	Albany MPO (DARTS)	
Coastal Georgia RC	Savannah MPO (CORE-MPO) Hinesville MPO (Liberty) Brunswick MPO Glynn County BOC (BATS)	
Atlanta Regional Commission (ARC)	ARC	Johnathan McLoyd (404) 631-1229 jomcloyd@dot.ga.gov
Northeast GA RC	Athens-Clarke County MPO (MACORTS)	
Central Savannah River Area	Augusta MPO (ARTS)	
Middle Georgia	Macon-Bibb MPO (MATS) Warner Robbins MPO (WRATS)	
Heart of Georgia - Altamaha		
River Valley	Columbus MPO	
Southern Georgia	Valdosta MPO	

Appendix G: Useful Life

Minimal asset useful life standards for FTA grants.

Minimal Asset Useful Life Standards for FTA Grants

<u>Asset</u>	<u>Useful Life</u>	<u>Source</u>
<u>Buses/Light Vehicles</u>		
Large heavy-duty transit buses 35'-40'	12 years/500,000 miles	FTA Circular 5010.1D
Small heavy-duty transit buses 30'	10 years/350,000 miles	FTA Circular 5010.1D
Medium medium-duty transit buses 25'-35'; Sprinter bus	7 years/200,000 miles	FTA Circular 5010.1D
Medium light-duty transit buses 25'-35', BOC vehicles, Expansion vans	5 years/150,000 miles	FTA Circular 5010.1D
Light-duty vehicles (vans, sedans, light-duty buses); Support vehicles; BOC (15-19 passenger), < 30 ft	4 years/100,000 miles	FTA Circular 5010.1D
<u>Trolleys</u>		
Fixed guideway steel-wheeled	25 years	FTA Circular 5010.1D
Fixed guideway electric, rubber tires	15 years	FTA Circular 5010.1D
Simulated trolleys (rubber tires, internal combustion engine)	Refer to bus useful life	FTA Circular 5010.1D
<u>Rail Vehicles</u>	25 years, see circular	FTA Circular 5010.1D
<u>Ferries</u>		
Passenger ferrries	25 years	FTA Circular 5010.1D
Other ferries (w/o refurbishment)	30 years	FTA Circular 5010.1D
Other ferries (w/refurbishment)	60 years	FTA Circular 5010.1D
<u>Facilities</u>		
Buildings- concrete, steel and frame construction	40 years	FTA Circular 5010.1D
<u>Other Capital Equipment</u>		
Fare boxes	10 years	Manufacturer/Industry stds.
Computer hardware	4 years	GAAP Guidelines/Industry Stds.
Computer hardware- Domain controllers	4 years	Industry Stds.
Mobile data computers (real-time dispatching)	7 years	Manufacturer
Computer software	4 years	GAAP Guidelines/Industry Stds.
Computer software- HASTUS	4 years	Manufacturer
Computer software- ADP	4 years	Industry Stds.
Scheduling/fleet management software	4 years	GAAP Guidelines/Industry Stds.
Communications equipment, mobile radios, base stations	10 years	GAAP Guidelines/Industry Stds.
Security/Surveillance equipment, cameras for vehicles	Same as useful life of vehicle	
Security/Surveillance equipment, cameras for buildings	10 years	Industry Stds.
Shop equipment- Alignment machines, bus washing, tire changers	10 years	Manufacturer
Bus lift	20 years	Manufacturer
Wheelchair lift	Same as useful life of vehicle	
Bus shelters	15 years	Industry Stds.
Bus shelter/stop benches	10 years	Manufacturer
Office furniture	10 years	Manufacturer
Carpeting	5 years	Manufacturer
Repeater tower	25 years	Manufacturer
Engine for bus/trolley	4 years	Industry Stds.
Bus stop signage	10 years	Industry Stds.
HVAC parts	5 years	Grantee experience
Asphalt parking lot	15 years	GASB
Thermal diesel particle filter cleaner	10 years	Manufacturer
Commercial roofing	15 years	Industry Stds.

Appendix H: NEPA Checklist

Information required by the FTA to initiate NEPA.

INFORMATION REQUIRED TO INITIATE NEPA

A CHECKLIST

For FTA to determine the extent of environmental analysis required for a proposed project, we must have a clear idea of what it may do to the environment. This includes the **natural environment** (soil, water, air, flora/fauna) *and* the **human environment** (land use, traffic, etc.). Additionally, FTA must determine whether any Federal funding is sought (now or in the future) for the proposal and if FTA is required to make a decision or approval (e.g., approval for incidental use of property).

INFORMATION REQUIRED	QUESTIONS to be ADDRESSED
☐ Sources of federal, state, and local funds and transit nexus	<i>Is the project a Federal Action eligible for FTA funding?</i>
☐ Description of existing property with a clear map showing the Area of Potential Effect (APE)	<i>What are the Existing conditions? Cleared land or forested land? Water? Urban, rural, suburban? Gently rolling, flat, etc.? Modern visual intrusions in the area, such as cell towers, modern buildings, etc.? Is the site a brownfield or contaminated? Have any site assessments been completed?</i>
☐ Street address or coordinates	<i>Are there possible environmental areas of concern at the site or in its surroundings? Any known hazards? Existing buildings contain lead paint/asbestos? Standing water on site? Industrial site? Industrial sites within a block of the project site? In a historic district?</i>
☐ Photos of property, any buildings on property and the surrounding area directly adjacent to the project site.	<i>What are the characteristics of the natural environment of the property? Provide photos of the land in all directions and of any buildings or structures on the land. Are any of the buildings be historic? Are the buildings in use? Are the buildings safe? Who/what industry occupies the building?</i>
☐ Photos of surrounding buildings visible from property	<i>Are there any nearby buildings that may be historic? Any new construction visible from the project site? Number of stories of the buildings surrounding the project site.</i>
☐ Description of complete project with site plan. Be specific for each action of the project.	<i>What physical changes will be made to the existing site? Any digging or other ground disturbing activities such as clearing and grubbing? Will a building be constructed? Will an existing building be renovated or rehabilitated? Will parking be added or subtracted? Any changes to the traffic amount or flow due to the project? Provide any renderings that are available of the existing project site and the planned work. Be specific about actions, such as replacement of windows/doors, new construction, etc. Will the completed project include storage facilities, maintenance facilities or an operation center?</i>

☐ List of actions required upon existing property to achieve complete project (e.g., clear 5 acres of wooded land, demolish building, culvert and cover creek, etc.)

Are there physical changes that are not obvious in the site plan? (e.g., excavation for a basement, fuel storage) Are there going to be any residential or business displacements?

☐ Logical termini, alignment, mode, and technology (if a linear project).

Has thorough planning for the proposed project occurred? (3 out of 4 are typically enough to begin NEPA.) Any feasibility or environmental studies been completed? If so, please attach to the checklist document.

☐ List of any public involvement done for the project, to date, if any

Has the community affected by the project been informed? If so, when was the community engaged/informed? Is there any potential controversy? Are there any local organizations that should be informed?

Property Acquisition: Unless an early or at-risk (hardship or protective buy) acquisition meets the conditions, property cannot be acquired until NEPA is **complete** and an environmental determination or decision document has been issued by FTA TR04. This restriction is found in FTA's environmental regulations ([23 CFR 771.113](#)) and includes offers to purchase the property or any other commitment to purchase the property or to proceed to a settlement ([FTA Circular 5010.1E](#)). Project sponsors should contact FTA TR04 with any questions about potential timing of property acquisitions and their corresponding NEPA documentation.

Examples, which can be either implied or explicit, of prohibited acquisition activities during the NEPA process include:

- Any offer to purchase;
- Any negotiation to purchase;
- Any discussion on price;
- Any commitment to purchase or establishing any conditions of purchase; and,
- Any commitment to proceed to settlement.

Review Process: For planning purposes, please be advised that upon receipt of the *Information Required to Initiate NEPA* (aka NEPA Checklist), FTA's Environmental Protection Specialists (EPS) may take up to 30 days to review the information for completeness.

If the NEPA Checklist is incomplete or lacking specific information to make a NEPA Class of Action (COA) determination, the recipient will receive comments and or requests for further information.

As a reminder, please provide a concise and complete NEPA Checklist as laid out in the column "Questions to be Addressed." Physical descriptions of the project and description of existing environmental conditions that the proposed project may / may not impact are very important.

For any questions or concerns, please contact Julia Carrie Walker (julia.walker@dot.gov) and Ron Smith (ronald.smith@dot.gov)

The more information FTA knows about a project, the more accurate we can be in assigning the most appropriate level of environmental analysis.

Appendix I: W-9

Request for Taxpayer Identification Number and Certification

Go to www.irs.gov/FormW9 for instructions and the latest information.

Give form to the
requester. Do not
send to the IRS.

Before you begin. For guidance related to the purpose of Form W-9, see *Purpose of Form*, below.

Print or type. See Specific Instructions on page 3.	1 Name of entity/individual. An entry is required. (For a sole proprietor or disregarded entity, enter the owner's name on line 1, and enter the business/disregarded entity's name on line 2.) AUGUSTA GEORGIA	
	2 Business name/disregarded entity name, if different from above. AUGUSTA-RICHMOND COUNTY	
	3a Check the appropriate box for federal tax classification of the entity/individual whose name is entered on line 1. Check only one of the following seven boxes. ☐ Individual/sole proprietor ☐ C corporation ☐ S corporation ☐ Partnership ☐ Trust/estate ☐ LLC. Enter the tax classification (C = C corporation, S = S corporation, P = Partnership) Note: Check the "LLC" box above and, in the entry space, enter the appropriate code (C, S, or P) for the tax classification of the LLC, unless it is a disregarded entity. A disregarded entity should instead check the appropriate box for the tax classification of its owner. ☒ Other (see instructions) GOVERNMENT	
	4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3): Exempt payee code (if any) _____ Exemption from Foreign Account Tax Compliance Act (FATCA) reporting code (if any) _____ <i>(Applies to accounts maintained outside the United States.)</i>	
	3b If on line 3a you checked "Partnership" or "Trust/estate," or checked "LLC" and entered "P" as its tax classification, and you are providing this form to a partnership, trust, or estate in which you have an ownership interest, check this box if you have any foreign partners, owners, or beneficiaries. See instructions. ☐	
5 Address (number, street, and apt. or suite no.). See instructions. 535 TELFAIR STREET SUITE 800		
6 City, state, and ZIP code AUGUSTA, GA 30901		
7 List account number(s) here (optional)		

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

Note: If the account is in more than one name, see the instructions for line 1. See also *What Name and Number To Give the Requester* for guidelines on whose number to enter.

Social security number								
			-				-	
or								
Employer identification number								
5	8		-	2	2	0	4	2 7 4

Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- I am not subject to backup withholding because (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- I am a U.S. citizen or other U.S. person (defined below); and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and, generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

Sign Here **Signature of U.S. person** *Mam Gme*

Date *5/26/26*

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

What's New

Line 3a has been modified to clarify how a disregarded entity completes this line. An LLC that is a disregarded entity should check the appropriate box for the tax classification of its owner. Otherwise, it should check the "LLC" box and enter its appropriate tax classification.

New line 3b has been added to this form. A flow-through entity is required to complete this line to indicate that it has direct or indirect foreign partners, owners, or beneficiaries when it provides the Form W-9 to another flow-through entity in which it has an ownership interest. This change is intended to provide a flow-through entity with information regarding the status of its indirect foreign partners, owners, or beneficiaries, so that it can satisfy any applicable reporting requirements. For example, a partnership that has any indirect foreign partners may be required to complete Schedules K-2 and K-3. See the Partnership Instructions for Schedules K-2 and K-3 (Form 1065).

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS is giving you this form because they